

# City Council Meeting and Workshop August 19, 2013

# Agenda

#### 5:30 P.M. Workshop

- A. Presentation of Analysis of Impediments to Fair Housing Choice Reine Mynahan (15 minutes)
- B. Conflict of Interest Reine Mynahan (15 minutes)
- C. Community Conversations Clint Deschene and Mary Sylvester (30 minutes)
- D. Parking Plan Phil Crowell (30 minutes)

#### 7:00 P.M. City Council Meeting

#### Pledge of Allegiance

- I. Consent Items All items listed with an asterisk (\*) are considered as routine and will be approved in one motion. There will be no separate discussion of these items unless a Councilor or citizen so requests. If requested, the item will be removed from the consent agenda and considered in the order it appears on the agenda.
- 1. Order 66-08192013\*

Confirming Police Chief, Phil Crowell's appointments of Katherine D. Avery, Joseph M. Correia and Joseph T. Miville as Constables with a firearm for the Auburn Police Department.

#### 2. Order 67-08192013\*

Approving the list of equipment to be auctioned off.

#### II. Minutes

- August 5, 2013 Regular Council Meeting
- Amending the previously adopted minutes of the 4/16/2013 City Council Meeting.

#### III. Reports

Mayor's Report

**City Councilors' Reports** 

#### IV. Communications, Presentations and Recognitions

**V. Open Session** – Members of the public are invited to speak to the Council about any issue directly related to City business which is *not on this agenda*. Time limit for open sessions, by ordinance, is 45 minutes.

#### VI. Unfinished Business

#### 1. Ordinance 05-06032013

Approving the newly proposed Flea Market license fee schedule (first reading).

#### 2. Ordinance 06-06032013

Approving the newly proposed Peddlers license fee schedule (first reading).

## **Auburn City Council Meeting & Workshop**

August 19, 2013

#### 3. Order 64-08052013

Approving the Community Development Program amendment.

#### VII. New Business

#### 4. Order 68-08192013

Approving the Liquor License and Special Amusement Permit for Maine Billiards League d/b/a Legends Sportsbar and Grill located at 128 Center Street.

#### 5. Order 69-08192013

Authorizing the issuance and sale of the City's general obligation bonds in the amount of \$5,900,000. Public hearing and first reading.

#### 6. Ordinance 10-08192013

Approving the proposed ordinance on the regulation of Synthetic drugs (first reading).

#### VIII. Executive Session

- Discussion regarding a personnel matter, pursuant to 1 M.R.S.A. §405(6)(A)
- Discussion regarding labor negotiations, pursuant to 1 M.R.S.A. §405(6)(D)

# **IX. Open Session** - Members of the public are invited to speak to the Council about any issue directly related to City business which is *not on this agenda*.

#### X. Adjournment

**Executive Session**: On occasion, the City Council discusses matters which are required or allowed by State law to be considered in executive session. Executive sessions are not open to the public. The matters that are discussed in executive session are required to be kept confidential until they become a matter of public discussion. In order to go into executive session, a Councilor must make a motion in public. The motion must be recorded, and 3/5 of the members of the Council must vote to go into executive session. An executive session is not required to be scheduled in advance as an agenda item, although when it is known at the time that the agenda is finalized, it will be listed on the agenda. The only topics which may be discussed in executive session are those that fall within one of the categories set forth in Title 1 M.R.S.A. Section 405(6). Those applicable to municipal government are:

- A. Discussion of personnel issues
- B. Discussion or consideration by a school board of suspension of expulsion
- C. Discussion or consideration of the condition, acquisition or the use of real or personal property permanently attached to real property or interests therein or disposition of publicly held property or economic development only if premature disclosures of the information would prejudice the competitive or bargaining position of the body or agency
- D. Labor contracts
- E. Contemplated litigation
- F. Discussions of information contained in records made, maintained or received by a body or agency when access by the general public to those records is prohibited by statute;
- G. Discussion or approval of the content of examinations administered by a body or agency for licensing, permitting or employment purposes; consultation between a body or agency and any entity that provides examination services to that body or agency regarding the content of an examination; and review of examinations with the person examined; and
- H. Consultations between municipal officers and a code enforcement officer representing the municipality pursuant to Title 30-A, section 4452, subsection 1, paragraph in the prosecution of an enforcement matter pending in District Court when the consultation relates to that pending enforcement matter.



