

# City of Auburn

**Maine Uniform Building and Energy Code  
Residential Energy Code Application**  
for Certification of Compliance for New Construction, Additions and/or Renovations  
(IECC 2009 Compliance Form)  
**Minimum Provisions**      Effective Date: December 1, 2010

<b>Owner:</b> Company Name: (if applicable)			<b>General Contractor:</b> Company Name:		
Name:			Name:		
Mail Address:			Mail Address:		
Town/City:	State:	Zip:	Town/City:	State:	Zip:
Phone:	Cell:		Phone:	Cell:	
E-Mail:			E-Mail:		
<b>Location of Proposed Structure:</b>			<b>Type of Construction:</b>		
Tax Map #:		Lot #:	<input type="radio"/> Residential <input type="radio"/> New Building <input type="radio"/> Renovation <input type="radio"/> Addition <input type="radio"/> Thermally Isolated Sunroom <input type="radio"/> Modular Home: the site contractor must submit this form detailing supplementary rooms and Floor and/or Basement insulation unless the floor insulation is installed or provided by the manufacturer and no heated space is added.		
Street Address:					
Town/City:		County:			
<b>Total New Conditioned* Floor Area:</b>			<b>Basement or Crawl Space:</b>		
_____ ft <sup>2</sup>			Conditioned? <input type="radio"/> Yes (Walls must be insulated) <input type="radio"/> No		
(*a conditioned space is one being heated or cooled, containing un-insulated ducts or with a fixed opening into a conditioned space.)			<input type="checkbox"/> Full Basement <input type="checkbox"/> Walk Out Basement <input type="checkbox"/> Slab on Grade <input type="checkbox"/> Other _____		
<b>Heating System:</b> (if new system is being installed)			<b>Structure is EXEMPT because:</b>		
Annual Fuel Use Efficiency (AFUE): _____ %			<input type="checkbox"/> Mobile Home <input type="checkbox"/> On an historic register <input type="checkbox"/> Low energy use (less than 1 watt/ ft <sup>2</sup> ) <input type="checkbox"/> Log, post and beam, or timber framed structure.		
Fuel Type(s): <input type="checkbox"/> Oil <input type="checkbox"/> Natural Gas <input type="checkbox"/> Propane (LP) <input type="checkbox"/> Electric <input type="checkbox"/> Wood <input type="checkbox"/> Other _____					
Heating System Type: <input type="checkbox"/> Hot Water <input type="checkbox"/> Hot Air <input type="checkbox"/> Stove <input type="checkbox"/> Resistance <input type="checkbox"/> Heat Pump <input type="checkbox"/> Geothermal					
<b>Form Submitted by:</b>					
<input type="checkbox"/> Owner <input type="checkbox"/> Builder <input type="checkbox"/> Designer <input type="checkbox"/> Other _____ Architects must certify plans meet code					

02/11

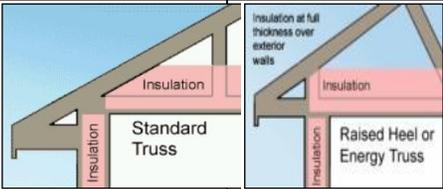
I hereby certify that all the information contained in this application is true and correct, and construction shall comply in all respects with the terms and specifications of the approval given by the Planning and Permitting Department for the City of Auburn, and meet the requirements of the Maine Uniform Building and Energy Conservation Code.

