

Office of

**AUBURN WATER DISTRICT**

Office Telephone # 784-6469

The regular monthly meeting of the Trustees of the Auburn Water District will be held at the office of the Auburn Water District, 268 Court Street, on Wednesday, May 22, 2013 at 4:00 P.M.

**AGENDA**

1. Approve Minutes of Regular Meeting of April 17, 2013.
2. Monthly Financial Report Update - *Greg Leighton*.
3. Review & Approve 2012 Financial Audit from Horton, McFarland & Veysey.
4. Ratify Payment of Bills.
5. Open session.
6. Activity Report - *Sid Hazelton*
7. New Business
  - ◆ Develop Customer Newsletter.
  - ◆ Re-schedule Trustee Workshop.
  - ◆ Award of Water Treatment Chemicals Bid.
  - ◆ Review & Approve Property & Casualty Insurance Coverage Options w/ Maine Municipal Association.
  - ◆ Update on Lake Auburn efforts.
  - ◆ Legislative Advocacy.
8. Old Business
  - ◆ Joint Water Treatment Plant Manager, expect Lewiston approval by City Council May 22.
  - ◆ Project Updates
  - ◆ Poland Spring Inn - pump station issue, on hold.
9. Adjourn Regular Meeting.

April 17, 2013

The regular monthly meeting of the Trustees of the Auburn Water District was held on Wednesday, April 17, 2013 at the office of the Auburn Water District, 268 Court Street at 4:00PM.

Members present: President, K.C. Geiger and Treasurer, Preston Chapman. Trustees: Lee Upton, Robert Cavanagh and Rick Whiting. Also present: Tizz Crowley, Mayor's Representative, John Storer, Superintendent, Sid Hazelton, District Engineer and Greg Leighton of Maine Water Company.

On motion of Tizz Crowley, seconded by Robert Cavanagh, it was unanimously voted: **To approve the minutes of the Regular Meeting of March 20, 2013 as amended.**

**FINANCIAL REPORT UPDATE** – Overall consumption is down. The revenue variance is a seasonal issue. Watershed expenses are a timing issue. The District received a \$25,000 check from the Insurance Company for the UV flooding. The District expects to get back on track.

#### **RATIFY PAYMENT OF BILLS**

On motion of Robert Cavanagh, seconded by Lee Upton, it was unanimously voted: **To ratify the payment of bills in the amount of \$165,762.94 as shown on the printout dated March 16, 2013- April 9, 2013.**

Hotel Road is no longer the District's responsibility. The City has taken over and will be responsible for maintaining the road.

**OPEN SESSION** : As no one from the public was in attendance, the Open Session was closed.

**ACTIVITY REPORT** : The April Activity Report was presented by Sid Hazelton.

#### **NEW BUSINESS**

#### **ELECTION OF OFFICERS**

On motion of Preston Chapman, seconded by Robert Cavanagh, it was unanimously voted: **To elect K.C. Geiger as President.**

On motion of K.C. Geiger, seconded by Rick Whiting, it was unanimously voted: **To elect Preston Chapman as Treasurer.**

On motion of K.C. Geiger, seconded Robert Cavanagh, it was unanimously voted: **To appoint Lee Upton to complete Bruce Rioux's term as a member of the Lake Auburn Watershed Protection Commission which ends in July of 2015.**

It was decided to recognize Bruce Rioux's years of service as a Trustee at the Measuring of the Lake.

**AGREEMENT W/ ANDROSCOGGIN LAND TRUST – FILE STORAGE-** They are looking for offsite storage of critical records. The Sewer Trustees agreed on \$1.00/year plus the cost of any additional insurance costs if any apply. It was agreed that the District should emphasize that access will be "during normal business hours".

**POLAND SPRING INN – OPERATION OF PUMP STATION** - The bottling plant is providing water to the Inn and Cindy's Dockside for free. Cindy's Dockside needs a booster pump to get her own water and the Bottling Plant will pay for the Station. The District will draft an O&M Contract. There will be no cost to the District and the water will be billed to the Bottling Plant. They are wanting to separate the two services. Superintendent Storer will try to work something out with the Bottling Plant and bring it back to the Trustees for review at the next meeting.

**UPDATE ON LAKE AUBURN EFFORTS - STATUS OF ALGAECIDE APPLICATION** – The District is still waiting for the Permit to use algaecide sometime in August or September. Copper sulfate is a possible contingency remedy. There are a lot of public relation and logistical issues to consider. The phosphorous levels are currently very good. The application of algaecide is to be funded through the Watershed sinking fund.

**PROJECT UPDATES –**

On motion of Preston Chapman, seconded by Tizz Crowley, it was unanimously voted: **To modify the 2013 projects to account for the increased lake sampling.**

**AWARD TRENCH PAVING CONTRACT –**

On motion of K.C. Geiger, seconded by Tizz Crowley, it was unanimously voted: **To award the 2013 Trench Paving Contract to T. W. Paving of Lewiston.**

**PURCHASE GPS UNIT** – The District recommended the purchase of two GPS units (one for the Dig Safe field technician and the other for the field crew foreman) from Maine Technical Source. The cost will be shared with the Sewer District. These units will increase the District's ability to update its GIS information. The maintenance contract is \$265.00/year for each unit. It was suggested that the District check to see if the cost can be guaranteed for a number of years. It was also suggested that the District look for other suppliers and shop for better pricing.

On motion of Preston Chapman, seconded by Tizz Crowley, it was unanimously voted: **To approve the purchase of two GPS units at a cost not to exceed \$22,000.**

**PURCHASE TRENCH COMPACTOR** – The District recommended the purchase of a trench compactor from Jordan Equipment.

On motion of Tizz Crowley, seconded by Preston Chapman, it was unanimously voted: **To approve the purchase of a trench compactor from Jordan Equipment at the quoted price of \$6663.00.**

**PURCHASE TRAILER** - The District revised the budget amount from \$15,000 to \$18,600 to cover the quoted price for the purchase of the trailer. It will transport trench boxes and the District could pick up its rental equipment. The trailer will be a workhorse for a number of years.

On motion of Preston Chapman, seconded by Tizz Crowley, it was unanimously voted: **To purchase the trailer from Kaufman Trailers of NC Inc. at the quoted price of \$17,850 plus shipping.**

**LEGISLATIVE ADVOCACY –**

**LD 441-RESOLVE, DIRECTING THE PUBLIC UTILITIES COMMISSION TO DEVELOP A PLAN TO REFORM WATER REGULATION.** The District pays an annual fee of around \$15,000-\$20,000 to be regulated by PUC for little rendered services, while sewer remains unregulated.

Superintendent Storer is supporting regulatory reform which would not have any impact on public health and safety and remove unnecessary duplication and redundancy of oversight, reduce regulatory costs and restore some local control back to citizen oversight boards.

**LD 875-RESOLVE, DIRECTING THE DEPARTMENT OF TRANSPORTATION AND THE MAINE TURNPIKE AUTHORITY TO CONDUCT A STUDY OF POSSIBLE CONNECTOR ROADS IN ANDROSCOGGIN AND FRANKLIN COUNTIES.** Superintendent Storer wrote to ask for help in looking at measures to improve safety to minimize risk to Lake Auburn. Roadway improvements should include engineered drainage ditches along Lake Auburn to direct any spilled contaminants into controlled retention ponds.

**OLD BUSINESS**

**LEWISTON APPROVAL OF JOINT WATER TREATMENT PLANT MANAGER-** Lewiston will tour the UV Plant on May 2<sup>nd</sup>. Superintendent Storer asked for a Trustee to attend for additional support.

**FUTURE WORKSHOP DATES -** Superintendent Storer is looking at May 29<sup>th</sup> for both Boards of Trustees to meet to discuss various topics such as : by-laws, charters, benefits and salaries, water/sewer rates and bonding vs depreciation.

**ADJOURN THE MEETING**

On motion of Preston Chapman, seconded by Tizz Crowley, it was unanimously voted: **To adjourn the meeting.**

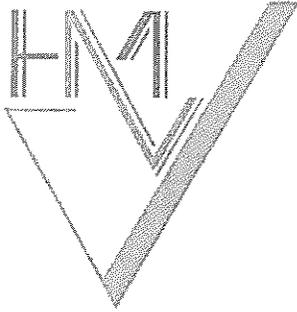
**AUBURN WATER DISTRICT  
OPERATING STATEMENT -TRUSTEES' REPORT  
FOUR MONTHS ENDED APRIL 30, 2013**

	April	2013	Y-T-D APRIL 2013		
	YTD - 2012	BUDGET	ACTUAL	BUDGET	VARIANCE
<b><u>REVENUES:</u></b>					
Water Sales	\$671,728	\$2,151,969	\$668,833	\$717,323	(\$48,490)
Rent income	17,997	54,165	18,108	18,055	53
Interest Income	7,524	17,000	4,272	5,667	(1,394)
Mdse. & Jobbing	18,733	30,000	21,997	10,000	11,997
Hydrant Rental	188,304	556,918	188,304	185,639	2,665
Private Fire Prot.	89,575	273,845	90,724	91,282	(558)
Misc. Op. Revenue	25,031	51,000	25,480	17,000	8,480
<b>TOTAL REVENUES</b>	<b>1,018,892</b>	<b>3,134,897</b>	<b>1,017,719</b>	<b>1,044,966</b>	<b>(27,247)</b>
			<b>32.46%</b>	<b>33.33%</b>	<b>&lt; Standard</b>
<b><u>EXPENSES:</u></b>					
Payroll	202,458	661,872	233,840	220,624	13,216
Pump Stations:					
Chemicals	23,628	94,815	5,025	31,605	(26,580)
Power	42,390	130,414	36,847	43,471	(6,624)
Other	(2,658)	6,371	359	2,124	(1,765)
Trans & Dist Maint:					
Maint of Mains	7,455	67,519	9,347	22,506	(13,159)
Dist System	21,829	73,449	36,294	24,483	11,811
Outside Lab	4,112	22,085	616	7,362	(6,745)
UV Operations & Internal Lab	10,323	54,762	34,780	18,254	16,526
UV Flood	0	0	61,721	0	61,721
Administration	160,570	506,735	164,457	168,912	(4,455)
Vehicles	23,391	69,829	27,079	23,276	3,803
Gull Management	37,878	87,599	30,800	29,200	1,600
Lake Auburn Watershed	51,735	187,558	79,198	62,519	16,679
<b>SUB-TOTAL</b>	<b>583,111</b>	<b>1,963,008</b>	<b>720,363</b>	<b>654,336</b>	<b>66,027</b>
			<b>36.70%</b>	<b>33.33%</b>	<b>&lt; Standard</b>
Interest	67,116	186,810	60,448	62,270	(1,822)
<b>TOTAL EXPENSES</b>	<b>650,227</b>	<b>2,149,818</b>	<b>780,811</b>	<b>716,606</b>	<b>64,205</b>
Bonds - Principal Payments	110,909	815,203	111,195	271,734	(160,539)
<b>SURPLUS FROM OPERATIONS</b>	<b>257,756</b>	<b>169,876</b>	<b>125,712</b>	<b>56,625</b>	<b>69,087</b>

**AUBURN WATER DISTRICT  
BALANCE SHEET  
PERIOD ENDING - APRIL 30, 2013**

	<u>4/30/2013</u>	<u>12/31/2012</u>	<u>4/30/2013</u>	<u>12/31/2012</u>
<b>Property, Plant and Equipment:</b>				
Plant in Service	33,489,701.22	33,491,710.47	133,191.15	133,191.15
Less: Accumulated Depreciation	<u>(8,008,310.22)</u>	<u>(7,868,965.93)</u>	8,974,251.94	8,974,251.94
Construction Work in Progress	<u>25,481,391.00</u>	<u>25,622,744.54</u>	590,628.68	80,112.04
	309,001.79	97,885.79	124,946.17	510,516.64
<b>Net Utility Plant</b>	<u>25,790,392.79</u>	<u>25,720,630.33</u>	<u>9,823,017.94</u>	<u>9,698,071.77</u>
			10,201,567.86	10,312,763.24
			<u>20,024,585.80</u>	<u>20,010,835.01</u>
<b>Current Assets:</b>				
Cash & Working Funds	415,866.31	201,954.42		
Temporary Cash Investments	702,131.35	701,020.41	313,366.89	148,547.28
Accounts Receivable - Net	379,681.35	444,412.21	8,985.87	8,555.00
Prepayments	8,368.85	25,124.85	2,588.39	32,534.28
Other Current Assets	0.00	24,376.43	79,404.21	65,042.23
<b>Total Current Assets</b>	<u>1,506,047.86</u>	<u>1,396,888.32</u>	<u>404,345.36</u>	<u>254,678.79</u>
<b>Deferred Debits:</b>				
Unamortized Debt Issuance	92,677.64	96,167.20	0.00	0.00
Other Deferred Debits	93,700.77	105,715.85	85,900.56	85,900.56
<b>Total Deferred Debits</b>	<u>186,378.41</u>	<u>201,883.05</u>	<u>6,967,987.34</u>	<u>6,967,987.34</u>
<b>Total Assets</b>	<u>27,482,819.06</u>	<u>27,319,401.70</u>	<u>27,482,819.06</u>	<u>27,319,401.70</u>

**AUBURN WATER DISTRICT  
FINANCIAL STATEMENTS  
DECEMBER 31, 2012 AND 2011  
WITH INDEPENDENT AUDITORS' REPORT  
AND  
MANAGEMENT'S DISCUSSION AND ANALYSIS**



HORTON, McFARLAND & VEYSEY, LLC  
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*James E. McFarland, CPA*  
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*Floyd S. Veysey, CPA*  
*(1948 - 2006)*

*Amy J. Billings, CPA*  
*Ellen Cleveland, CPA*  
*Nicholas Henry, CPA*  
*Ruth W. Wilbur, EA*

**INDEPENDENT AUDITORS' REPORT**

March 9, 2013

To the Board of Trustees  
Auburn Water District  
Auburn, Maine

**Report on the Financial Statements**

We have audited the accompanying statements of net position, statements of revenues, expenses and changes in fund net position and statements of cash flows of the Auburn Water District as of and for the years ended December 31, 2012 and 2011, which collectively comprise the District's basic financial and the related notes to the financial statements.

***Management's Responsibility for the Financial Statements***

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

***Auditors' Responsibility***

Our responsibility is to express opinions on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

### ***Opinions***

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the Auburn Water District, as of December 31, 2012 and 2011, and the respective changes in financial position and, where applicable, cash flows thereof for the year then ended in accordance with accounting principles generally accepted in the United States of America.

### ***Other-Matters***

#### ***Required Supplementary Information***

Accounting principles generally accepted in the United States of America require that the Management's Discussion and Analysis be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

#### ***Other Information***

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the Auburn Water District's basic financial statements. The Schedule of Operation and Maintenance Expenses is presented for purposes of additional analysis and is not a required part of the basic financial statements.

The Schedule of Operation and Maintenance Expenses is the responsibility of management and was derived from and relate directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the basic financial statements as a whole.



## **Other Reporting Required by *Government Auditing Standards***

In accordance with *Government Auditing Standards*, we have also issued our report dated March 9, 2013 on our consideration of the Auburn Water District's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering Auburn Water District's internal control over financial reporting and compliance.