# **City Council Workshop Information Sheet**

**City of Auburn** 

Council Workshop Date: August 19, 2013 Item A  Author: Reine Mynahan, Community Development Director
Item(s) checked below represent the subject matter related to this workshop item.
□Comprehensive Plan □Work Plan □Budget □Ordinance/Charter ☑Other Business* □Council Goals**
**If Council Goals please specify type:
Subject: Analysis of Impediments to Fair Housing Choice
Information: Fair housing laws promote housing choice to all persons. Every five years the U. S. Department of Housing and Urban Development requires grantees to conduct a review of impediments or barriers to fair housing choice in the community. The review considers public and private policies, practices, and procedures affecting housing choice in an effort to identify barriers.
Last November the joint Community Development offices of Auburn and Lewiston requested proposals for consulting services to undertake such a review. Planning Decisions, Inc. submitted the successful proposal. Over the winter months a committee made up of the LA's Community Development Departments as well as the housing authorities met with our consultant to guide the study process.
The study included a review of laws, regulations, administrative policies, and practices, an evaluation of conditions, both public and private, affective fair housing choice for all protected classes, and an assessment of the availability of affordable, accessible housing in a range of unit sizes.
The recommendations to address obstacles that were identified are primarily education and training for tenants, particularly New Mainers, and landlords, as well as securing financing (Sharia-compliant lending products) that will enable New Mainers to purchase a home.
The study is being presented for City Council adoption.
Financial: A small sum will be budgeted each year to undertake training efforts.
Action Requested at this Meeting: Schedule date for adoption
Previous Meetings and History: none

Attachments: Analysis of the Impediments to Fair Housing Choice, Lewiston and Auburn, 2013

<sup>\*</sup>Agenda items are not limited to these categories.



# Analysis of the Impediments to Fair Housing Choice Lewiston and Auburn, Maine | 2013

Prepared for the Cities of Lewiston and Auburn

By Planning Decisions, Inc. Portland and Hallowell, Maine www.planningdecisions.com

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# **EXECUTIVE SUMMARY**

This Analysis of Impediments combines the cities of Lewiston and Auburn, Maine. Auburn's last Analysis of Impediments was completed in 2005, and Lewiston's in 2006. The two cities are located next to each other in Androscoggin County, Maine, and receive direct federal funding from the Department of Housing and Urban Development (HUD). This analysis looks at protected groups in Lewiston and Auburn CDBG target areas, which are primarily in their adjoining downtowns.

Since 2006, studies in both cities have shown the need to replace unsafe downtown housing, improve landlord/tenant communications, encourage apartment rehabilitation and reconstruction, and increase access to public transportation. Below is a summary of issues and recommendations from this analysis.

#### **Demographics**

From 2001 to the present, approximately 4,000 immigrants (referred to as "New Mainers") have moved to Auburn and Lewiston. Most of these immigrants are secondary immigrants from Somalia, relocating from their initial placement elsewhere in the United States. This new population is not evenly distributed; of the Black/African American population in Lewiston and Auburn (combined), 61% live in the Lewiston target area. There are considerable cultural and language barriers between New Mainers and landlords in both cities, especially Lewiston. As tenants, many New Mainers lack information about their rights and responsibilities, and landlords can exploit language barriers.