**Signature** \_\_\_\_\_ **Print Name** \_\_\_\_\_ **Date** \_\_\_\_\_

<b>Official Use Only</b>		
<b>Date Complete Application Received:</b>		<b>Approved by:</b>
<b>Building Permit Number:</b>		<b>Date:</b>
		<b>Circle one:</b> <b>Prescriptive / Performance</b>

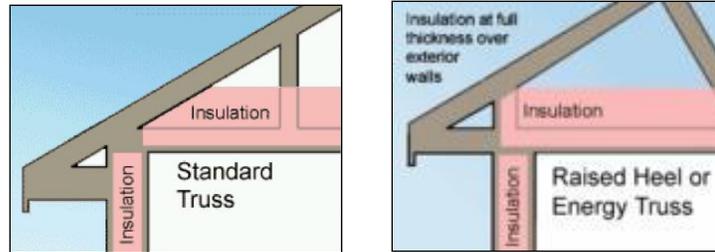
Directions: Complete the "Your Proposed Structure" columns. No measurements or calculations are needed. If you at least meet the Maine Uniform Building and Energy Code requirements, your project will be approved. Write N/A in any section that does not apply to your project. **Submit pages 1 and 2 only.** If your planned structure cannot meet these requirements, consider downloading REScheck from <http://www.energycodes.gov/rescheck/download.stm> and use trade-offs to prove compliance. The completed REScheck report must be attached to this form.

You are encouraged to build with higher R-values and lower U-values than you report here. The "Required R or U Values" are the minimum standards in ME.

Building Section	Required R or U Values	YOUR PROPOSED STRUCTURE	
		Write Planned R and U Values	Brands / Models / insulation type and thickness (if known)
<b>Window U Factor</b> <i>(lower U is better)</i>	<b>U .35</b> (maximum)	Write in U-Value	<b>Window Type:</b> <input type="checkbox"/> Low-e <input type="checkbox"/> Low-e Argon <input type="checkbox"/> Check if Sunroom
	<b>U .50</b> (Thermally Isolated Sunrooms only)		
<b>Skylights</b>	<b>U .60</b>	Write in U-Value	<input type="checkbox"/> Check if Sunroom
	<b>U .75</b> (Thermally Isolated Sunrooms only)		
<b>Flat Ceiling<sup>i</sup></b> <i>or</i> <b>Flat Ceiling with Raised or Energy Trusses R-value</b>		Write in R-Value  → <i>If using only R-38 in Zone 6 you must check this box</i>	NOTE: R-38 will be deemed to satisfy the requirement for R-49 if the full R-38 insulation value is maintained over the outside plates. <b>If using only R-38 (Zone 6), you must certify that you'll maintain R-38 over the plates by checking the box below.</b> <input type="checkbox"/> By checking this box, I certify that this structure is being built with a raised energy truss or that the full R-value of the ceiling insulation will be maintained over the outside plates.
	<b>R-49</b> (Zone 6) if using the above construction technique  <b>R-38</b> (Zone 6) if maintaining the full R value over the plates		
<b>Sloped or Cathedral Ceiling</b>	<b>R-30</b> or <b>38</b> if more than 500 ft sq or 20% of total ceiling area	Write in R-Value	<input type="checkbox"/> Check if Sunroom
	<b>R-24</b> (Thermally Isolated Sunrooms only)		
<b>Above Grade Wall<sup>ii</sup> R-value</b>	<b>R-20</b> Cavity Insulation only <u>OR</u> <b>R-13</b> (cavity) <i>plus</i> <b>R-5</b> (continuous) Insulation	Write in R-Value	<input type="checkbox"/> Check if Sunroom <input type="checkbox"/> Check if Mass Wall
	<b>R-13</b> (Thermally Isolated Sunrooms only)		
	<b>R-15</b> (outside) or <b>R-19</b> (inside) Mass Walls		
<b>Door U-Value</b>	<b>U .35</b> (maximum)	Write in U-Value	
<b>Floor R Value</b> (Basement ceiling)	<b>R-30</b> <i>or</i> Insulation sufficient to fill joist cavity	Write in R-Value	
<b>Basement or Crawl Space Wall R Value</b>	<b>R-13</b> Cavity Insulation or <b>R-10</b> Continuous Insulation for <b>crawl space</b> wall	Write in R-Value	If conditioning the basement you must insulate <b>Basement Walls</b> . If not, you may insulate either <b>Floor</b> or <b>Basement Walls</b> and/or <b>Slab Edge</b>
	<b>R-19</b> Cavity Insulation or <b>R-15</b> Continuous Insulation for <b>basement</b> wall	Write in R-Value	
<b>Slab Edge<sup>iii</sup> R Value</b>	<b>R-10 / 4'</b> (Zone 6) (see drawing pg 3)	Write in R-Value	<input type="checkbox"/> Check if Slab is heated
	<i>add R-5</i> if the Slab is heated		
<b>Air Sealing</b>	<b>Planned Air Sealing Test Method</b> → By checking this box, I certify that I understand that I have two approaches to demonstrating compliance with air sealing requirements.	<input type="checkbox"/> Blower Door <input type="checkbox"/> Visual Inspect	The visual inspection certification must be consistent with the requirements of Table 402.4.2 (page 4) and the method of compliance planned and approved by the local jurisdiction