*Horton McFarland & Veysey*



**Auburn Water District  
Management's Discussion and Analysis  
December 31, 2012 and 2011**

Introduction of the Financial Statements

The Auburn Water District's (the District) basic financial statements include the:

Statements of Net Position  
Statements of Revenues, Expenses and Changes in Fund Net Position  
Statements of Cash Flows  
Notes to the Financial Statements

Comparison of Financial Statements for Current and Prior Years

**Statements of Net Position**

	<b>2012</b>	<b>2011</b>
Current Assets	1,338,531	3,088,757
Capital Assets, Net	25,720,630	22,349,496
Other Assets	159,660	172,507
Total Assets	<u>27,218,821</u>	<u>25,610,760</u>
Current Liabilities	1,086,524	1,427,400
Non-Current Liabilities	9,466,238	10,197,348
Total Liabilities	<u>10,552,762</u>	<u>11,624,748</u>
Net Position:		
Net Investment in Capital Assets	15,432,243	11,573,107
Restricted	24,376	349,384
Unrestricted	1,209,440	2,063,521
Total Net Position	<u>16,666,059</u>	<u>13,986,012</u>

**Statements of Revenues, Expenses and Changes in Fund Net Position**

	<b>2012</b>	<b>2011</b>
Operating Revenues	3,073,248	3,014,460
Operating Expenses	(2,565,240)	(2,331,743)
Non-Operating Revenues (Expenses)	(169,489)	(157,041)
Change in Net Position Before Contributions	338,519	525,676
Contributions in Aid of Construction	2,341,528	72,165
Change in Net Position After Contributions	2,680,047	597,841
Net Position – Beginning of Year	13,986,012	13,388,171
Net Position – End of Year	<u>16,666,059</u>	<u>13,986,012</u>

## Overall Financial Position and Results of Operations

As of December 31, 2012, the District had \$27,218,821 in assets and \$10,552,762 in liabilities. The District had operating revenues of \$3,073,248 during the year ending December 31, 2012 and had a cash balance of \$902,975.

## Significant Transactions and Changes

The District completed Phase II of the UV treatment project and a new Chloramines facility was put into service in 2012, both were joint projects with the Lewiston Water Division. Lake Auburn, the District's source of supply, experienced increased algae activity during the summer of 2012 depleting the oxygen levels. An ongoing study is being conducted to determine the best method to address the issue.

## Budgetary Highlights

The District was favorable to budget in 2012 by \$82,000. Revenues were over budget by \$78,000 due to higher metered sales and increased jobbing. Expenses were unfavorable to budget by \$73,000 due to higher health insurance costs, vehicle maintenance and Gull Management costs than expected. Less equipment purchases offset the O&M variance due to not buying a replacement dump truck that had been budgeted.

## Capital Assets and Long-Term Debt

The new Chloramination facility, a joint venture between the District and the Lewiston Water Division, was funded with a \$500,000 State Revolving Loan which included \$125,000 in principal forgiveness. This project was completed in 2012. Phase II of UV treatment along with new laboratory facilities was completed at the end of June 2012. Over the last 3 years the District has changed out the entire commercial, industrial, government, and residential meters. In early 2010, the Town of Poland requested water main extension along route 122 and route 26. The majority of this work was completed in 2011 and finished in 2012. The Town of Poland funded the entire project. The District replaced and/or lined 4,150 feet of water main using operating funds in 2012. There were no new debt issues in 2012.

## Next Year's Operations

The District will concentrate on the water quality issue at Lake Auburn in 2012. Possible short-term treatments include the use of an algaecide. The District plans to hire a Treatment Operator for the new UV plant, this will be a shared position with the Lewiston Water Division. Continued main replacements will be coordinated with the City construction schedule.

## Request For Information

Questions about the District's finances may be directed to John Storer, Superintendent, Auburn Water District, 268 Court Street, Auburn, ME 04212.

**AUBURN WATER DISTRICT  
STATEMENTS OF NET POSITION - PROPRIETARY FUNDS  
AT DECEMBER 31,**

<b>Assets</b>	<b>2012</b>	<b>2011</b>
Current Assets:		
Cash	902,975	2,390,323
Accounts Receivable (Net of Allowance)	381,055	317,521
Current Portion of Notes Receivable	5,000	5,000
Prepayments	25,125	29,689
Undrawn Bond Funds	24,376	346,224
Total Current Assets	<u>1,338,531</u>	<u>3,088,757</u>
Non-Current Assets:		
Property, Plant and Equipment:		
Utility Plant	33,491,710	27,937,922
Less: Accumulated Depreciation	7,868,966	7,290,921
Net Utility Plant	<u>25,622,744</u>	<u>20,647,001</u>
Construction Work In Progress	97,886	1,702,495
Total Property, Plant and Equipment	25,720,630	22,349,496
Investments	-	3,160
Notes Receivable, Excluding Current Portion	75,000	80,000
Unamortized Bond Issue Costs	72,333	72,975
Other Assets	12,327	16,372
Total Non-Current Assets	<u>25,880,290</u>	<u>22,522,003</u>
Total Assets	<u><u>27,218,821</u></u>	<u><u>25,610,760</u></u>
<b>Liabilities and Net Position</b>		
Current Liabilities:		
Accounts Payable	174,725	527,299
Accrued Interest	32,534	36,288
Other Accrued Expenses	64,063	53,964
Current Portion of Long-Term Debt	815,202	809,849
Total Current Liabilities	<u>1,086,524</u>	<u>1,427,400</u>
Non-Current Liabilities:		
Deferred Revenue	60,275	-
Long-Term Debt:		
Long-Term Debt, Excluding Current Portion	9,497,561	10,312,764
Less: Deferred Refunding Loss	117,223	141,041
Total Long-Term Debt	<u>9,380,338</u>	<u>10,171,723</u>
Regulatory Liabilities	25,625	25,625
Total Non-Current Liabilities	<u>9,466,238</u>	<u>10,197,348</u>
Net Position:		
Net Investment in Capital Assets	15,432,243	11,573,107
Restricted	24,376	349,384
Unrestricted	1,209,440	2,063,521
Total Net Position	<u>16,666,059</u>	<u>13,986,012</u>
Total Liabilities and Net Position	<u><u>27,218,821</u></u>	<u><u>25,610,760</u></u>

*The notes to the financial statements are an integral part of this statement.*



**AUBURN WATER DISTRICT  
STATEMENTS OF REVENUES, EXPENSES AND CHANGES IN FUND NET POSITION -  
PROPRIETARY FUNDS  
YEARS ENDED DECEMBER 31,**

	<b>2012</b>	<b>2011</b>
Operating Revenues:		
Metered Residential	1,204,128	1,202,956
Flat Rate Residential	11,189	11,032
Metered Commercial	366,997	362,499
Metered Industrial	438,117	398,910
Metered Governmental	118,989	108,175
Public Fire Protection	556,918	556,918
Private Fire Protection	272,745	272,250
Meter Readings Data	50,000	50,000
Rents From Water Property	54,165	51,720
Total Operating Revenues	<u>3,073,248</u>	<u>3,014,460</u>
Operating Expenses:		
Operation and Maintenance	1,848,317	1,793,117
Depreciation	698,392	528,048
Assessments	15,770	7,212
Amortization	2,761	3,366
Total Operating Expenses	<u>2,565,240</u>	<u>2,331,743</u>
Net Operating Income	<u>508,008</u>	<u>682,717</u>
Non-Operating Revenues (Expenses):		
Jobbing Income - Net	54,307	72,132
Interest Income	19,754	28,991
Non-Utility Income	827	13,929
Loss on Disposition of Property	-	(12,883)
Interest Expense	(234,186)	(249,729)
Amortization of Bond Issue Costs	(10,191)	(9,481)
Total Non-Operating Revenues (Expenses)	<u>(169,489)</u>	<u>(157,041)</u>
Change in Net Position Before Construction Contributions	338,519	525,676
Contributions in Aid of Construction	<u>2,341,528</u>	<u>72,165</u>
Change in Net Position After Construction Contributions	2,680,047	597,841
Net Position - Beginning of Year	<u>13,986,012</u>	<u>13,388,171</u>
Net Position - End of Year	<u><u>16,666,059</u></u>	<u><u>13,986,012</u></u>

*The notes to the financial statements are an integral part of this statement.*



**AUBURN WATER DISTRICT  
STATEMENTS OF CASH FLOWS - PROPRIETARY FUNDS  
YEARS ENDED DECEMBER 31,**

	<b>2012</b>	<b>2011</b>
Cash Flows from Operating Activities:		
Receipts from Customers and Users	3,009,714	2,931,212
Payments to Suppliers	(1,206,046)	(829,088)
Payments to Employees	(931,632)	(935,285)
Net Cash Flows from Operating Activities	<u>872,036</u>	<u>1,166,839</u>
Cash Flows from Noncapital Financing Activities:		
Other Revenues	<u>55,134</u>	<u>86,061</u>
Net Cash Flows from Noncapital Financing Activities	<u>55,134</u>	<u>86,061</u>
Cash Flows from Capital and Related Financing Activities:		
Bond Proceeds	321,848	1,299,643
Special Deposits	3,160	-
Construction Receipts	24,949	72,165
Purchase of Capital Assets	(1,755,709)	(2,760,377)
Deferred Charges	(19,006)	-
Note Receivable Receipts	5,000	5,000
Interest Payments	(204,665)	(219,546)
Principal Payments	(809,849)	(724,110)
Net Cash Used in Capital and Related Financing Activities	<u>(2,434,272)</u>	<u>(2,327,225)</u>
Cash Flows from Investing Activities:		
Investment Income	<u>19,754</u>	<u>28,991</u>
Net Cash Flows from Investing Activities	<u>19,754</u>	<u>28,991</u>
Net Increase (Decrease) in Cash and Cash Equivalents	(1,487,348)	(1,045,334)
Cash and Cash Equivalents at Beginning of Year	<u>2,390,323</u>	<u>3,435,657</u>
Cash and Cash Equivalents at End of Year	<u><u>902,975</u></u>	<u><u>2,390,323</u></u>
Reconciliation of Operating Income to Net Cash Flows from Operating Activities:		
Operating Income	508,008	682,717
Adjustments to Reconcile Operating Income to Net Cash Flows from Operating Activities:		
Depreciation	698,392	528,048
Amortization	2,761	3,366
Change in Operating Assets and Liabilities:		
(Increase) Decrease in Accounts Receivable	(63,534)	(83,248)
(Increase) Decrease in Prepayments	4,564	(15,230)
(Increase) Decrease in Other Assets	4,045	4,045
(Decrease) Increase in Accounts Payable	(352,574)	44,770
(Decrease) Increase in Other Accrued Expenses	10,099	2,371
(Decrease) Increase in Deferred Revenue	60,275	-
Net Cash Flows from Operating Activities	<u><u>872,036</u></u>	<u><u>1,166,839</u></u>

*The notes to the financial statements are an integral part of this statement.*



**NOTE 1: SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

Auburn Water District's (the District) financial statements include the operations for which the Board of Trustees exercises oversight responsibility. Oversight responsibility is demonstrated by financial interdependency, designation of management, ability to significantly influence operations, and accountability for fiscal matters.

**Nature of Business**

The District supplies water and fire protection services in the City of Auburn. Its accounting policies conform to generally accepted accounting principles as applicable to the quasi-municipal units, which utilize the accrual basis of accounting and to the regulations of the Maine Public Utilities Commission. The District also provides water services to portions of the Town of Poland. The majority of operating revenue is derived from metered sales of water, public fire protection and private fire protection.

**Use of Estimates**

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities at the date of financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

**Cash, Cash Equivalents and Custodial Credit Risk**

For the purpose of these statements, the District considers all highly liquid debt instruments purchased with a maturity of six months or less to be cash equivalents. All cash and cash equivalents are available for current use at December 31, 2012 and 2011. The District does not have policies for bank balances not covered by depository insurance known as custodial credit risk. At December 31, 2012, all cash and cash equivalents are covered by FDIC insurance. At December 31, 2011, cash and cash equivalents totaling \$493,100 was uninsured and uncollateralized.

**Accounts Receivable**

Accounts receivables are stated at net realizable value. Management provides for probable uncollectible amounts through a charge to earnings and a credit to a valuation allowance based on its assessment of the current status of individual accounts. Balances that are still outstanding after management has used reasonable collections efforts are written off through a charge to the valuation allowance. The allowance for doubtful accounts as of December 31, 2012 and 2011 was \$19,054 and \$25,349, respectively.

**Undrawn Bond Funds**

Undrawn bond funds consist of the undrawn balances of the May 9, 2009 and December 10, 2010 Maine Municipal Bond Bank bond issues at December 31, 2012 and 2011, respectively.



**NOTE 1: SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)**

**Prepayments**

Prepaid expenses are as follows at December 31:

	<b>2012</b>	<b>2011</b>
Dues	8,564	7,202
Insurance	<u>16,651</u>	<u>22,487</u>
	<u>25,125</u>	<u>29,689</u>

**Investments**

In accordance with bond indentures, the District had made deposits to U.S. Bank Debt Service Reserves totaling \$3,160 at December 31, 2011. These deposits were invested in a United States Treasury Obligation Fund and were reported at fair value. In 2012, these funds were used for U.S Bank debt service payments.

Maine Statutes authorize investments in obligations of the U.S. Treasury and U.S Agencies, repurchase agreements, certain certificates of deposit and certain money market funds. The District has not formally adopted deposit and investment policies that limit the District's allowable deposits or investments and address the specific types of risk to which the District is exposed beyond Maine Statutes.

**Notes Receivable**

In 2010, the District sold its non-utility property receiving a \$100,000 promissory note bearing 0% interest to be paid off by December 31, 2019. The notes receivable balance at December 31, 2012 and 2011 was \$80,000 and \$85,000, respectively.

Principal payments to be received will be as follows:

2013	5,000
2014	5,000
2015	5,000
2016	5,000
2017	5,000
2018	5,000
2019	<u>50,000</u>
	<u>80,000</u>

**Unamortized Bond Issue Costs**

Bond issue costs are being amortized over the lives of the applicable bond issues. The amounts amortized in 2012 and 2011 were \$10,191 and \$9,481, respectively.



**NOTE 1: SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)**

**Property, Plant and Equipment**

Property, plant and equipment are stated at cost. Depreciation has been provided on a basis considered adequate to amortize the cost of depreciable assets over their estimated useful lives on the straight-line method at rates from 1% to 15%. These rates conform to Maine Public Utilities Commission, Chapter 680. Organization, franchise and land costs are not being depreciated because they have indefinite useful lives.

**Other Assets**

Other assets consist of engineering studies that are being amortized over ten years ending in 2016 and rate case expenses being amortized over three years ending in 2013. Unamortized engineering studies totaled \$11,045 and \$13,807 at December 31, 2012 and 2011, respectively. Unamortized rate case expenses totaled \$1,282 and \$2,565 at December 31, 2012 and 2011, respectively.

**Other Accrued Expenses**

Other accrued expenses are as follows at December 31:

	<b>2012</b>	<b>2011</b>
Customer Deposits	5,555	5,220
Security Deposits	3,000	3,000
Sales Tax	2,258	2,479
Accrued Benefits	53,250	43,265
	<u>64,063</u>	<u>53,964</u>

**Regulatory Liabilities**

Regulatory liabilities represent amounts recovered from customers in advance of incurring the costs. The District's liability at December 31, 2012 and 2011 totaling \$25,625, relates to watershed protection and employee benefits.

**Contributions in Aid of Construction**

Contributions in aid of construction include direct non-refundable contributions. Utility plant funded by contributions is not depreciated for rate making purposes. The net contributions in aid of construction included in total net position at December 31, 2012 and 2011 is \$6,967,987 and \$4,798,457, respectively.

**Restricted Resources**

The District's policy is to first apply restricted resources when an expense is incurred for purposes for which both restricted and unrestricted net positions are available.



**NOTE 1: SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)**

**Regulation**

As a regulated water utility, the District is subject to regulation by the Maine Public Utilities Commission, which has jurisdiction with respect to rates, service, accounting procedures, acquisitions and other matters. The District defers certain costs and credits as regulatory assets and liabilities when it is probable that such amounts will be recognized in the rate making process in a period different from the period in which they would have been reflected in income by an unregulated entity.