Lewiston and Auburn face a number of demographic challenges. In Lewiston, 15.1% of the population between 5 and 17 has a disability, and of these, 85% have a cognitive difficulty. Maine Human Rights Commission data shows that disability-related housing complaints far outnumber other types of complaints for both cities. The Maine Human Rights Commission includes recipients of public assistance in its list of groups protected against discrimination. In April 2013, 35.6% of Lewiston's population received SNAP assistance; in Auburn, 26% of the population.

#### Housing

A sharp decline in housing prices in the mid-2000s increased the affordability of housing in both cities. The issuance of mortgages fell to all groups after 2006, but decline among blacks was greater than among other groups. Part of the reason for this may be that many black New Mainers are Muslim, and local mortgage originators may not have loan products to meet their needs.

#### Rental Housing

During the recent recession, rent levels continued to rise in Lewiston and Auburn (although modestly), while incomes stayed the same. The rental housing stock in both cities dates from

the days of high-density downtown mill jobs, and rental housing built before 1940 accounts for more than two-thirds of total rental housing in the target areas. Many landlords don't invest in maintaining rental properties, leading to deterioration.

#### Summary of Recommendations

After reviewing this analysis, the cities of Lewiston and Auburn will work together to create an ongoing series of landlord and tenant information and training workshops, targeted at specific groups (including New Mainers and tenants with disabilities). In addition, city staff and partners will visit elementary schools each year during Fair Housing Month, and distribute posters about tenant rights and responsibilities in local languages. To help increase the number of mortgages to New Mainer families, the cities will work with local and national lenders to identify culturally appropriate home financing products, and advertise them to the community.



# INTRODUCTION

# Purpose of Study

The purpose of this study is to identify impediments to fair housing choice in Auburn and Lewiston, Maine, and to identify strategies to overcome these impediments.

The United States Department of Housing and Urban Development (HUD) defines impediments to fair housing choice to be:

- Any actions, omissions, or decisions taken because of race, color, religion, sex, disability, familial status, or national origin which restrict housing choices or the availability of housing choices
- Any actions, omissions, or decisions which have the effect of restricting housing choices or the availability of housing choices on the basis of race, color, religion, sex, disability, familial status, or national origin.

The cities of Lewiston and Auburn, located next to each other in Androscoggin County, Maine, receive direct federal funding from the Department of Housing and Urban Development (HUD) to administer programs which include:

- Community Development Block Grant (CDBG)
- Home Investment Partnership (HOME)

In this role as so-called "Entitlement" communities, the cities are obligated under the federal Fair Housing Act and HUD regulation to affirmatively further fair housing. Although this obligation is not defined in statute, HUD defines it in regulation as "requiring a grantee [State and Entitlement community] to:

- Conduct an analysis to identify impediments to fair housing choice within the jurisdiction
- Take appropriate actions to overcome the effects of any impediments identified through the analysis
- Maintain records reflecting the analysis and actions taken in this regard.

Auburn's prior Analysis of Impediments to Fair Housing Choice was conducted in 2005, and Lewiston's in 2006. This report updates those reports and fulfills both Lewiston and Auburn's HUD requirements as Entitlement communities.

# REVIEW OF FAIR HOUSING LAWS

# Federal Fair Housing Laws

Congress passed the Fair Housing Act in 1968. That Act has been amended several times since. Basic facts about the Fair Housing Act are summarized on HUD's web site<sup>1</sup>, excerpts of which are given below.

#### What Housing Is Covered?

The Fair Housing Act covers most housing. In some circumstances, the Act exempts owner-occupied buildings with no more than four units, single-family housing sold or rented without the use of a broker, and housing operated by organizations and private clubs that limit occupancy to members.

#### What Is Prohibited?

In the sale and rental of housing: No one may take any of the following actions based on race, color, national origin, religion, sex, familial status or handicap:

- Refuse to rent or sell housing
- Refuse to negotiate for housing
- Make housing unavailable
- Deny a dwelling
- Set different terms, conditions or privileges for sale or rental of a dwelling
- Provide different housing services or facilities
- Falsely deny that housing is available for inspection, sale, or rental
- For profit, persuade owners to sell or rent (blockbusting) or
- Deny anyone access to or membership in a facility or service (such as a multiple listing service) related to the sale or rental of housing.