## Footnotes to Residential Energy Code Application for Certification of Compliance

<sup>i</sup> Ceilings with attic spaces: R-38 in Zone 6 will be deemed to satisfy the requirement for R-49 wherever the full height of uncompressed R-38 insulation extends over the wall top plate at the eaves or the full R-value is maintained. This is accomplished by using a raised heel or energy truss as shown in the diagram below or by using higher R-value insulation over the plates.

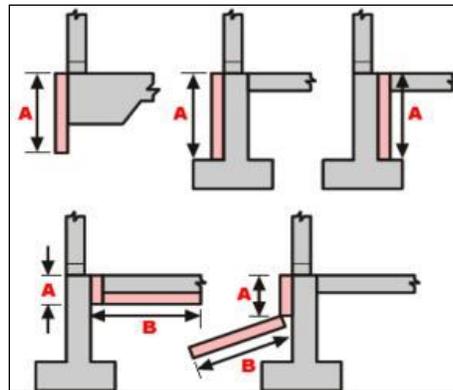


<sup>ii</sup> R-13 + R-5 means R-13 cavity insulation plus R-5 insulated sheathing. If structural sheathing covers 25 percent or less of the exterior, R-5 sheathing is not required where the structural sheathing is placed. If structural sheathing covers more than 25 percent of exterior, the structural sheathing must be supplemented with insulated sheathing of at least R-2.

<sup>iii</sup> Slab edge insulation must start at the top of the slab edge and extend a total of four feet (Zone 6). Insulation may go straight down, out at an angle away from the building, or along the slab edge and then under the slab. A slab is a concrete floor within 1' of grade level. See diagram below.

The top edge of insulation installed between the exterior wall and the interior slab may be mitered at a 45 degree angle away from the exterior wall.

### Allowable Slab Insulation Configurations



A or A + B must equal four feet in Zone 6

MODULAR HOMES must be certified by the Maine Manufactured Housing Board. Unless the floor insulation is provided by the manufacturer this form must be submitted. This form must also be submitted if the basement is to be insulated or supplementary heated space is added to the home upon or after it is set.

**AIR BARRIER AND INSULATION INSPECTION COMPONENT CRITERIA**  
**Required Elements Check List (see page 2 AIR SEALING) IECC 2009 Code section 402.4.2**

**This page must be provided to the building inspector at final inspection.**

Third Party Inspector- Name:

Certification #:

Date:

Phone #:

Email:



**Check here**

Building Permit Number:

<b>Air barrier and thermal barrier</b>	Exterior thermal envelope insulation for framed walls is installed in substantial contact and continuous alignment with building envelope air barrier.
	Breaks or joints in the air barrier are filled or repaired.
	Air-permeable insulation is not used as a sealing material.
	Air-permeable insulation is inside of an air barrier.
<b>Ceiling/attic</b>	Air barrier in any dropped ceiling/soffit is substantially aligned with insulation and any gaps are sealed.
	Attic access (except unvented attic), knee wall door, or drop down stair is sealed.
<b>Walls</b>	Corners and headers are insulated.
	Junction of foundation and sill plate is sealed.
<b>Windows and doors</b>	Space between window/door jambs and framing is sealed.
<b>Rim joists</b>	Rim joists are insulated and include an air barrier.
<b>Floors</b> (including above-garage and cantilevered floors)	Insulation is installed to maintain permanent contact with underside of sub floor decking.
	Air barrier is installed at any exposed edge of insulation.
<b>Crawl space walls</b>	Insulation is permanently attached to walls.
	Exposed earth in unvented crawl spaces is covered with Class I vapor retarder with overlapping joints taped.
<b>Shafts, penetrations</b>	Duct shafts, utility penetrations, knee walls and flue shafts opening to exterior or unconditioned space are sealed.
<b>Narrow cavities</b>	Batts in narrow cavities are cut to fit, or narrow cavities are filled by sprayed/blown.
<b>Garage separation</b>	Air sealing is provided between the garage and conditioned spaces.
<b>Recessed lighting</b>	Recessed light fixtures are air tight, IC rated, and sealed to drywall. Exception—fixtures in conditioned space.
<b>Plumbing and wiring</b>	Insulation is placed between outside and pipes. Batt insulation is cut to fit around wiring and plumbing, or sprayed/blown insulation extends behind piping and wiring.
<b>Shower/tub on exterior wall</b>	Showers and tubs on exterior walls have insulation and an air barrier separating them from the exterior wall.
<b>Electrical/phone box on exterior walls</b>	Air barrier extends behind boxes or air sealed-type boxes are installed.
<b>Common wall</b>	Air barrier is installed in common wall between dwelling units. HVAC register boots HVAC register boots that penetrate building envelope are sealed to sub-floor or drywall.
<b>Fireplace</b>	Fireplace walls include an air barrier.

MUBEC ENERGY CODE  
**Summary of Basic Requirements**

See IECC 2009 Code Book for complete details

**These 2 pages must be provided to the building inspector at final inspection or retained.**

✓ Check here

Building Permit Number:

	<b>Air Leakage</b> Code section 402.4  The building thermal envelope must be durably sealed to limit infiltration	All joints, seams, penetrations and openings in the thermal envelope including those around window and door assemblies, utility penetrations, dropped ceilings or chases, knee walls, behind tubs and showers, separating unheated garages from the thermal envelope, common walls between dwelling units, attic access, rim joist junction and all other openings in the building envelope that are sources of air leakage must be caulked, gasketed, weather-stripped or otherwise sealed.
	<b>Air Sealing and Insulation</b> Code Section 402.4.2	Building envelope air tightness and insulation installation shall be demonstrated to comply with requirements by Blower Door testing to less than 7 air changes/hr at 50 Pa or a visual inspection per page 4 of this document. The local Building Official may require an independent 3 <sup>rd</sup> party to conduct the visual inspection. <u>See page 4.</u>
	<b>Testing Option</b> Code Section 402.4.2.1  <b>or</b>  <b>Visual Option</b> Code Section 402.4.2.1	While the Blower Door Test and/or Visual Option are methods of demonstrating compliance many of the general requirements as defined by this checklist (pages 5 & 6) must still be met.  Blower Door Test conducted by: _____  Result (at 50 Pa): _____ CFM Interior Volume _____ CF _____ ACH <p style="text-align: center;"><b>or</b></p> Structure passes Visual Inspection: _____ signed _____ date
	<b>Fireplaces</b> Code Section 402.4.3	New wood-burning fireplaces shall have gasketed doors and outdoor combustion air.
	<b>Recessed Lighting</b> Code Section 402.4.5	Recessed lights must be type IC rated and labeled as meeting ASTM E 283 and sealed with a gasket or caulk between the housing and the interior wall or ceiling covering.
	<b>Electrical Power and Lighting Systems</b> Code section 404	A minimum of 50% of the lamps in permanently installed lighting fixtures shall be high efficacy lamps.
	<b>High-Efficacy Lamps</b> Code section 202	Compact fluorescent lamps, T-8 or smaller diameter linear fluorescent lamps, or lamps with a minimum efficacy of: 1. 60 lumens per watt for lamps over 40 watts, 2. 50 lumens per watt for lamps over 15 watts to 40 watts, and 3. 40 lumens per watt for lamps 15 watts or less.
	<b>Materials and Insulation Information</b> Code section 102.1	Materials and equipment must be identified so that code compliance can be determined. Manufacturer manuals for all installed heating, cooling and service water heating equipment must be provided. Insulation R-values, glazing and door U-values and heating and cooling equipment efficiency must be clearly marked on the building plans, drawings or specifications.