**Compensated Absences**

The District reports compensated absences in accordance with the provisions of Governmental Accounting Standards Board (GASB) Statement No. 16 *Accounting for Compensated Absences*. Vacation benefits are accrued as a liability as the benefits are earned if the employees' rights to receive compensation are attributable to services already rendered and it is probable that the employer will compensate the employees for the benefits through paid time off or some other means. According to the District's personnel handbook, "employees who retire from the District will be paid one half of their earned unused sick time at their current hourly rate, otherwise, employees are not paid for earned but unused sick leave upon separation from services". The sick leave liability balance only includes employees that are eligible for retirement at year end; the liability for all other employees could not be accurately determined. The accrued benefits liability at December 31, 2012 and 2011 is included in Other Accrued Expenses and is broken down as follows:

	<b>2012</b>	<b>2011</b>
Vacation	49,511	43,265
Sick Leave	3,739	-0-
	<u>53,250</u>	<u>43,265</u>

**Measurement Focus, Basis of Accounting and Basis of Presentation**

The District follows GASB Statement No. 62, *Codification of Accounting and Financial Reporting Guidance Contained in Pre-November 30, 1989 FASB and AICPA Pronouncements*. This Statement provides specific guidance as to which FASB and AICPA pronouncement provisions should be applied to state and local governments. The District prepares its financial statements on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America for proprietary funds, which are similar to those for private business enterprises. Proprietary funds are accounted for on the flow of economic resource measurement. Accordingly, revenues are recorded when earned and expenses are recorded when incurred. Proprietary funds distinguish operating revenues and expenses from non-operating activity. Operating revenues arise from providing goods or services to outside parties for a fee. Revenues and expenses that are not derived directly from operations are reported as non-operating revenues and expense.



**NOTE 1: SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)**

**Deferred Revenue**

In 2012, the District accepted ownership of utility plant consisting of mains, services, hydrants, a pump station and tank from the Town of Poland. Because there are not enough customers serviced by this system to maintain proper drinking water quality levels, bleeders were installed. To compensate for this cost, the Town of Poland paid the District \$60,275, which covers five years of operations based on current pumping and treatment costs estimated at \$12,055 annually. Funds will be withdrawn approximately every six months to either compensate the District for its expenses, or returned to the Town based on received customer revenues. The revenue will be recognized when expenses are incurred by the District.

**Income Taxes**

The District qualifies as a tax-exempt organization under the provisions of the Internal Revenue Code and, accordingly, its revenue is not subject to any state or federal income taxes.

**Fair Value Measurements**

In accordance with Financial Accounting Standards, a three-tiered hierarchy of input levels is used for measuring fair value. Financial Accounting Standards defines fair value as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. Valuation techniques utilized to determine fair value are consistently applied. The three tiers of input used for fair value measurement are as follows:

Level 1: Fair values based on quoted market prices in active markets for identical assets and liabilities.

Level 2: Fair values are based on observable inputs that include: quoted market prices for similar assets and liabilities; quoted market prices that are not in an active market; or other inputs that are observable in the market and can be corroborated by observable market data for substantially the full term of the assets.

Level 3: Fair values are calculated by the use of pricing models and/or discounted cash flow methodologies and may require significant management judgment or estimation. These methodologies may result in a significant portion of the fair value being derived from unobservable data.

The District's only financial instrument that is reported at fair value is investments which fall under Level 1 of the fair value hierarchy.



**AUBURN WATER DISTRICT  
NOTES TO FINANCIAL STATEMENTS  
DECEMBER 31, 2012 AND 2011**

**NOTE 2: LONG-TERM DEBT**

Bonds payable as of December 31:

	2011 Balance	Increases	Decreases	2012 Balance
Bond payable to Maine Municipal Bond Bank, maturing in 2021. Interest rates of 2.55% - 5.25%.	1,037,464		82,758	954,706
Bond payable to Maine Municipal Bond Bank, maturing in 2021. Interest rate of 0.00%.	1,244,250		124,425	1,119,825
Bond payable to Maine Municipal Bond Bank, maturing in 2027. Interest rate of 1.59%.	554,849		30,722	524,127
Bond payable to Maine Municipal Bond Bank, maturing in 2028. Interest rate of 1.25%.	432,685		23,002	409,683
Bond payable to Maine Municipal Bond Bank, maturing in 2029. Interest rate of 0.00%.	2,074,188		118,525	1,955,663
Bond payable to Maine Municipal Bond Bank, maturing in 2029. Interest rate of 0.00%.	1,002,536		57,288	945,248
Bond payable to Maine Municipal Bond Bank, maturing in 2025. Interest rates of 2.00% - 5.50%.	1,727,507		241,108	1,486,399
Bond payable to Maine Municipal Bond Bank, maturing in 2030. Interest rates of 2.00% - 5.12%.	2,691,165		114,821	2,576,344
Bond payable to Maine Municipal Bond Bank, maturing in 2030. Interest rate of 1.00%.	357,969		17,201	340,768
<b>Total Outstanding Debt</b>	<b>11,122,613</b>		<b>809,850</b>	<b>10,312,763</b>
<b>Less: Current Portion</b>	<b>809,849</b>			<b>815,202</b>
<b>Total Long-Term Debt</b>	<b>10,312,764</b>			<b>9,497,561</b>
<b>Less: Deferred Refunding Loss</b>	<b>141,041</b>			<b>117,223</b>
<b>Outstanding Bonds Less Refunding Loss To Be Amortized</b>	<b>10,171,723</b>			<b>9,380,338</b>



**NOTE 2: LONG-TERM DEBT (CONTINUED)**

On October 28, 2010, the District issued \$1,963,614 in bonds with an average interest rate of 2.53% to refund \$2,160,000 of outstanding bonds with an average interest rate of 4.63%. The bond proceeds plus an additional \$377,912 of sinking fund monies were used to currently refund \$1,350,000 of 1994 and 1998 bonds and advance refund \$820,000 of 2005 bonds. The advance refund proceeds were used to purchase U.S. government securities and were deposited in an irrevocable trust with an escrow agent to provide for all future debt service payments. As a result, all refunded bonds are considered to be defeased and the liability for these bonds has been removed from the District's financial statements.

The District refunded the bonds to remove restrictive bond covenants and to reduce total debt service payments over the next 15 years by \$549,852. The District obtained an economic gain (difference between the present values of the debt service payments on the old and new debt) of \$437,439. The reacquisition price exceeded the net carrying amount of the old debt by \$183,342. This amount is being netted against the new debt and amortized over the remaining life of the refunded debt, which is shorter than the life of the new debt issued.

Future maturities of long-term debt are scheduled as follows:

	<b>Principal</b>	<b>Interest</b>	<b>Total</b>
2013	815,202	186,809	1,002,011
2014	831,493	172,691	1,004,184
2015	732,501	159,961	892,462
2016	741,116	149,183	890,299
2017	745,462	137,566	883,028
2018 – 2022	3,309,684	505,698	3,815,382
2023 – 2027	2,261,620	259,121	2,520,741
2028 – 2030	875,685	54,882	930,567
	<u>10,312,763</u>	<u>1,625,911</u>	<u>11,938,674</u>

**NOTE 3: NET POSITION**

Net position comprises the various net earnings from operating and non-operating revenues, expenses and contributions of capital. Net position is classified in the following components: net investment in capital assets, restricted, and unrestricted. Net investment in capital assets consists of capital assets, net of accumulated depreciation, reduced by the outstanding balances of bonds, mortgages, notes, or other borrowings that are attributable to the acquisition, construction, or improvement of those assets and adding back unspent bond proceeds. The District's net investment in capital assets was calculated as follows at December 31:

	<b>2012</b>	<b>2011</b>
Total Property, Plant and Equipment	25,720,630	22,349,496
Unspent Bond Proceeds	24,376	346,224
Long-Term Debt, Including Current Portion	<u>(10,312,763)</u>	<u>(11,122,613)</u>
Net Investment in Capital Assets	<u>15,432,243</u>	<u>11,573,107</u>



**AUBURN WATER DISTRICT  
NOTES TO FINANCIAL STATEMENTS  
DECEMBER 31, 2012 AND 2011**

**NOTE 3: NET POSITION (CONTINUED)**

Restricted consists of net position for which constraints are placed thereon by external parties, such as lenders, grantors, contributors, laws, regulations and enabling legislation, including self-imposed legal mandates, less any related liabilities. Total restricted net position is expendable at December 31, 2012 and 2011. The District had restricted net position for the following as of December 31, 2012 and 2011, respectively:

	<b>2012</b>	<b>2011</b>
Debt Service	-0-	3,160
Construction Projects	<u>24,376</u>	<u>346,224</u>
	<u>24,376</u>	<u>349,384</u>

**NOTE 4: UTILITY PLANT**

Capital asset costs, additions and disposals are as follows for the year ended December 31:

	<b>2011</b>			<b>2012</b>
	<b>Balance</b>	<b>Additions</b>	<b>Disposals</b>	<b>Balance</b>
Organization	10,000			10,000
Franchises	1,000			1,000
Land	75,084			75,084
Structures	2,389,818	1,616,082		4,005,900
Collecting Reservoirs	7,488			7,488
Lake, River and Other Intakes	523,657			523,657
Supply Mains	6,020			6,020
Power Generation Equipment	309,260			309,260
Pumping Equipment	1,545,688	601,878		2,147,566
Water Treatment Equipment	1,373,869	775,303		2,149,172
Distribution Reservoirs	4,290,777	720,405		5,011,182
Mains	13,354,757	1,424,509	26,245	14,753,021
Services	830,703	162,154	1,680	991,177
Meters	1,293,028	266,443	91,467	1,468,004
Hydrants	672,493	59,117		731,610
Other Plant Equipment	109,370			109,370
Office Equipment	103,974	5,249	950	108,273
Transportation Equipment	316,080	30,592		346,672
Tools	185,340			185,340
Laboratory Equipment	22,228			22,228
Communication Equipment	370,825	12,398		383,223
Miscellaneous Equipment	146,463			146,463
Gross Utility Plant	<u>27,937,922</u>	<u>5,674,130</u>	<u>120,342</u>	<u>33,491,710</u>
Less: Accumulated Depreciation	<u>7,290,921</u>	<u>698,387</u>	<u>120,342</u>	<u>7,868,966</u>
Net Utility Plant	<u>20,647,001</u>	<u>4,975,743</u>	<u>-0-</u>	<u>25,622,744</u>



**NOTE 5: COMMITMENTS AND CONTINGENCIES**

In 1998, the District borrowed \$285,000 from the Maine Municipal Bond Bank on behalf of the Lake Auburn Watershed Protection Commission for the purpose of land acquisition within the watershed. The Commission is responsible for the bond repayments. If the Commission defaults on the bond repayment, the District would then be responsible for the repayment of the unpaid balance. The unpaid balance at December 31, 2012 and 2011 was \$19,000 and \$38,000, respectively. No liability has been recorded in the District's financial statements at December 31, 2012 and 2011.

The District entered into contracts with Constellation Energy to supply electricity to the District's facilities. Contracts are effective through May 31, 2015. The amount paid to Constellation Energy in 2012 and 2011 was \$200,971 and \$128,005, respectively.

**NOTE 6: STATEMENTS OF CASH FLOWS NON-CASH ITEMS**

In 2012, the District took ownership of capital assets with a fair value of \$2,316,579 from the Town of Poland. The receipt of these assets was recorded as contributions in aid of construction income. This transaction is considered a capital activity that affects recognized assets that does not result in cash receipts.

**NOTE 7: RETIREMENT PLAN**

The District sponsors an employee money purchase plan to which it contributes 6% of compensation of electing participants. Other employees, who were enrolled prior to June 1, 1997, participate in the Maine Public Employees Retirement System. The District also maintains a deferred compensation plan for those employees who wish to make additional contributions. Total pension expense was \$67,653 and \$61,103 at December 31, 2012 and 2011, respectively.

Beginning July 1, 1995, the District elected the Maine State Retirement's Consolidated Plan. As such, its costs from July 1, 2012 are 5.3% of covered payroll, plus \$3,290 per month to amortize the initial unpooled unfunded actuarial liability over five years. The Auburn Sewer District's share is 40% of that amount.

All Participating Local Districts (PLD) that enter the Consolidated Plan cease to be individual sponsors of a "Single-Employer Defined Benefit Pension Plan" and instead become participants in a "Cost-Sharing Multiple-Employer Defined Benefit Pension Plan". As such, the disclosures made by individual PLDs should reflect the assets and liabilities of the Consolidated Plan and not those of the PLD itself.

The Maine Public Employees Retirement System issues a publicly available financial report that includes financial statements and required supplementary information for the Participating Local Districts.



**NOTE 8: RISK MANAGEMENT**

The District is exposed to various risks of loss related to torts, theft of, damage to, and destruction of assets, errors and omissions, injuries to employees, and natural disasters. The District purchases commercial insurance for the risks of losses to which it is exposed. There have been no significant reductions in the commercial coverage from the prior year. The District is not aware of any material actual or potential claim liabilities which should be recorded at December 31, 2012 and 2011.

**NOTE 9: MAJOR CUSTOMERS**

Sales to the District's ten largest metered customers in 2012 and 2011, \$511,744 and \$471,346 respectively, accounted for 24% and 23% of metered water sales, respectively. The largest customer's revenue was 7.8% and 7.4% of metered sales in 2012 and 2011, respectively. Additionally, the District derived approximately 18% of its total operating revenues from public fire protection for the years ended December 31, 2012 and 2011.

**NOTE 10: SUBSEQUENT EVENTS**

In preparing these financial statements, the District has evaluated events and transactions for potential recognition or disclosure through March 9, 2013, the date of the financial statements were available to be issued.



**AUBURN WATER DISTRICT  
OPERATION AND MAINTENANCE EXPENSES  
YEARS ENDED DECEMBER 31,**

**SCHEDULE 1**

	<b>2012</b>	<b>2011</b>
Salaries and Wages:		
Pumping and Source Operation	265	657
Pumping and Source Maintenance	40,708	57,714
Treatment Operation	66,400	48,850
Distribution Operation	238,145	238,051
Distribution Maintenance	152,806	165,352
Customer Accounts	18,041	24,178
Administrative	73,274	67,138
Officers and Trustees	44,874	42,497
Total Salaries and Wages	<u>634,513</u>	<u>644,437</u>
Pensions and Benefits	<u>297,119</u>	<u>290,848</u>
Purchased Power:		
Pumping and Treatment Operation	150,046	150,115
Distribution Operation	11,726	11,349
Administrative	3,166	3,200
Total Purchased Power	<u>164,938</u>	<u>164,664</u>
Chemicals	<u>76,473</u>	<u>58,262</u>
Materials and Supplies:		
Pumping and Source Operation	(3,980)	5,467
Pumping and Source Maintenance	7	407
Treatment Operation	27,979	19,085
Distribution Operation	17,332	14,026
Distribution Maintenance	83,887	90,881
Administrative	3,660	8,556
Total Materials and Supplies	<u>128,885</u>	<u>138,422</u>
Contractual Services:		
Accounting	26,799	32,056
Legal	858	2,978
Pumping and Source Maintenance	4,062	356
Treatment Operation	25,169	14,723
Distribution Maintenance	33,210	10,747
Customer Accounts	9,806	9,927
Administrative	9,181	9,664
Watershed Protection	275,435	249,853
Total Contractual Services	<u>384,520</u>	<u>330,304</u>
Rentals	<u>2,308</u>	<u>2,574</u>

*See accompanying independent auditor's report.*



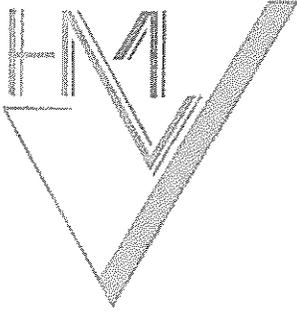
**AUBURN WATER DISTRICT  
OPERATION AND MAINTENANCE EXPENSES - CONTINUED  
YEARS ENDED DECEMBER 31,**

**SCHEDULE 1**

	<b>2012</b>	<b>2011</b>
Transportation:		
Pumping and Source Operation	30	69
Pumping and Source Maintenance	4,668	6,087
Treatment Operation	7,615	5,152
Distribution Operation	26,882	25,105
Distribution Maintenance	17,524	17,438
Customer Accounts	2,069	2,550
Administrative	8,403	7,081
Total Transportation:	<u>67,191</u>	<u>63,482</u>
Insurance:		
Vehicle	8,236	7,909
General Liability	13,238	14,621
Workmen's Compensation	24,213	28,115
Other	10,141	6,912
Total Insurance	<u>55,828</u>	<u>57,557</u>
Bad Debts	<u>(6,338)</u>	<u>-</u>
Rate Case Expense	<u>1,284</u>	<u>1,284</u>
Miscellaneous:		
Pumping and Source Operation	5	12
Pumping and Source Maintenance	782	1,414
Treatment Operation and Maintenance	8,689	4,180
Distribution Operation	19,464	20,715
Distribution Maintenance	8,569	8,040
Customer Accounting	665	3,222
Administrative	3,422	3,700
Total Miscellaneous	<u>41,596</u>	<u>41,283</u>
Total Operation and Maintenance Expenses	<u><u>1,848,317</u></u>	<u><u>1,793,117</u></u>
Total by Functions:		
Pumping and Source Operation	426,002	406,847
Pumping and Source Maintenance	67,328	93,508
Treatment Operation	242,744	171,215
Treatment Maintenance	4,102	2,641
Distribution Operation	429,456	427,099
Distribution Maintenance	373,912	369,577
Customer Accounting	33,622	51,560
Administrative	271,151	270,670
Total Operation and Maintenance Expenses By Function	<u><u>1,848,317</u></u>	<u><u>1,793,117</u></u>

*See accompanying independent auditor's report.*





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**REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE  
AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED  
IN ACCORDANCE WITH GOVERNMENT AUDITING STANDARDS**

**Independent Auditors' Report**

March 9, 2013

To the Board of Trustees  
Auburn Water District  
Auburn, Maine

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of net position, statements of revenues, expenses and changes in fund net position and statements of cash flows of Auburn Water District, as of and for the year ended December 31, 2012, and the related notes to the financial statements, which collectively comprise the Auburn Water District's basic financial statements, and have issued our report thereon dated March 9, 2013.