In mortgage lending: No one may take any of the following actions based on race, color, national origin, religion, sex, familial status or handicap (disability):

- Refuse to make a mortgage loan
- Refuse to provide information regarding loans
- Impose different terms or conditions on a loan, such as different interest rates, points, or fees
- Discriminate in appraising property
- Refuse to purchase a loan or
- Set different terms or conditions for purchasing a loan.

In addition: It is illegal for anyone to:

<sup>&</sup>lt;sup>1</sup> http://portal.hud.gov/hudportal/HUD?src=/program\_offices/fair\_housing\_equal\_opp/FHLaws/yourrights

- Threaten, coerce, intimidate or interfere with anyone exercising a fair housing right or assisting others who exercise that right
- Advertise or make any statement that indicates a limitation or preference based on race, color, national origin, religion, sex, familial status, or handicap. This prohibition against discriminatory advertising applies to single-family and owneroccupied housing that is otherwise exempt from the Fair Housing Act.

Additional Protection: If you have a disability, your landlord may not:

- Refuse to let you make reasonable modifications to your dwelling or common use areas, at your expense, if necessary for the disabled person to use the housing. (Where reasonable, the landlord may permit changes only if you agree to restore the property to its original condition when you move.)
- Refuse to make reasonable accommodations in rules, policies, practices or services if necessary for the disabled person to use the housing.

# State Fair Housing Laws

Maine's Human Rights Act protects all of the groups listed in the federal law, and adds two additional groups – those who discriminated against due to their sexual orientation, and those discriminated against because they receive public assistance. The Maine Human Rights Commission summarizes the housing protections as follows<sup>2</sup>:

- It is illegal to discriminate against any person because of race, color, religion, national origin, ancestry, sex, sexual orientation, disability, familial status, or receipt of public assistance.
- Covered fair housing activities include oral or written inquiries, sale or rental of housing/residential lots, advertisements, financing of housing, provision of real estate brokerage services, appraisal of housing, blockbusting and steering, harassment, and unequal terms and conditions of housing.
- As of September 1, 2012, the law's protection is being expanded to cover someone who is an "aggrieved person" (defined as "any person who claims to have been subject to unlawful discrimination").

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<sup>&</sup>lt;sup>2</sup> http://www.maine.gov/mhrc/guidance/fair\_housing.htm

# **LEWISTON AND AUBURN POLICIES**

## City of Lewiston

In addition to its <u>2006 Analysis of Impediments to Fair Housing</u>, Lewiston's downtown has been the subject of several studies – both municipal and community-based - over the last five years. Several common themes have emerged: replace unsafe downtown housing, improve landlord/tenant communications, encourage apartment rehabilitation and reconstruction, and increase access to public transportation. After downtown apartment fires in April/May 2013 left over 200 people homeless, Lewiston has aggressively moved forward with its plan to tear down vacant, abandoned buildings, many of which were low-quality apartments built to house mill workers in the late 19<sup>th</sup> and early 20<sup>th</sup> century. There is a perception that while there is plenty of low-cost housing in Lewiston, much of it is in older, poorly built structures that are safety hazards for tenants and neighborhoods.