	<p><b>Pull-Down Attic Stairs, Attic Hatch, and Knee Wall Doors</b> Code section 402.2.3</p>	<p>Should be insulated to a level equal to the surrounding surfaces and tightly sealed and weather-stripped at the opening.</p>
	<p><b>Full size Attic or Basement Entry Doors</b></p>	<p>All doors leading from a conditioned space into an unconditioned attic or enclosed attic or basement stairwell should be insulated and weather-stripped exterior rated door units. One door is exempt.</p>
	<p><b>Duct Insulation</b> Code section 403.2</p>	<p><b>Supply</b> ducts in attics must be insulated to at least R-8. All other ducts must be insulated to at least R-6. Exception: Ducts or portions thereof located completely inside the building thermal envelope.</p>
	<p><b>Duct Construction</b> Code sections 403.2.2 &amp;.3</p>	<p>Ducts, air handlers, filter boxes, and building cavities used as ducts must be sealed. Joints and seams must comply with Section M1601.4.1 of the <i>International Residential Code</i>. Building framing cavities must not be used as supply ducts.</p>
	<p><b>Duct Testing</b> Code sections 403.2.2 &amp;.3</p>	<p>Duct tightness shall be verified by testing unless the air handler and all ducts are located within the conditioned space. Test conducted by: _____  Duct test result at 25 Pa: _____ Post construction or _____ Rough-in test</p>
	<p><b>Temperature Controls</b> Code section 403.1 &amp; .1.1</p>	<p>At least one thermostat must be provided for each separate heating and cooling system. Hot air systems must be equipped with a programmable thermostat.  Heat pumps having supplementary electric-resistance heat must have controls that, except during defrost, prevent supplemental heat operation when the heat pump compressor can meet the heating load</p>
	<p><b>Mechanical System Piping Insulation</b> Code section 403.3</p>	<p>Mechanical system piping capable of conveying fluids at temperatures above 105°F or below 55°F must be insulated to R-3.</p>
	<p><b>Circulating Hot Water Systems</b> Code section 403.4</p>	<p>Circulating service water systems must include an automatic or readily accessible manual switch that can turn off the hot water circulating pump when the system is not in use.  Circulating domestic hot water system piping shall be insulated to R-4.</p>
	<p><b>Mechanical Ventilation</b> Code section 403.5</p>	<p>Outdoor air intakes and exhausts must have automatic or gravity dampers that close when the ventilation system is not operating.</p>
	<p><b>Equipment Sizing</b> Code section 403.6</p>	<p>Heating and cooling equipment must be sized in accordance with Section M1401.3 of the <i>International Residential Code</i>.</p>
	<p><b>Certificate</b> Code section 401.3</p>	<p>A permanent certificate, completed by the builder or registered design professional, must be posted on or in the electrical distribution panel. It must list the R-values of insulation installed in or on the ceiling, walls, foundation, and ducts outside the conditioned spaces; U-factors and SHGC for fenestration. The certificate must also list the type and efficiency of heating, cooling and service water heating equipment.</p>

**MAINE BUILDING AND ENERGY CONSERVATION CODE**  
**Summary of Basic Requirements**  
**Page 2**

**Any questions or comments are welcomed and encouraged. Please contact Mark Stambach (Building Inspector), at 207-333-6601 ext. 1160 or by email at mstambach@auburnmaine.gov**

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