**Internal Control Over Financial Reporting**

In planning and performing our audit of the financial statements, we considered Auburn Water District's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of Auburn Water District's internal control. Accordingly, we do not express an opinion on the effectiveness of Auburn Water District's internal control.

*A deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

### **Compliance and Other Matters**

As part of obtaining reasonable assurance about whether Auburn Water District's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

### **Purpose of this Report**

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

*Horton McFarland & Veasey*



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5355	4/22/2013	4	Bisson Enterprises, Inc. I	2/28/2013	12452-	Stop pmt on ck#5355	-282.50
5355	4/22/2013	4	Bisson Enterprises, Inc. I	2/28/2013	12452-	Stop pmt on ck#5355	-282.50
5355	4/22/2013	4	Bisson Enterprises, Inc. I	2/28/2013	12453-	Stop pmt ck#5355	-206.00
5355	4/22/2013	4	Bisson Enterprises, Inc. I	2/28/2013	12453-	Stop pmt ck#5355	-206.00
							<b>-977.00</b>
5426	4/12/2013	4	Androscoggin Registry O	4/11/2013	3065	release lien	16.00
							<b>16.00</b>
5427	4/12/2013	3	Analytical Services, Inc.	3/25/2013	20777	March water testing	197.00
5427	4/12/2013	3	Analytical Services, Inc.	3/25/2013	20777	March water testing	197.00
							<b>394.00</b>
5428	4/12/2013	4	Auburn Water District	4/10/2013	3066	March -petty cash	15.15
5428	4/12/2013	4	Auburn Water District	4/10/2013	3066	March -petty cash	40.94
5428	4/12/2013	4	Auburn Water District	4/10/2013	3066	March -petty cash	34.34
5428	4/12/2013	4	Auburn Water District	4/10/2013	3066	March -petty cash	34.06
5428	4/12/2013	4	Auburn Water District	4/10/2013	3066	March -petty cash	11.74
5428	4/12/2013	4	Auburn Water District	4/10/2013	3066	March -petty cash	80.00
5428	4/12/2013	4	Auburn Water District	4/10/2013	3066	March -petty cash	3.25
5428	4/12/2013	4	Auburn Water District	4/10/2013	3066	March -petty cash	34.06
							<b>253.54</b>
5429	4/12/2013	4	Bel-Tone Answering Ser	4/3/2013	1607B5	April	27.50
5429	4/12/2013	4	Bel-Tone Answering Ser	4/3/2013	1607B5	April	27.50
							<b>55.00</b>
5430	4/12/2013	3	Constellation NewEnergy	3/27/2013	948826	UV- March	8,349.54
							<b>8,349.54</b>
5431	4/12/2013	4	Dead River Company	4/4/2013	75449	UV ops-tnk 5 propane	270.14
5431	4/12/2013	4	Dead River Company	4/4/2013	75449	UV ops-tnk 5 propane	270.13
							<b>540.27</b>
5432	4/12/2013	4	Dig Safe System, Inc.	4/3/2013	48010	April	324.31
5432	4/12/2013	4	Dig Safe System, Inc.	4/3/2013	48010	April	324.31
							<b>648.62</b>
5433	4/12/2013	3	Firesafe Equipment, Inc.	3/26/2013	104396	refill exting. barn	17.25
5433	4/12/2013	3	Firesafe Equipment, Inc.	3/26/2013	104396	refill exting. barn	17.25
							<b>34.50</b>
5434	4/12/2013	3	FedEx	4/1/2013	24647	March	43.37
5434	4/12/2013	3	FedEx	4/1/2013	24647	March	36.66
5434	4/12/2013	3	FedEx	4/1/2013	24647	March	80.02
							<b>160.05</b>
5435	4/12/2013	4	Fortier's Security Center	3/26/2013	192479	simplex hardware	210.00
5435	4/12/2013	4	Fortier's Security Center	3/26/2013	192479	simplex hardware	210.00
							<b>420.00</b>
5436	4/12/2013	4	Gilman Electrical Dist.	4/1/2013	76552	switches-compact	37.54
							<b>37.54</b>
5437	4/12/2013	3	Hach Company	3/25/2013	821713	lab supplies	102.37
5437	4/12/2013	3	Hach Company	3/22/2013	821391	contract	1,043.00
5437	4/12/2013	3	Hach Company	3/22/2013	821391	contract	2,086.00

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5437	4/12/2013	3	Hach Company	3/25/2013	821713	lab supplies	102.38
							<b>3,333.75</b>
5438	4/12/2013	3	Home Depot Credit Servi	3/26/2013	3061	March	227.59
5438	4/12/2013	3	Home Depot Credit Servi	3/26/2013	3061	March	40.95
5438	4/12/2013	3	Home Depot Credit Servi	3/26/2013	3061	March	25.20
5438	4/12/2013	3	Home Depot Credit Servi	3/26/2013	3061	March	13.20
5438	4/12/2013	3	Home Depot Credit Servi	3/26/2013	3061	March	39.46
5438	4/12/2013	3	Home Depot Credit Servi	3/26/2013	3061	March	227.58
							<b>573.98</b>
5439	4/12/2013	3	K. L. Jack & Co., Inc.	3/29/2013	534192	trailer parts	7.51
							<b>7.51</b>
5440	4/12/2013	3	Bisson Enterprises, Inc. I	3/23/2013	12559	UV insurance	1,490.00
							<b>1,490.00</b>
5441	4/12/2013	3	Sun-Journal	3/31/2013	3063	Watershed-public meeting	75.38
5441	4/12/2013	3	Sun-Journal	3/31/2013	3064	Watershed-intent to file	429.64
							<b>505.02</b>
5442	4/12/2013	4	Maine Central RR, Treasr	4/1/2013	413048	water pipe	15.00
							<b>15.00</b>
5443	4/12/2013	3	IDCSEVCO Business Srv	3/25/2013	372096	Toner	245.73
5443	4/12/2013	3	IDCSEVCO Business Srv	3/25/2013	372096	Toner	245.72
							<b>491.45</b>
5444	4/12/2013	3	W. B. Mason Co., Inc.	3/20/2013	I10543	Ink	15.00
5444	4/12/2013	3	W. B. Mason Co., Inc.	3/20/2013	I10543	Ink	15.00
							<b>30.00</b>
5445	4/12/2013	3	McMaster-Carr Supply C	3/29/2013	489588	compactor parts	88.31
							<b>88.31</b>
5446	4/12/2013	3	New England Truck Tire	3/27/2013	53876	pipe trailer flat repair	20.00
							<b>20.00</b>
5447	4/12/2013	3	E.J. Prescott, Inc.	3/18/2013	464397	vas usage	328.08
							<b>328.08</b>
5448	4/12/2013	3	Rainwise, Inc.	3/18/2013	60157	Water quality study	38.50
5448	4/12/2013	3	Rainwise, Inc.	3/18/2013	60157	Water quality study	38.50
							<b>77.00</b>
5449	4/12/2013	3	Redlon & Johnson	3/29/2013	078706	compactpr parts	35.00
							<b>35.00</b>
5450	4/12/2013	3	Staples Credit Plan	3/28/2013	3060	March	19.99
5450	4/12/2013	3	Staples Credit Plan	3/28/2013	3060	March	19.99
5450	4/12/2013	3	Staples Credit Plan	3/28/2013	3060	March	51.98
5450	4/12/2013	3	Staples Credit Plan	3/28/2013	3060	March	51.98
							<b>143.94</b>
5451	4/12/2013	4	Stratham Tire Inc.	4/5/2013	604429	tk #26 change over tires	24.00
							<b>24.00</b>
5452	4/12/2013	3	Unifirst Corp	12/21/2012	198244	Dec-UV ops	51.97
5452	4/12/2013	3	Unifirst Corp	12/21/2012	198244	Dec-UV ops	51.97
5452	4/12/2013	3	Unifirst Corp	2/15/2013	199858	Feb -UV ops	51.97

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5452	4/12/2013	3	Unifirst Corp	2/15/2013	199858	Feb -UV ops	51.97
							<b>207.88</b>
5453	4/12/2013	3	UNITIL ME	3/28/2013	3052	March- Court St	1,058.00
5453	4/12/2013	3	UNITIL ME	3/28/2013	3052	March- Court St	1,057.99
							<b>2,115.99</b>
5454	4/12/2013	4	University of Maine	4/4/2013	3062	Lynn - WET	20.00
							<b>20.00</b>
5455	4/12/2013	3	V W R Scientific	3/21/2013	805339	lab supplies	48.45
5455	4/12/2013	3	V W R Scientific	3/21/2013	805339	lab supplies	48.44
							<b>96.89</b>
5456	4/12/2013	3	YSI Incorporated	3/28/2013	519876	Probe assembly	1,141.71
5456	4/12/2013	3	YSI Incorporated	3/28/2013	519876	Probe assembly	1,141.70
							<b>2,283.41</b>
5457	4/19/2013	4	Al's Auto & Truck Garag	4/9/2013	2829	tk#29 trans cooler line	323.74
							<b>323.74</b>
5458	4/19/2013	4	Androscoggin Registry O	4/17/2013	3069	release liens	32.00
							<b>32.00</b>
5459	4/19/2013	3	City of Auburn	3/27/2013	109279	Center St- water leak	464.00
							<b>464.00</b>
5460	4/19/2013	4	Marc A. Bouyea	4/16/2013	3070	Court St-security parts	21.61
5460	4/19/2013	4	Marc A. Bouyea	4/16/2013	3070	Court St-security parts	21.61
							<b>43.22</b>
5461	4/19/2013	4	Calgon Carbon Corp	2/19/2013	213368	UV ins	30,107.56
							<b>30,107.56</b>
5462	4/19/2013	4	Dead River Company	4/10/2013	11257	UV tank#5 propane	200.72
5462	4/19/2013	4	Dead River Company	4/10/2013	11257	UV tank#5 propane	200.72
							<b>401.44</b>
5463	4/19/2013	4	Gagne & Sons	4/12/2013	202122	Watershed-2 anchors	72.94
							<b>72.94</b>
5464	4/19/2013	4	Rod Hill	4/17/2013	3071	Court St-door key pad	85.70
5464	4/19/2013	4	Rod Hill	4/17/2013	3071	Court St-door key pad	85.70
							<b>171.40</b>
5465	4/19/2013	4	HR Distributors, Inc	4/11/2013	229058	scada radios	186.10
							<b>186.10</b>
5466	4/19/2013	3	Kele Inc.	3/28/2013	170352	security devices-Court St	362.86
5466	4/19/2013	3	Kele Inc.	3/28/2013	170352	security devices-Court St	362.86
							<b>725.72</b>
5467	4/19/2013	3	Bisson Enterprises, Inc. I	3/31/2013	12575	UV- March	206.00
5467	4/19/2013	3	Bisson Enterprises, Inc. I	3/31/2013	12575	UV- March	206.00
5467	4/19/2013	3	Bisson Enterprises, Inc. I	3/31/2013	12574	March-Court St	282.50
5467	4/19/2013	3	Bisson Enterprises, Inc. I	3/31/2013	12574	March-Court St	282.50
							<b>977.00</b>
5468	4/19/2013	3	City of Lewiston	3/25/2013	600-40	February USDA	5,043.50
							<b>5,043.50</b>

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5469	4/19/2013	4	Maine Municipal Bond B	4/1/2013	5/1/13-2001FSFR		1,216.65
							<b>1,216.65</b>
5470	4/19/2013	3	Maine Oxy-Acetylene Cc	3/28/2013	308395shop-oxygen		10.90
5470	4/19/2013	3	Maine Oxy-Acetylene Cc	3/28/2013	308395shop-oxygen		10.89
							<b>21.79</b>
5471	4/19/2013	3	Maine Water Company	3/31/2013	16409 March		1,815.00
5471	4/19/2013	3	Maine Water Company	3/31/2013	16409 March		1,835.00
5471	4/19/2013	3	Maine Water Company	3/31/2013	16409-correct original amt		-20.00
							<b>3,630.00</b>
5472	4/19/2013	3	Northern Data Systems, I	3/29/2013	1950 March		718.40
5472	4/19/2013	3	Northern Data Systems, I	3/29/2013	1950 March		718.40
							<b>1,436.80</b>
5473	4/19/2013	4	Pine Tree Waste	4/1/2013	119946April		54.93
5473	4/19/2013	4	Pine Tree Waste	4/1/2013	119946April		54.92
							<b>109.85</b>
5474	4/19/2013	4	Pitney Bowes, Inc.	4/3/2013	409193rental 5/1 to 7/31		52.50
5474	4/19/2013	4	Pitney Bowes, Inc.	4/3/2013	409193rental 5/1 to 7/31		52.50
							<b>105.00</b>
5475	4/19/2013	3	Power Products	3/29/2013	897093UV ops generator repair		220.50
5475	4/19/2013	3	Power Products	3/29/2013	897093UV ops generator repair		220.50
							<b>441.00</b>
5476	4/19/2013	4	Q.C. Services, Inc.	4/8/2013	43894 microscope service		45.00
5476	4/19/2013	4	Q.C. Services, Inc.	4/8/2013	43894 microscope service		45.00
							<b>90.00</b>
5477	4/19/2013	4	Lynne Richard	4/11/2013	3072 reimbursement		428.93
5477	4/19/2013	4	Lynne Richard	4/11/2013	3072 reimbursement		171.76
							<b>600.69</b>
5478	4/19/2013	4	The Sign Store & Flag Cc	4/11/2013	20517 water withdrawal permits		40.00
							<b>40.00</b>
5479	4/19/2013	4	Specter Instruments	4/16/2013	130402scada software renewal		197.50
5479	4/19/2013	4	Specter Instruments	4/16/2013	130402scada software renewal		197.50
							<b>395.00</b>
5480	4/19/2013	4	US Bank Corporate Trust	3/28/2013	80936 2010 Series D		16,083.62
5480	4/19/2013	4	US Bank Corporate Trust	3/28/2013	80937 2010 Series D		2,373.52
5480	4/19/2013	4	US Bank Corporate Trust	3/28/2013	80959 2010 Series E		31,968.86
5480	4/19/2013	4	US Bank Corporate Trust	3/28/2013	80625 2002 Series C		24,332.97
							<b>74,758.97</b>
5481	4/19/2013	4	UPS	4/13/2013	173A7ship to Cal-Amp-Riverside CSO		13.82
							<b>13.82</b>
5482	4/19/2013	5	Wells Fargo Financial Le	4/4/2013	675566May		107.10
5482	4/19/2013	5	Wells Fargo Financial Le	4/4/2013	675566May		107.10
							<b>214.20</b>
5483	4/19/2013	4	Paul G White	3/2/2013	52938 UV ins		1,650.00
							<b>1,650.00</b>
5484	4/26/2013	4	ABC Pool & Spa Center	4/24/2013	75127 Taylor Pond-declor		147.12

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							<b>147.12</b>
5485	4/26/2013	4	Auburn Marina	4/18/2013	3076	Watershed	42.55
							<b>42.55</b>
5486	4/26/2013	3	City of Auburn	4/3/2013	109339	March -gas	213.63
5486	4/26/2013	3	City of Auburn	4/3/2013	109339	March -gas	332.31
5486	4/26/2013	3	City of Auburn	4/3/2013	109339	March -gas	31.98
5486	4/26/2013	3	City of Auburn	4/3/2013	109339	March -gas	465.36
5486	4/26/2013	3	City of Auburn	4/3/2013	109339	March -gas	299.05
5486	4/26/2013	3	City of Auburn	4/3/2013	109339	March -gas	71.97
5486	4/26/2013	3	City of Auburn	4/3/2013	109339	March -gas	147.46
5486	4/26/2013	3	City of Auburn	4/3/2013	109339	March -gas	308.65
5486	4/26/2013	3	City of Auburn	4/3/2013	109339	March -gas	188.40
5486	4/26/2013	3	City of Auburn	4/3/2013	109339	March -gas	83.16
							<b>2,141.97</b>
5487	4/26/2013	4	Bell/Simons Companies	4/10/2013	S90177	UV ops	360.33
5487	4/26/2013	4	Bell/Simons Companies	4/10/2013	S90177	UV ops	360.32
							<b>720.65</b>
5488	4/26/2013	4	Bob the Screenprinter	4/22/2013	8280	Wet	1,453.20
							<b>1,453.20</b>
5489	4/26/2013	4	Calgon Carbon Corp	2/19/2013	213368	UV ins	5,760.00
							<b>5,760.00</b>
5490	4/26/2013	4	Constellation NewEnergy	4/5/2013	960088	Court St	740.77
							<b>740.77</b>
5491	4/26/2013	4	Cumberland County SWC	4/23/2013	2902	Wet	800.00
							<b>800.00</b>
5492	4/26/2013	4	Eaton Corporation	3/29/2013	372434	UV insurance	1,729.00
							<b>1,729.00</b>
5493	4/26/2013	4	Gilman Electrical Dist.	4/12/2013	766964	shop	13.06
5493	4/26/2013	4	Gilman Electrical Dist.	4/12/2013	766964	shop	13.05
5493	4/26/2013	4	Gilman Electrical Dist.	4/10/2013	766722	security-Court St	72.78
5493	4/26/2013	4	Gilman Electrical Dist.	4/10/2013	766722	security-Court St	72.77
							<b>171.66</b>
5494	4/26/2013	4	Human Resource Partner	3/31/2013	2096	employee manual	250.00
5494	4/26/2013	4	Human Resource Partner	3/31/2013	2096	employee manual	250.00
							<b>500.00</b>
5495	4/26/2013	4	Kennebec Equip. Rental	4/23/2013	203979	saw blades	73.30
5495	4/26/2013	4	Kennebec Equip. Rental	4/23/2013	203979	saw blades	73.30
							<b>146.60</b>
5496	4/26/2013	4	Bisson Enterprises, Inc. I	2/28/2013	12452-	redo Feb billing	282.50
5496	4/26/2013	4	Bisson Enterprises, Inc. I	2/28/2013	12452-	redo Feb billing	282.50
5496	4/26/2013	4	Bisson Enterprises, Inc. I	2/28/2013	12453-	redo Feb billing	206.00
5496	4/26/2013	4	Bisson Enterprises, Inc. I	2/28/2013	12453-	redo Feb billing	206.00
							<b>977.00</b>
5497	4/26/2013	3	City of Lewiston	4/3/2013	600-40	March -flouride	664.37
							<b>664.37</b>

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5498	4/26/2013	4	Sun-Journal	4/16/2013	3074	hydrant flush ad	37.69
							<b>37.69</b>
5499	4/26/2013	4	Lowe's Business Account	4/17/2013	3078	April-meter parts	18.44
							<b>18.44</b>
5500	4/26/2013	4	Treasurer, State of Maine	4/25/2013	3075	registration&inventory fees	350.00
							<b>350.00</b>
5501	4/26/2013	4	W. B. Mason Co., Inc.	4/1/2013	I10750	ink, folders, tabs, sheets	84.37
5501	4/26/2013	4	W. B. Mason Co., Inc.	4/1/2013	I10750	ink, folders, tabs, sheets	84.36
5501	4/26/2013	4	W. B. Mason Co., Inc.	4/3/2013	I10783	ink	15.00
5501	4/26/2013	4	W. B. Mason Co., Inc.	4/3/2013	I10783	ink	15.00
5501	4/26/2013	4	W. B. Mason Co., Inc.	4/5/2013	I10847	ink, towels, trash bags	30.00
5501	4/26/2013	4	W. B. Mason Co., Inc.	4/5/2013	I10847	ink, towels, trash bags	68.85
5501	4/26/2013	4	W. B. Mason Co., Inc.	4/5/2013	I10847	ink, towels, trash bags	98.84
							<b>396.42</b>
5502	4/26/2013	4	Northern Data Systems, I	4/2/2013	801988	annual software renewal	318.50
5502	4/26/2013	4	Northern Data Systems, I	4/2/2013	801988	annual software renewal	318.50
							<b>637.00</b>
5503	4/26/2013	4	Oxford Networks	4/7/2013	3073	April	131.90
5503	4/26/2013	4	Oxford Networks	4/7/2013	3073	April	62.50
5503	4/26/2013	4	Oxford Networks	4/7/2013	3073	April	62.50
5503	4/26/2013	4	Oxford Networks	4/7/2013	3073	April	137.50
5503	4/26/2013	4	Oxford Networks	4/7/2013	3073	April	12.51
5503	4/26/2013	4	Oxford Networks	4/7/2013	3073	April	131.90
							<b>538.81</b>
5504	4/26/2013	4	E.J. Prescott, Inc.	4/5/2013	464931	vas usage	95.19
5504	4/26/2013	4	E.J. Prescott, Inc.	4/5/2013	464931	vas usage	118.48
5504	4/26/2013	4	E.J. Prescott, Inc.	4/5/2013	464931	vas usage	61.62
5504	4/26/2013	4	E.J. Prescott, Inc.	4/8/2013	464903	temp services	2,834.47
							<b>3,109.76</b>
5505	4/26/2013	4	The Sign Store & Flag Co	4/19/2013	20598	Watershed	28.00
							<b>28.00</b>
5506	4/26/2013	4	Spiller's	4/22/2013	128379	blue & green paint	83.28
5506	4/26/2013	4	Spiller's	4/22/2013	128379	blue & green paint	121.32
							<b>204.60</b>
5507	4/26/2013	4	U.S. Cellular	4/17/2013	3077	April	30.00
5507	4/26/2013	4	U.S. Cellular	4/17/2013	3077	April	206.44
5507	4/26/2013	4	U.S. Cellular	4/17/2013	3077	April	30.00
5507	4/26/2013	4	U.S. Cellular	4/17/2013	3077	April	206.43
							<b>472.87</b>
5508	5/3/2013	4	Rachel Morin	4/25/2013	3081	customer refund	23.47
							<b>23.47</b>
5509	5/3/2013	5	Androscoggin Registry O	5/1/2013	3085	place/release liens	64.00
							<b>64.00</b>
5510	5/3/2013	4	Analytical Services, Inc.	4/23/2013	20910	water testing-April	197.00
5510	5/3/2013	4	Analytical Services, Inc.	4/23/2013	20910	water testing-April	197.00

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							<b>394.00</b>
5511	5/3/2013	4	Auburn Water District	5/1/2013	3083	April petty cash	105.09
5511	5/3/2013	4	Auburn Water District	5/1/2013	3083	April petty cash	28.67
							<b>133.76</b>
5512	5/3/2013	4	Bon Appetit	4/30/2013	3080	WET	780.00
							<b>780.00</b>
5513	5/3/2013	4	Curry Printing #4317	4/15/2013	61291	blue cards	86.55
							<b>86.55</b>
5514	5/3/2013	4	Dead River Company	4/17/2013	43169	UV-propane	211.17
5514	5/3/2013	4	Dead River Company	4/17/2013	43169	UV-propane	211.17
5514	5/3/2013	4	Dead River Company	4/25/2013	64626/4	UV propane	180.25
5514	5/3/2013	4	Dead River Company	4/25/2013	64626/4	UV propane	180.24
							<b>782.83</b>
5515	5/3/2013	4	DirigoNet	5/2/2013	1401	April-email	25.00
5515	5/3/2013	4	DirigoNet	5/2/2013	1401	April-email	25.00
							<b>50.00</b>
5516	5/3/2013	4	G.A. Downing Co., Inc.	4/26/2013	426213	So Main	105.00
							<b>105.00</b>
5517	5/3/2013	4	Firesafe Equipment, Inc.	3/25/2013	48618	2 extinguishers	24.00
5517	5/3/2013	4	Firesafe Equipment, Inc.	3/25/2013	48618	2 extinguishers	24.00
							<b>48.00</b>
5518	5/3/2013	5	John B. Storer	4/11/2013	3068	May stipend	175.00
5518	5/3/2013	5	John B. Storer	4/11/2013	3068	May stipend	175.00
							<b>350.00</b>
5519	5/3/2013	4	Grainger	4/12/2013	911560	Hardscrabble-timing relays	243.12
							<b>243.12</b>
5520	5/3/2013	5	Group Dynamic Inc	4/15/2013	3082	May	82.50
							<b>82.50</b>
5521	5/3/2013	5	Lake Auburn Watershed	4/11/2013	3067	May	15,629.83
							<b>15,629.83</b>
5522	5/3/2013	3	City of Lewiston	4/5/2013	600-40	March- Rodrigue	1,217.80
5522	5/3/2013	3	City of Lewiston	4/5/2013	600-40	March gas	529.99
5522	5/3/2013	3	City of Lewiston	4/5/2013	600-40	March gas	40.83
5522	5/3/2013	3	City of Lewiston	4/5/2013	600-40	March gas	1,788.61
5522	5/3/2013	3	City of Lewiston	4/5/2013	600-40	March gas	131.94
							<b>3,709.17</b>
5523	5/3/2013	4	Sunbelt Rentals, Inc.	4/29/2013	397058	Trench box	15,837.00
							<b>15,837.00</b>
5524	5/3/2013	5	Maine Municipal Emp.Hl	4/10/2013	3079	mAY	15,313.29
5524	5/3/2013	5	Maine Municipal Emp.Hl	4/10/2013	3079	mAY	13.20
5524	5/3/2013	5	Maine Municipal Emp.Hl	4/10/2013	3079	mAY	609.59
5524	5/3/2013	5	Maine Municipal Emp.Hl	4/10/2013	3079	mAY	568.41
5524	5/3/2013	5	Maine Municipal Emp.Hl	4/10/2013	3079	mAY	3,022.06
							<b>19,526.55</b>
5525	5/3/2013	4	Maine Oxy-Acetylene Cc	4/10/2013	308459	ammonia	456.85

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							<b>456.85</b>
5526	5/3/2013	4	Martindale Associates, In	4/17/2013	IVC03537	Scada radios	13,065.73
5526	5/3/2013	4	Martindale Associates, In	4/17/2013	IVC03537	Scada radios	27,220.27
							<b>40,286.00</b>
5527	5/3/2013	4	W. B. Mason Co., Inc.	4/10/2013	I10928	ink, staples, pens, labels	24.96
5527	5/3/2013	4	W. B. Mason Co., Inc.	4/10/2013	I10928	ink, staples, pens, labels	24.96
5527	5/3/2013	4	W. B. Mason Co., Inc.	4/23/2013	561010	file cabinets - UV	3,934.23
5527	5/3/2013	4	W. B. Mason Co., Inc.	4/23/2013	561010	file cabinets - UV	3,934.23
							<b>7,918.38</b>
5528	5/3/2013	4	Northern Data Systems, I	4/9/2013	2073	ap cks	563.07
							<b>563.07</b>
5529	5/3/2013	4	Northland Industrial Truc	4/19/2013	R88025	So Main- rental	2,900.00
							<b>2,900.00</b>
5530	5/3/2013	4	Petro's	4/29/2013	75383	So Main	54.99
							<b>54.99</b>
5531	5/3/2013	4	E.J. Prescott, Inc.	4/11/2013	465022	temp services	1,002.80
5531	5/3/2013	4	E.J. Prescott, Inc.	4/11/2013	465022	So Main	7,378.96
5531	5/3/2013	4	E.J. Prescott, Inc.	4/11/2013	465022	So Main	8,421.11
5531	5/3/2013	4	E.J. Prescott, Inc.	4/8/2013	464470	So Main	4,436.29
5531	5/3/2013	4	E.J. Prescott, Inc.	4/8/2013	464903	So Main	19,956.59
5531	5/3/2013	4	E.J. Prescott, Inc.	4/8/2013	464470	So Main	45,570.17
5531	5/3/2013	4	E.J. Prescott, Inc.	4/8/2013	464900	So Main	25,032.00
5531	5/3/2013	4	E.J. Prescott, Inc.	4/8/2013	464985	So Main	27,118.00
5531	5/3/2013	4	E.J. Prescott, Inc.	4/12/2013	465176	So Main	40.34
5531	5/3/2013	4	E.J. Prescott, Inc.	4/10/2013	465026	temp services	327.09
							<b>139,283.35</b>
5532	5/3/2013	4	Rolyan Buoy	4/15/2013	360219	Watershed	1,817.00
5532	5/3/2013	4	Rolyan Buoy	4/18/2013	360236	Watershed-floats	870.00
							<b>2,687.00</b>
5533	5/3/2013	4	Skelton, Taintor & Abbot	2/12/2013	503350	Jan	413.00
5533	5/3/2013	4	Skelton, Taintor & Abbot	2/12/2013	503349	Jan	45.30
5533	5/3/2013	4	Skelton, Taintor & Abbot	2/12/2013	503349	Jan	45.30
5533	5/3/2013	4	Skelton, Taintor & Abbot	3/11/2013	503689	UV ins & Watershed	1,340.57
5533	5/3/2013	4	Skelton, Taintor & Abbot	3/11/2013	503689	UV ins & Watershed	383.50
5533	5/3/2013	4	Skelton, Taintor & Abbot	2/12/2013	503350	Jan	12.75
5533	5/3/2013	4	Skelton, Taintor & Abbot	2/12/2013	503350	Jan	12.75
							<b>2,253.17</b>
5534	5/3/2013	4	United Way Of Andr.Cou	5/1/2013	3084	April	245.00
5534	5/3/2013	4	United Way Of Andr.Cou	5/1/2013	3084	April	30.00
							<b>275.00</b>
5535	5/3/2013	4	Wright-Pierce	4/10/2013	88190	Littlefield Bridge	97.58
5535	5/3/2013	4	Wright-Pierce	4/10/2013	88190	Littlefield Bridge	97.58
							<b>195.16</b>
5536	5/10/2013	5	Auburn Motor Sales	5/7/2013	84502	2013 Ford F150 truck	11,491.00
5536	5/10/2013	5	Auburn Motor Sales	5/7/2013	84502	2013 Ford F150 truck	11,491.00

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							<b>22,982.00</b>
5537	5/10/2013	5	Bel-Tone Answering Ser	5/1/2013	1607B6	May	45.00
5537	5/10/2013	5	Bel-Tone Answering Ser	5/1/2013	1607B6	May	45.00
							<b>90.00</b>
5538	5/10/2013	5	Dead River Company	5/2/2013	6045/9	tank #3 & 5	144.37
5538	5/10/2013	5	Dead River Company	5/2/2013	6045/9	tank #3 & 5	144.37
							<b>288.74</b>
5539	5/10/2013	5	Dig Safe System, Inc.	5/2/2013	48183	May	324.31
5539	5/10/2013	5	Dig Safe System, Inc.	5/2/2013	48183	May	324.31
							<b>648.62</b>
5540	5/10/2013	4	Gilman Electrical Dist.	4/29/2013	768201	Court St	57.22
5540	5/10/2013	4	Gilman Electrical Dist.	4/29/2013	768201	Court St	57.22
5540	5/10/2013	5	Gilman Electrical Dist.	5/3/2013	769073	Court St	37.75
5540	5/10/2013	5	Gilman Electrical Dist.	5/3/2013	769073	Court St	37.74
5540	5/10/2013	5	Gilman Electrical Dist.	5/2/2013	768830	Court St	33.54
5540	5/10/2013	5	Gilman Electrical Dist.	5/2/2013	768830	Court St	33.53
							<b>257.00</b>
5541	5/10/2013	4	Home Depot Credit Servi	4/25/2013	3087	April	141.53
5541	5/10/2013	4	Home Depot Credit Servi	4/25/2013	3087	April	59.92
5541	5/10/2013	4	Home Depot Credit Servi	4/25/2013	3087	April	23.80
5541	5/10/2013	4	Home Depot Credit Servi	4/25/2013	3087	April	79.62
5541	5/10/2013	4	Home Depot Credit Servi	4/25/2013	3087	April	59.82
							<b>364.69</b>
5542	5/10/2013	4	Vantagepoint Transfer A	4/30/2013	3091	April	2,548.20
							<b>2,548.20</b>
5543	5/10/2013	4	Vantagepoint Transfer A	4/30/2013	3090	April	2,996.09
							<b>2,996.09</b>
5544	5/10/2013	4	Jordan Equipment Co.	4/26/2013	13-054	2013 Compactor	3,331.50
5544	5/10/2013	4	Jordan Equipment Co.	4/26/2013	13-054	2013 Compactor	3,331.50
							<b>6,663.00</b>
5545	5/10/2013	4	Kennebec Equip. Rental	4/24/2013	203997	shop-hose	31.16
5545	5/10/2013	4	Kennebec Equip. Rental	4/24/2013	203997	shop-hose	31.16
							<b>62.32</b>
5546	5/10/2013	4	Bisson Enterprises, Inc. I	4/30/2013	12697	April-UV ops	206.00
5546	5/10/2013	4	Bisson Enterprises, Inc. I	4/30/2013	12697	April-UV ops	206.00
5546	5/10/2013	4	Bisson Enterprises, Inc. I	4/30/2013	12696	Court St-April	282.50
5546	5/10/2013	4	Bisson Enterprises, Inc. I	4/30/2013	12696	Court St-April	282.50
							<b>977.00</b>
5547	5/10/2013	4	Maine Oxy-Acetylene Cc	4/18/2013	308493	refill freeze machine	33.35
							<b>33.35</b>
5548	5/10/2013	4	Maine Water Company	4/29/2013	16450	April-Leighton	1,650.00
5548	5/10/2013	4	Maine Water Company	4/29/2013	16450	April-Leighton	1,650.00
							<b>3,300.00</b>
5549	5/10/2013	4	W. B. Mason Co., Inc.	4/19/2013	I11092	paper, labels, tape	112.07
5549	5/10/2013	4	W. B. Mason Co., Inc.	4/19/2013	I11092	paper, labels, tape	112.07

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5549	5/10/2013	4	W. B. Mason Co., Inc.	4/30/2013	561964	Lynn - 6 chairs	796.98
5549	5/10/2013	4	W. B. Mason Co., Inc.	4/30/2013	561964	Lynn - 6 chairs	796.98
							<b>1,818.10</b>
5550	5/10/2013	4	Mid Maine Waste	4/29/2013	769409	Watershed	59.41
							<b>59.41</b>
5551	5/10/2013	4	Napa Auto Parts	4/30/2013	3086	April	6.62
5551	5/10/2013	4	Napa Auto Parts	4/30/2013	3086	April	372.20
5551	5/10/2013	4	Napa Auto Parts	4/30/2013	3086	April	26.80
5551	5/10/2013	4	Napa Auto Parts	4/30/2013	3086	April	39.19
5551	5/10/2013	4	Napa Auto Parts	4/30/2013	3086	April	30.99
							<b>475.80</b>
5552	5/10/2013	4	Ness Oil Co.	4/30/2013	3088	April	76.24
5552	5/10/2013	4	Ness Oil Co.	4/30/2013	3088	April	764.59
5552	5/10/2013	4	Ness Oil Co.	4/30/2013	3088	April	203.86
							<b>1,044.69</b>
5553	5/10/2013	5	Pitney Bowes, Inc.	5/3/2013	385413	rental 6/1 to 8/31	30.00
5553	5/10/2013	5	Pitney Bowes, Inc.	5/3/2013	385413	rental 6/1 to 8/31	30.00
5553	5/10/2013	5	Pitney Bowes, Inc.	5/3/2013	385414	rental 6/1 to 8/31	200.00
5553	5/10/2013	5	Pitney Bowes, Inc.	5/3/2013	385414	rental 6/1 to 8/31	200.00
							<b>460.00</b>
5554	5/10/2013	4	Power Products	4/23/2013	898804	Hardscrabble	355.00
5554	5/10/2013	4	Power Products	4/23/2013	898808	268 Court St	80.00
5554	5/10/2013	4	Power Products	4/23/2013	898808	268 Court St	80.00
5554	5/10/2013	4	Power Products	4/23/2013	898807	Lake Auburn Pump station	92.50
5554	5/10/2013	4	Power Products	4/23/2013	898807	Lake Auburn Pump station	92.50
							<b>700.00</b>
5555	5/10/2013	4	E.J. Prescott, Inc.	4/17/2013	464825	standpipe seals	37.80
							<b>37.80</b>
5556	5/10/2013	4	R & B Services	5/6/2013	213	So Main w/e5/4	651.75
5556	5/10/2013	4	R & B Services	5/6/2013	213	So Main w/e5/4	173.25
							<b>825.00</b>
5557	5/10/2013	5	Redlon & Johnson	5/2/2013	97539	meter dept	66.45
							<b>66.45</b>
5558	5/10/2013	4	Rent-It Of Maine, Inc.	2/4/2013	33519	UV ins	1,265.75
							<b>1,265.75</b>
5559	5/10/2013	4	St. Lawrence & Atlantic I	4/29/2013	EA130	Jan to Dec 2013 license	150.00
5559	5/10/2013	4	St. Lawrence & Atlantic I	4/29/2013	EA130	Jan to Dec 2013 license	310.00
							<b>460.00</b>
5560	5/10/2013	4	The Sign Store & Flag Co	4/30/2013	20788	Watershed	185.00
							<b>185.00</b>
5561	5/10/2013	4	Titan Mechanical, Inc	4/30/2013	15960	UV boiler emergency call	988.47
5561	5/10/2013	4	Titan Mechanical, Inc	4/30/2013	15960	UV boiler emergency call	988.47
							<b>1,976.94</b>
5562	5/10/2013	5	T W Paving, Inc.	5/7/2013	002	water leaks, boxes, sewer	749.77
5562	5/10/2013	5	T W Paving, Inc.	5/7/2013	002	water leaks, boxes, sewer	497.78

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5562	5/10/2013	5	T W Paving, Inc.	5/6/2013	001	water leaks, boxes, sewer	803.33
5562	5/10/2013	5	T W Paving, Inc.	5/6/2013	001	water leaks, boxes, sewer	435.55
5562	5/10/2013	5	T W Paving, Inc.	5/6/2013	001	water leaks, boxes, sewer	1,492.00
5562	5/10/2013	5	T W Paving, Inc.	5/7/2013	002	water leaks, boxes, sewer	883.56
							<b>4,861.99</b>
5563	5/10/2013	4	UNITIL ME	4/26/2013	3089	Court St	823.52
5563	5/10/2013	4	UNITIL ME	4/26/2013	3089	Court St	823.52
							<b>1,647.04</b>
5564	5/10/2013	4	V W R Scientific	4/23/2013	805373	lab supplies	66.75
5564	5/10/2013	4	V W R Scientific	4/23/2013	805373	lab supplies	66.75
							<b>133.50</b>
5565	5/10/2013	4	St. Mary's Health System	2/5/2013	155690	hearing test	36.00
5565	5/10/2013	4	St. Mary's Health System	5/1/2013	157500	physical	68.00
5565	5/10/2013	4	St. Mary's Health System	5/1/2013	157500	physical	68.00
							<b>172.00</b>
5566	5/17/2013	5	Affordable Office Solutic	5/2/2013	113-86	furniture-Lynn	894.50
5566	5/17/2013	5	Affordable Office Solutic	5/2/2013	113-86	furniture-Lynn	894.50
							<b>1,789.00</b>
5567	5/17/2013	5	Auburn Motor Sales	5/9/2013	12077	tk#36 3rd key	13.56
							<b>13.56</b>
5568	5/17/2013	5	Coastal T-Shirts	5/3/2013	9580	safety vests	130.00
5568	5/17/2013	5	Coastal T-Shirts	5/3/2013	9580	safety vests	130.00
5568	5/17/2013	5	Coastal T-Shirts	5/3/2013	9579	Ken & Kevin	63.00
5568	5/17/2013	5	Coastal T-Shirts	5/3/2013	9579	Ken & Kevin	63.00
							<b>386.00</b>
5569	5/17/2013	4	Constellation NewEnergy	4/26/2013	984486	UV April	9,917.98
							<b>9,917.98</b>
5570	5/17/2013	5	DirigoNet	5/16/2013	1414	software issues	63.75
5570	5/17/2013	5	DirigoNet	5/16/2013	1414	software issues	63.75
							<b>127.50</b>
5571	5/17/2013	5	FedEx	5/6/2013	46494	April	36.99
5571	5/17/2013	5	FedEx	5/6/2013	46494	April	86.75
5571	5/17/2013	5	FedEx	5/6/2013	46494	April	36.99
							<b>160.73</b>
5572	5/17/2013	4	Gagne & Sons	4/30/2013	202177	Watershed	146.82
5572	5/17/2013	5	Gagne & Sons	4/29/2013	201269	So Main	648.90
							<b>795.72</b>
5573	5/17/2013	5	J.L. Hayes & Co., Inc.	5/10/2013	338267	shovel	18.99
							<b>18.99</b>
5574	5/17/2013	5	Horizon Solutions, LLC	4/26/2013	403931	Hill- seminar	375.00
							<b>375.00</b>
5575	5/17/2013	4	K. L. Jack & Co., Inc.	4/26/2013	534687	Watershed	91.67
							<b>91.67</b>
5576	5/17/2013	4	City of Lewiston	4/25/2013	600-40	UV-ops-Lamps	2,802.00
							<b>2,802.00</b>

**Auburn Water District  
Accounts Payable Numeric Check Register  
April 10, 2013 thru May 17, 2013**

<u>Check #</u>	<u>Date</u>	<u>Per</u>	<u>Vendor</u>	<u>Inv Date</u>	<u>Inv #</u>	<u>Description</u>	<u>Amount</u>
5577	5/17/2013	5	Maine Hardware	5/10/2013	395103	So Main	60.77
							<b>60.77</b>
5578	5/17/2013	4	W. B. Mason Co., Inc.	4/25/2013	I11187	ink, tape, trash bags	58.93
5578	5/17/2013	4	W. B. Mason Co., Inc.	4/25/2013	I11187	ink, tape, trash bags	84.67
5578	5/17/2013	4	W. B. Mason Co., Inc.	4/25/2013	I11187	ink, tape, trash bags	25.74
5578	5/17/2013	4	W. B. Mason Co., Inc.	4/26/2013	I11208	trash bags, towels	188.76
5578	5/17/2013	4	W. B. Mason Co., Inc.	4/26/2013	I11208	trash bags, towels	188.75
5578	5/17/2013	4	W. B. Mason Co., Inc.	5/1/2013	CR093	return trash bags	-58.93
5578	5/17/2013	4	W. B. Mason Co., Inc.	5/1/2013	CR093	return trash bags	-58.93
							<b>428.99</b>
5579	5/17/2013	5	Mid Maine Waste	4/29/2013	769409	remainder of invoice	15.90
							<b>15.90</b>
5580	5/17/2013	5	Morin Excavating, Inc.	5/13/2013	09-970	So Main	512.00
							<b>512.00</b>
5581	5/17/2013	4	Northern Data Systems, I	4/30/2013	2154	April	971.52
5581	5/17/2013	4	Northern Data Systems, I	4/30/2013	2154	April	971.52
							<b>1,943.04</b>
5582	5/17/2013	5	Paul's Clothing & Shoe	4/26/2013	42613	Lane	166.97
							<b>166.97</b>
5583	5/17/2013	5	Petro's	5/14/2013	76889	loam & seed	79.99
5583	5/17/2013	5	Petro's	5/14/2013	76889	loam & seed	79.98
							<b>159.97</b>
5584	5/17/2013	4	Pike Industries, Inc.	4/29/2013	28778	So Main	3,956.00
							<b>3,956.00</b>
5585	5/17/2013	5	Pine Tree Waste	5/1/2013	121280	May	56.73
5585	5/17/2013	5	Pine Tree Waste	5/1/2013	121280	May	56.72
							<b>113.45</b>
5586	5/17/2013	5	Portland Public Schools	5/9/2013	2013-0	WET	441.39
							<b>441.39</b>
5587	5/17/2013	4	E.J. Prescott, Inc.	4/22/2013	465526	temp services	983.89
5587	5/17/2013	4	E.J. Prescott, Inc.	4/22/2013	465176	temp services	152.95
5587	5/17/2013	4	E.J. Prescott, Inc.	4/22/2013	465527	temp services	63.38
5587	5/17/2013	4	E.J. Prescott, Inc.	4/26/2013	465540	temp services	292.72
5587	5/17/2013	4	E.J. Prescott, Inc.	4/25/2013	465528	So Main	462.16
5587	5/17/2013	4	E.J. Prescott, Inc.	4/25/2013	465539	Ice Arena	6,522.04
							<b>8,477.14</b>
5588	5/17/2013	5	EIC., Inc. (prompto)	5/15/2013	610693	tk # 24 oil change	25.75
							<b>25.75</b>
5589	5/17/2013	5	R & B Services	5/13/2013	313	So Main-w/e 5/11	1,138.50
							<b>1,138.50</b>
5590	5/17/2013	5	Redlon & Johnson	5/6/2013	99005	temp services	49.86
5590	5/17/2013	5	Redlon & Johnson	5/7/2013	99869	coupling	29.45
							<b>79.31</b>
5591	5/17/2013	4	Rent-It Of Maine, Inc.	4/30/2013	33780	So Main	478.85
							<b>478.85</b>

**Auburn Water District  
Accounts Payable Numeric Check Register  
April 10, 2013 thru May 17, 2013**

<u>Check #</u>	<u>Date</u>	<u>Per</u>	<u>Vendor</u>	<u>Inv Date</u>	<u>Inv #</u>	<u>Description</u>	<u>Amount</u>
5592	5/17/2013	5	Sherwin-Williams	5/1/2013	6886-8	UV-paint	39.31
5592	5/17/2013	5	Sherwin-Williams	5/1/2013	6886-8	UV-paint	39.31
							<b>78.62</b>
5593	5/17/2013	5	Stiman Steel	5/11/2013	68638	tk #34	2,026.00
							<b>2,026.00</b>
5594	5/17/2013	5	UPS	5/11/2013	173A7	ship to Cal Amp	9.64
5594	5/17/2013	5	UPS	5/11/2013	173A7	ship to Cal Amp	9.64
							<b>19.28</b>
5595	5/17/2013	5	Kaufman Trailers of NC	5/6/2013	18105	Ship trailer	750.00
5595	5/17/2013	5	Kaufman Trailers of NC	5/6/2013	56971	trailer	17,850.00
							<b>18,600.00</b>
<b><u>Grand Total</u></b>							<b>534,199.45</b>

*Net Payroll taxes*

71,658.52  


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 605,857.97

# AUBURN WATER DISTRICT

## MONTHLY ACTIVITY REPORT

April 2013

### MAINS

Location	Ck'd	Comments	Leak Check				Misc.	New
			PT/Cl <sub>2</sub>	Leak	On Owner	OK		
St Louis Church - New Auburn	1	Leak? No chlorine - private				1		
Rose Terrace	1	Test holes for pipe diameter					1	
South Main St	1	Grind road					1	
<b>Monthly Totals</b>	3		0	0	0	1	2	0
<b>2012 Monthly Totals</b>	3		0	0	0	0	1	2
<b>YTD Totals</b>	5		0	2	0	1	2	0

### GATES

Location	Ck'd	Comments	Adjust	Leak	New	Misc.
South Main St	1	Check and clean out gates				1
Dennison St & Winter St	1	Raise gates	1			
Hotel Rd	1	Lower 4 gates	1			
<b>Monthly Totals</b>	3		2	0	0	1
<b>2012 Monthly Totals</b>	1		0	0	0	1
<b>YTD Totals</b>	3		2	0	0	1







## LABORATORY

Month	Dist. Sys. Tests	Temp (°C)		Avg. NaOH gal/MG	Avg. Cl mg/l	Avg. FI mg/l	Avg. Turb. (ntu)	SWTR Tests
		Air	Water					
January	44	-4.0	2.3	1.13	2.66	0.90	0.72	31
February	45	NR	3.2	1.11	2.74	0.56	0.65	28
March	54	NR	3.9	2.70	2.60	0.76	0.50	31
April	58	8.6	6.6	5.28	2.62	0.86	0.60	30
May								
June								
July								
August								
September								
October								
November								
December								
YTD Avg				2.56	2.66	0.77	0.62	
2012 Avg				2.42	2.71	0.78	0.92	
YTD Totals	201							120

## LAKE AUBURN

Month	No. Patrols	Withdrawals *			Elevations **					
		AWD	LWD	Total	1st	High	Yr.	Low	Yr.	2012
January	daily	2.59	4.15	6.74	260.08	261.4	1974	257.20	2002	261.81
February	daily	2.34	4.04	6.38	260.42	261.7	1996	257.10	2002	NR
March	daily	2.37	3.99	6.36	260.84	261.41	2010	257.40	2002	260.64
April	daily	2.58	4.08	6.66	261.67	262.40	1953	258.20	2002	261.09
May										
June										
July										
August										
September										
October										
November										
December										
Avg. Daily	daily	2.47	4.07	6.54						
YTD Totals	0	9.88	16.26	26.14						

\* Average Daily Withdrawals MGD    \*\* Elevation Above Sea Level

## WEATHER\*

Month	Precipitation					Temperature			
	Snowfall (in.)	Total (in)	Heating Degree Days	Normal Precip	Days of Precip.	Max. (°F)	Min. (°F)	Avg. (°F)	Dep. from Norm
January	4.2	1.31	1360	3.26	9	55	-4	21	1.5
February	39.6	3.56	1139	2.55	13	44	1	24	1.1
March	16.5	1.85	930	3.64	8	53	15	33	0.5
April	0	1.71	679	3.78	10	71	21	42	-1.7
May									
June									
July									
August									
September									
October									
November									
December									
<b>YTD Totals</b>	<b>60.30</b>	<b>8.43</b>							

\* From www.wunderground.com

## DIG SAFE

Month	Total	Contractors	MDOT	AHD	School Dept.	Lewiston Water	Fairpoint	AWD	CMP	ASD	GAS	MTA
January	45	19	2	6	0	2	1	6	9	0	0	0
February	20	10	1	1	0	1	3	3	1	0	0	0
March	91	30	3	41	0	1	3	5	8	0	0	0
April	177	88	6	39	0	0	1	7	14	0	22	0
May												
June												
July												
August												
September												
October												
November												
December												
<b>YTD Totals</b>	<b>333</b>	<b>147</b>	<b>12</b>	<b>87</b>	<b>0</b>	<b>4</b>	<b>8</b>	<b>21</b>	<b>32</b>	<b>0</b>	<b>22</b>	<b>0</b>
<b>2012 Totals</b>	<b>276</b>	<b>139</b>	<b>6</b>	<b>60</b>	<b>0</b>	<b>0</b>	<b>5</b>	<b>18</b>	<b>21</b>	<b>5</b>	<b>21</b>	<b>1</b>

## DUTY FOREMAN CALLS

(Overtime)

Districts	Total	High/Low Pressure	Water Quality	Alarms	Sewer Service	Leak	Misc.	Locates	Hydrants	Meter	Fire Calls
Sewerage District	3			1	2	0	0	0	0	0	0
Water District	9	2	1	2		0	1	1	2	0	0
<b>Monthly Totals</b>	12	2	1	3	2	0	1	1	2	0	0
<b>2012 Monthly Totals</b>	22	1	0	0	1	4	4	2	1	9	0
<b>YTD Totals</b>	58	4	2	10	10	6	18	4	3	0	1

## OTHER ACTIVITIES

1. Spring Rd - fill pot holes
2. Safety training
3. Boiler leak - UV plant
4. Troubleshoot VFD's at Hardscrabble Booster Station
5. Lock Out Tag Out training
6. Security system - office
7. Install buoys at lake
8. Remove hydrant flags
9. Turn off Group II bleeders
10. Taylor Pond - flush summer main and turn on summer services
11. Watershed - algae testing
12. Spring hydrant flushing
13. Replace radio at Goff Hill Reservoir



# Water Treatment Chemicals

LA 2013-002

04/10/12

#	Description	Est. Qty	Unit	Monson Co. So. Portland		Harcros Chem. Westbrook		Univar USA Providence RI		Penco San Felipe TX		JCI Jones Chem. Merrimack NH		GAC Searsport		Borden & Remington Fall River MA		KA Steef Chem Downers Grove IL		Praxair Burr Ridge IL		Maine Oxy Auburn	
				Unit \$	Unit \$	Unit \$	Unit \$	Unit \$	Unit \$	Unit \$	Unit \$	Unit \$	Unit \$	Unit \$	Unit \$	Unit \$	Unit \$	Unit \$	Unit \$	Unit \$	Unit \$	Unit \$	Unit \$
1	Hydrofluosilicic	10,000	gal	\$ 3,355	\$ 3,3936	No Bid	No Bid	\$ 3,860	No Bid	No Bid	No Bid	\$ 3,4800	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
				\$ 33,550	\$ 33,936			\$ 38,600				\$ 34,600											
	Total:																						
2	Sodium Hydroxide	11,000	2000 gal	No Bid	\$ 1,09280	\$ 1,0838	\$ 1,0838	No Bid	No Bid	No Bid	No Bid	\$ 1,080	\$ 1,340	\$ 1,1920	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
					\$ 11,361	\$ 11,922	\$ 11,922					\$ 11,880	\$ 14,740	\$ 13,112									
	subtotal																						
	4000 gal			No Bid	\$ 0,91855	\$ 1,0838	\$ 1,0838	No Bid	No Bid	No Bid	No Bid	\$ 0,880	\$ 1,170	\$ 0,8610	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
					\$ 10,115	\$ 11,922	\$ 11,922					\$ 9,680	\$ 12,870	\$ 10,571									
	subtotal																						
	Total				\$ 21,476							\$ 21,560											
3	Anhydrous Ammonia	25 ea.		No Bid	No Bid	\$ 222.00	\$ 222.00	No Bid	No Bid	No Bid	No Bid	\$ 330.00	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	228.28
	Deposit			No Bid	N/A	\$ 100.00	\$ 100.00	No Bid	No Bid	No Bid	No Bid	N/A	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	20.00
						\$ 5,550	\$ 5,550					\$ 8,250											5,732
	Total																						
4	Aqueous Ammonia	7,000	gal.	\$ 3,479	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
				\$ 24,350																			
	Total																						
5	Ammonium Sulfate	5,800	gal.	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
	Total																						
6	Sodium Hypochlorite	68,000	1000 gal	No Bid	\$ 0,7084	\$ 0,9182	\$ 0,9182	No Bid	No Bid	No Bid	No Bid	\$ 1,230	No Bid	\$ 1,4200	\$ 1,0500	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
				No Bid	\$ 0,7084	\$ 0,7682	\$ 0,7682	No Bid	No Bid	No Bid	No Bid	\$ 0,790	No Bid	\$ 0,8512	\$ 0,8480	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
	3500 gal				\$ 48,171																		
	Total																						
7	Carbon Dioxide	113	Tons	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
	Total																						

Item	2010		2011		2012		2013	
	Unit	Total	Unit	Total	Unit	Total	Unit	Total
Price Comparison								
Fluorosilicic Acid	\$ 3.98	\$ 13,930.00	\$ 3.67	\$ 12,845.00	\$ 3.37	\$ 11,795.00	\$ 3.36	\$ 11,760.00
Sodium Hydroxide	\$ 0.93	\$ 5,580.00	\$ 1.02	\$ 6,120.00	\$ 1.03	\$ 6,180.00	\$ 1.03	\$ 6,180.00
Anhydrous Ammonia	\$ 219.45	\$ 5,486.25	\$ 223.85	\$ 5,596.25	\$ 226.24	\$ 5,656.00	\$ 229.28	\$ 5,732.00
Sodium Hypochlorite	\$ 1.19	\$ 2,380.00	\$ 0.68	\$ 1,358.00	\$ 0.73	\$ 1,460.00	\$ 0.71	\$ 1,420.00
Carbon Dioxide	\$ 0.74	\$ 19,214.00	\$ 0.68	\$ 17,654.00	\$ 0.73	\$ 18,980.00	\$ 0.71	\$ 18,460.00
					\$263.60	-	\$274.00	-
<b>Total:</b>		\$ 46,590.25		\$ 43,573.25		\$43,573.25		\$ 43,552.00

## John Storer

---

**From:** Heidi Swiderek <hswiderek@memun.org>  
**Sent:** Friday, May 03, 2013 4:04 PM  
**To:** John Storer  
**Subject:** Auburn Water District - follow up to our meeting.  
**Attachments:** Faithful Performance of Duty Renewal.doc; Auburn Water loss runs 4.30.13.pdf; PC Performance Review Auburn Water 4.30.13.xls

Dear John,

Thank you for all the time that you, Sid, Rod, and Andy spent with us at yesterday's meeting. It was very informative. Following up to the **Auburn Water District** portion of our meeting ...

- 1) Property coverage for the Standpipe at 32 Ipswich has been removed.
- 2) 7 Trustees have been added to the Sexual Misconduct Defense endorsement.
- 3) Items 1 & 2 above result in a **revised annual contribution of \$39,771**. This takes into account the \$5,000 property deductible on the UV Plant Facility. All other buildings have a \$1,000 deductible.
- 4) **OPTIONAL QUOTE: A \$5,000 property deductible on all locations results in a savings of \$1,056 per year.**
- 5) A Faithful Performance of Duty application is needed for the Finance Manager. These should be completed for both Auburn Water and Auburn Sewer. A blank application is attached.
- 6) Attached is a revised Performance Review form to replace what we brought to the meeting. This shows loss ratios based on the current reserves for the 1/26/13 water damage claim, and is based on experience through 4/30/13. Loss runs through 4/30/13 are attached as well.
- 7) Please forward a copy of any written agreements with Town of Poland &/or Nestle Water as these are finalized.

Please do not hesitate to contact me with any questions. I'll be in touch on Auburn Sewerage District.

Thank you,

---

**Heidi Swiderek, CIC, CPCU, AU, AAI**  
Senior Underwriter

**Maine Municipal Association**

60 Community Drive  
Augusta, ME 04330  
(207) 626-5583 x 2233  
FAX (207) 624-0130  
[www.memun.org](http://www.memun.org)

2012 # was  
\$ 33,121

*This message is intended only for the personal and confidential use of the individual or entity to which it is addressed, and may contain information that is privileged, confidential, and exempt from disclosure under applicable law. This message may be an Attorney-Client communication and, as such, is privileged and confidential. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return this original message to us at the above address via the U.S. Postal Service. Thank you.*

# Auburn Water District

<b>PROPERTY (Including: IM, EDP, B&amp;M)</b>					
<u>Year</u>	<u>Contribution</u>	<u>Losses - \$</u>	<u>Losses - #</u>	<u>Loss Ratio</u>	<u>3 YR Loss Ratio</u> 2009, 2010, 2011
2007	0	0	0	N/A	
2008	0	0	0	N/A	5.57%
2009	0	0	0	N/A	
2010	0	0	0	N/A	
2011	10,174	567	1	5.57%	5 YR Loss Ratio 2007-2011
2012	12,999	64,000	1	492.35%	
<b>Total</b>	<b>10,174</b>	<b>567</b>	<b>1</b>	<b>5.57%</b>	<b>5.57%</b>

<b>AUTOMOBILE PHYSICAL DAMAGE</b>					
<u>Year</u>	<u>Contribution</u>	<u>Losses - \$</u>	<u>Losses - #</u>	<u>Loss Ratio</u>	<u>3 YR Loss Ratio</u> 2009, 2010, 2011
2007	0	0	0	N/A	
2008	0	0	0	N/A	0.00%
2009	0	0	0	N/A	
2010	0	0	0	N/A	
2011	2,989	0	1	0.00%	5 YR Loss Ratio 2007-2011
2012	3,021	0	0	0.00%	
<b>Total</b>	<b>2,989</b>	<b>0</b>	<b>1</b>	<b>0.00%</b>	<b>0.00%</b>

<b>AUTOMOBILE LIABILITY</b>					
<u>Year</u>	<u>Contribution</u>	<u>Losses - \$</u>	<u>Losses - #</u>	<u>Loss Ratio</u>	<u>3 YR Loss Ratio</u> 2009, 2010, 2011
2007	0	0	0	N/A	
2008	0	0	0	N/A	4.74%
2009	0	0	0	N/A	
2010	0	0	0	N/A	
2011	4,263	202	1	4.74%	5 YR Loss Ratio 2007-2011
2012	4,777	0	0	0.00%	
<b>Total</b>	<b>4,263</b>	<b>202</b>	<b>1</b>	<b>4.74%</b>	<b>4.74%</b>

<b>CRIME</b>					
<u>Year</u>	<u>Contribution</u>	<u>Losses - \$</u>	<u>Losses - #</u>	<u>Loss Ratio</u>	<u>3 YR Loss Ratio</u> 2009, 2010, 2011
2007	0	0	0	N/A	
2008	0	0	0	N/A	0.00%
2009	0	0	0	N/A	
2010	0	0	0	N/A	
2011	345	0	0	0.00%	5 YR Loss Ratio 2007-2011
2012	345	0	0	0.00%	
<b>Total</b>	<b>345</b>	<b>0</b>	<b>0</b>	<b>0.00%</b>	<b>0.00%</b>

# Auburn Water District

<b>GENERAL LIABILITY (Including: Med. Malp)</b>				
Year	Contribution	Losses - \$	Losses - #	Loss Ratio
2007	0	0	0	N/A
2008	0	0	0	N/A
2009	0	0	0	N/A
2010	0	0	0	N/A
2011	9,345	0	0	0.00%
2012	8,724	4,500	1	51.58%
<b>Total</b>	<b>9,345</b>	<b>0</b>	<b>0</b>	<b>0.00%</b>

<b>POLICE PROFESSIONALS</b>				
Year	Contribution	Losses - \$	Losses - #	Loss Ratio
2007	0	0	0	N/A
2008	0	0	0	N/A
2009	0	0	0	N/A
2010	0	0	0	N/A
2011	0	0	0	0.00%
2012	0	0	0	0.00%
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>#DIV/0!</b>

<b>PUBLIC OFFICIALS LIABILITY</b>				
Year	Contribution	Losses - \$	Losses - #	Loss Ratio
2007	0	0	0	N/A
2008	0	0	0	N/A
2009	0	0	0	N/A
2010	0	0	0	N/A
2011	3,005	0	0	0.00%
2012	3,255	0	0	0.00%
<b>Total</b>	<b>3,005</b>	<b>0</b>	<b>0</b>	<b>0.00%</b>

<b>TOTALS</b>				
Year	Contribution	Losses - \$	Losses - #	Loss Ratio
2007	0	0	0	#DIV/0!
2008	0	0	0	#DIV/0!
2009	0	0	0	#DIV/0!
2010	0	0	0	#DIV/0!
2011	30,121	769	3	2.55%
2012	33,121 - Last yr.	68,500	2	206.82%
<b>Total</b>	<b>30,121</b>	<b>769</b>	<b>3</b>	<b>2.55%</b>

<b>SPECIFIC LOSSES OVER \$25,000</b>		
Date of Loss	Amount	Description
1/26/2013	\$67,000	Open
		Open
		Building flooded due to computer malfunction

**#34,985 Imposed**  
 Losses as of: 3/31/13

## John Storer

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**From:** John Storer  
**Sent:** Thursday, May 09, 2013 3:35 PM  
**To:** 'RepMichael.Carey@legislature.maine.gov'  
**Cc:** Jeff McNelly (jmcnelly@mwua.org); David Jones (DJones@lewistonmaine.gov)  
**Subject:** FOAA Request and Opposition to LD 1216  
**Attachments:** FOAA Request.pdf; Auburn Water - Opposition LD 1216.pdf

Representative Carey:

Please find attached 2 separate documents.

One is written opposition to LD 1216 that the Auburn Water District will be submitting to the Judiciary Committee.

The other is a formal Freedom of Access Act Request to have access to your electronic correspondence (emails) from April 1 to April 30, 2013.

If you review our opposition to LD 1216, you'll note that our FOAA request closely resembles the serial FOAA requests we receive each week. Please note that I will probably file another FOAA tomorrow or Monday for emails between the dates of May 1 to May 7, 2013.

Thank you for your time,

John B. Storer, P.E.  
Auburn Water & Sewerage Districts  
P.O. Box 414  
268 Court Street  
Auburn, Maine 04212-0414  
Tel 207-784-6469  
Fax 207-784-6460

# AUBURN WATER DISTRICT

MEMBER MAINE WATER UTILITIES ASSOCIATION

268 COURT ST. - P.O. BOX 414

AUBURN, MAINE 04212-0414

May 9, 2013

**Testimony of:** John B. Storer, P.E., Superintendent of the Auburn Water & Sewerage Districts

**To:** The Committee on Judiciary  
Senator Linda M. Valentino, Chair  
Representative Charles R. Priest, Chair

**In Opposition:** LD 1216 – An Act to Amend the Freedom of Access Act

LD 1216 would place an unmanageable burden on the Auburn Water & Sewerage Districts. We certainly appreciate the public's right to know and encourage open government, but the language of LD 1216 does not account for serial filings of Freedom of Access Act (FOAA) requests.

The Water District has received 83 separate Freedom of Access Act (FOAA) requests over the past few years. Initially the requests were for random bits of correspondence, such as a letter or report. Simple items like that were easy to track down, compile and send to the requester. But recently, we began receiving weekly requests for "any and all emails". I've attached a summary of the requests for 2013, along with a sample letter of a typical request.

Our Water & Sewerage District operation is relatively small in terms of staff. As Superintendent, my role entails multiple responsibilities. I oversee all of our operations, and by default must also handle human resources functions, administer our random drug testing program, handle customer account information, oversee construction projects, supervise system operators, update our website, etc. Our infrastructure includes over 250 miles of water and sewer piping, 25 pump stations, and 5 storage tanks. There are many times that I have to come in 7 days a week to ensure everything is operating properly to ensure public health and safety.

Our top priority is public safety. In support of operations I may be required to remain on a job site or at our treatment plant. It's not uncommon to operate machinery or even enter a sewer manhole structure. I think you will find that level of responsibility with managers of several water or sewer systems. If we continue to receive serial FOAA requests it will hamper my ability to manage our systems. The LD 1216 language mandates that we respond within 5 calendar days. Based on the serial FOAA requests we receive, the ones that ask for "any and all emails", I am the only one that can respond to those because I have sole authority and oversight to manage our computer network and review everyone's email, along with any confidential information such as customer account information or our drug testing program. Subsequently, I have to go through each and every email to ensure sensitive information is properly redacted. Again, I am the only one at our entity with authority to have full access to all that information.

Responding within 5 calendar days would be unmanageable for us. We would certainly be out of compliance at points throughout the year. That would apparently open us up for Superior Court litigation and subsequent liability for attorney's fees. This seems like an extreme punitive measure.

Testimony of: John B. Storer, P.E.  
Opposition to LD 1216  
page 2 of 2

What is driving the need for a legislative change? We've been able to operate within the existing FOAA guidelines. Currently, we only have to respond within 5 business days if we intend to deny a request. This seems to be fair and reasonable for both the party requesting the information, as well as fair to public officials tasked with responding. We certainly try to respond as quickly as our workload allows.

I've attached an email where the State's FOAA Ombudsman weighed in on a complaint from our serial FOAA filer. I thought the Ombudsman position was created for resolving FOAA issues? I'm not sure either side was 100% happy with the decision, but it did seem to be fair and manageable and provided our requester with timely information. It was also a decision that we can manage. I fail to see the need for a legislative change at this time.

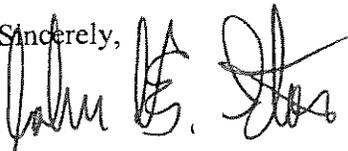
Perhaps towns and cities employ enough staff with sufficient redundancies to handle regular or serial FOAA requests? But I think you will find quasi-municipal entities, like municipal water or sewer districts, will struggle to comply with the proposed legislation. Some of the smaller municipal water and sewer operations across the State may only employ one or two people, its doubtful they could routinely comply within 5 calendar days. I certainly couldn't take more than 2 days off for vacation, when combined with a weekend, or else our Districts would be out of compliance with the new time constraints.

I urge you to consider the impact to quasi-municipal entities. Perhaps they could be exempt from any changes to FOAA? Why is there a need to change the current language?

I'd also like to stress the cost our ratepayers are absorbing. We are on pace to receive at least 52 FOAA's this year. Each request typically takes 2 to 3 hours to compile, review and prepare. We are only able to charge \$15/hour after the first hour. There really ought to be consideration to charge for actual time. We charge our customers for direct time for installing a new water meter. It seems logical we should be able to charge our customers directly for any staff time to compile and prepare documents. The other ratepayers are essentially subsidizing the time that our serial FOAA filer is monopolizing. We don't seek to make a profit; but it would be nice not to waste precious ratepayer money.

I strongly urge Committee Members to vote "Ought Not to Pass" on LD 1216.

Sincerely,



John B. Storer, P.E.,  
Superintendent, Auburn Water & Sewerage Districts

Auburn Water District - Summary of 2013 FOAA requests (all from same person)

FOAA #	Recv	Dates of information	Parties	Status
67	1/5/2013	December 30 thru January 5	JBS, MJ	JBS sent letter 1/17/13 that ready
68	1/13/2013	January 6 thru January 12	JBS, MJ	finished 1/19/13 - unsure of mailing. Tuesday?
69	1/21/2013	January 13 thru January 19	JBS, Sid, MJ	JBS sent letter 1/31/13 that ready
70	1/26/2013	January 20 thru January 26	JBS, MJ - Bk 25	JBS sent letter 2/5/13 that ready
71	2/1/2013	January 27 thru February 2	JBS, MJ - UV repair	JBS sent letter 2/11/13 that ready
72	2/11/2013	February 3 thru February 9	JBS, MJ - study conclusions	JBS sent letter 2/20/13 that ready
73	2/16/2013	February 10 thru February 16	JBS, Sid, MJ	JBS sent letter 2/25/13 that ready
74	2/23/2013	February 17 thru February 23	JBS, Sid, MJ	JBS sent letter 3/4/13 that ready
75	3/2/2013	February 17 thru February 24	same dates as 74	JBS emailed question 3/11/13
	3/11/2013	requester clarified in email that dates should have been Feb 24 to March 2.	JBS & Sid, plus MJ to Cities	JBS sent letter 3/12/13 that ready
76	3/20/2013	March 10 to March 16	JBS & MJ, w/ Sid & Lynne to City man.	JBS sent letter 3/27/13 that ready
77	3/23/2013	March 17 to March 23	JBS & MJ, w/ Sid & Lynne to City man.	JBS sent letter 4/18/13 that ready
78	4/4/2013	March 24 to March 30	JBS & MJ	JBS sent letter 4/18/13 that ready
79	4/7/2013	March 31 to April 6	JBS & MJ, and AWSD & DWP	JBS sent letter 4/19/13 that ready
80	4/18/2013	April 7 to April 13	JBS & MJ, Sid	JBS sent letter 4/30/13 that ready
81	4/23/2013	April 14 to April 20	JBS & MJ, and DWP & EPA	JBS sent letter 5/2/13 that ready
82	5/1/2013	April 21 to April 27	JBS & MJ, and DWP & EPA	

Recv. 3/23/13

Dan Bilodeau  
PO Box 1493  
Auburn, ME 04211-1493  
Rate-payer AWD

Mr. John Storer, Superintendent  
Auburn Water District  
PO BOX 414  
Auburn, ME 04210

FOAA # 77

3/23/2013

**Dear Mr. Storer:**

**Please provide access to all electronic correspondence (emails)** between the dates of March 17th, 2013 to March 23rd, 2013. "Records" should include ANY AND ALL emails addressed to you or sent by you during this period. Please also include all of Mary Jane's emails as well for the same period. Please also include any emails addressed to or from the AWD or any employee thereof to or from the Auburn or Lewiston's City manager's office(s).

Regards,

Dan Bilodeau

Please consider "public records" requested under the Freedom of Access Act to include office notes, reports, studies, databases, photographs, video or audio recordings, correspondence (including electronic mail), memoranda, meeting notes and minutes, drafts and working papers, notes and summaries of conversations and interviews, and any other forms of written or recorded communication, including staff memoranda.

The public's right to information about government activities lies at the heart of a democratic government. The Maine Freedom of Access Act ("FOAA") grants the people of this state a broad right of access to public records while protecting legitimate governmental interests and the privacy rights of individual citizens. The act also ensures the accountability of the government to the citizens of the state by requiring public access

The Freedom of Access Act requires agencies or officials to determine within 5 working days (excluding Saturdays, Sundays, and legal holidays) after the receipt of a request whether to comply with the request. If a request is denied in whole or in part, the denial must be made in writing within 5 working days of the Freedom of Access Act request. 1 M.R.S.A. § 409 (1).

# Response from State FOAA Ombudsman

## John Storer

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**From:** Kielty, Brenda <Brenda.Kielty@maine.gov>  
**Sent:** Friday, November 09, 2012 3:09 PM  
**To:** anotherdanbilodeau@gmail.com; John Storer  
**Subject:** Bilodeau/AWD FOAA

Mr. Bilodeau and Mr. Storer,

I was contacted by Mr. Bilodeau on October 31<sup>st</sup> in regard to his continuing FOAA requests to the Auburn Water District. In an effort to stay informed about the AWD, Mr. Bilodeau submits frequent FOAA requests for e-mail correspondence between multiple persons engaged in AWD business. Mr. Storer, as Superintendent of the Auburn Water & Sewerage Districts, has been primarily responsible for compliance with FOAA and has been responding to Mr. Bilodeau's requests over an extended period of time. Based on the information provided by Mr. Bilodeau and the phone conversation I had with Mr. Storer, it seems that there are several areas of agreement and a mutual desire to move forward.

The following suggestions were offered by both of you to make the process of compliance with FOAA as efficient as possible under the circumstances:

1. Mr. Bilodeau's requests will be e-mailed each week directly to Mr. Storer.
  - This method minimizes the time lag between mailing the request and receipt at the AWD. FOAA does not require that a public record request be made in a particular format.
2. Each request will include the prior one week span of time.
  - Sequential requests submitted on a regular basis are more easily time managed.
3. Each request will specify the issue and/or persons that are the subject of the request.
  - Unlimited "any and all" type requests are significantly more time consuming and expensive. FOAA provides that an agency or official may ask for clarification concerning the records being requested.
4. Each request will be billed separately for expenses, including the cost of the thumb drive and time spent to complete.
  - FOAA provides that a good faith, non-binding estimate of the amount of time needed to respond and the cost of such response be given. The average time spent per week has been two hours per single request for e-mails. If Mr. Bilodeau's weekly requests are substantially similar to past requests, Mr. Storer estimates that the cost per request will include one hour free, one hour billable at \$15 and a thumb drive of about \$5 to \$7.
  - Requests that appear to be submitted as subsets or parts of a single request will not be separated for billing purposes and will be responded to as a single request.
5. Mr. Storer will respond within a reasonable amount of time.
  - FOAA contemplates that compliance with a record request shall be scheduled so not to delay or inconvenience the agency's or official's regular activities.

These suggestions address the mechanical aspects of compliance with Mr. Bilodeau's FOAA requests and could facilitate moving forward. However, there are certainly other factors that could impede your progress. Mr. Bilodeau presented his need for informed participation and Mr. Storer asserted his abiding conviction in the policy objectives of open and accessible government as the motivation for their individual actions. Without good faith motivation and reasonable expectations on either part, it is unlikely that any procedural scaffolding will prevent problems from arising.

Should you need further assistance, please do not hesitate to contact me.

Best regards,  
Brenda

**Brenda L. Kielty**

Assistant Attorney General

Public Access Ombudsman

6 State House Station | Augusta, ME 04333

207.626.8577 (direct) | 207.287.3145 (fax)

[brenda.kielty@maine.gov](mailto:brenda.kielty@maine.gov) | [www.maine.gov/ag](http://www.maine.gov/ag)

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John B. Storer, P.E.  
Auburn Water District  
P.O. Box 414  
Auburn, ME 04212-0414

Representative Michael E. Carey  
10 Perrier Street  
Lewiston, ME 04240

5/9/2013

**Dear Rep Carey:**

**Please provide access to all electronic correspondence (emails)** between the dates of April 1st, 2013 to April 30th, 2013. "Records" should include ANY AND ALL emails addressed to you or sent by you during this period. Please also include all of your legislative aid's emails as well for the same period. Please also include any emails addressed to or from the Chairs' of the Judiciary Committee or any member thereof to or from you or your staff.

Regards,



John B. Storer, P.E.

Please consider "public records" requested under the Freedom of Access Act to include office notes, reports, studies, databases, photographs, video or audio recordings, correspondence (including electronic mail), memoranda, meeting notes and minutes, drafts and working papers, notes and summaries of conversations and interviews, and any other forms of written or recorded communication, including staff memoranda

The public's right to information about government activities lies at the heart of a democratic government. The Maine Freedom of Access Act ("FOAA") grants the people of this state a broad right of access to public records while protecting legitimate governmental interests and the privacy rights of individual citizens. The act also ensures the accountability of the government to the citizens of the state by requiring public access

The Freedom of Access Act requires agencies or officials to determine within 5 working days (excluding Saturdays, Sundays, and legal holidays) after the receipt of a request whether to comply with the request. If a request is denied in whole or in part, the denial must be made in writing within 5 working days of the Freedom of Access Act request. 1 M.R.S.A. § 409 (1).