Although most of the attention in Lewiston is focused on the safety hazards of downtown rental housing, there are several general affordable-housing policy changes since the 2006 Analysis of Impediments:

- Changes in downtown density: Previously, the Downtown Residential District had a general minimum of 1,500 square feet of net lot area per dwelling unit. However, there was a density bonus provision which allowed 1,000 square feet of net lot area per dwelling unit for projects in which 25% of the tenants were low and moderate income households. Because city staff lacked an effective way to track compliance with the density bonus provision, the bonus was eliminated. At the same time, the City reduced the general minimum from 1,500 square feet to 1,250 square feet within the district.
- Lodging Houses: The city create a new "Lodging House" definition that combines the previous categories of lodging house, shelter, and boarding house. At least two new developments have been created in this group.
- **Development Grid:** The city has created a comprehensive use grid for development: http://www.lewistonmaine.gov/DocumentCenter/Home/View/249
- **Disorderly Property Ordinance**: Lewiston's mayor is proposing a "disorderly house" ordinance, which would require landlords to address tenants who disturb their neighbors or commit crimes. Owners of disorderly properties would be required to meet with city officials and police to come up with solutions.<sup>3</sup>

Beyond specific zoning changes, the City of Lewiston has had a number of studies of housing policy in the last ten years. Starting with the most recent, those studies include:

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<sup>&</sup>lt;sup>3</sup> http://www.sunjournal.com/news/lewiston-auburn/array/array/array/1363768

#### **Lewiston Comprehensive Plan (2013)**

In Process

#### Riverfront Master Plan (2012)<sup>4</sup>

- Focused on developing new market rate residential units in Bates Mill, Continental Mill, and Oxford Street
- Sees a potential for 110-210 market-rate residential units in downtown Lewiston over the next five years

## Strategic Plan for the City of Lewiston (2010)<sup>5</sup>

Selected Strategies

- Improve, create, and maintain mixed-income housing.
- Review data, look for potential CDBG-eligible areas
- Identify properties that negatively impact neighborhoods, work with residents to address concerns
- Facilitate replacement of unsafe housing with safe housing
- Complete tenement and sprinkler inspections
- Work to implement 10-year plan to end homelessness
- Consider additional incentives to encourage rehab and re-construction of substandard, unsafe properties

# Lewiston Auburn Homelessness Needs Assessment Report (2009)<sup>6</sup> and The 10 Year Plan to Eliminate Homelessness in Lewiston and Auburn (2009)<sup>7</sup>

Challenges Identified

- There is a shortage of shelter beds
- There is confusion in the voucher program
- There is exploitation in the world of "couch-surfing"
- There is a job/incomes problem
- The housing stock is old and in poor condition
- Homeless people need help reintegrating into society

#### Selected Strategies

- Prevention addressing the broad conditions that foster homelessness
- Early intervention identifying and helping individuals at immediate risk of homelessness
- Crisis response ensuring that emergency food, shelter, health care, are available
- Permanent affordable housing achieving reintegration into the community

<sup>&</sup>lt;sup>4</sup> http://www.lewistonmaine.gov/DocumentCenter/Home/View/2350

<sup>&</sup>lt;sup>5</sup> http://www.lewistonmaine.gov/DocumentCenter/Home/View/804

<sup>&</sup>lt;sup>6</sup> http://www.unitedwayandro.org/media/Needs\_Assessment\_for\_Lewiston\_Auburn.pdf

<sup>&</sup>lt;sup>7</sup> http://www.unitedwayandro.org/media/Plan\_to\_End\_Homelessness\_in\_Lewiston\_Auburn.pdf

#### **Downtown Neighborhood Action Plan (2009)**<sup>8</sup>

Developed by the Downtown Neighborhood Task Force

Selected Recommendations

- Develop a Registration/Business Licensing program for rental property owners.
- Increase Landlord/voucher holder communications about conditions, behaviors and standards (for both landlords and tenants)
- Create a forum for downtown landlords to exchange ideas and information
- Create a new loan program using CDBG funds that encourages mixed-use developments in the downtown area.
- Use grants or loans to encourage affordable units in apartment rehabilitation or reconstructions
- Develop a formal policy on development of cooperative housing
- Promote condo conversion, which can minimize risk by being rented as apartments
- Facilitate the replacement of unsafe housing, and commission a scientific, detailed study
  of downtown housing conditions (too many housing condition reports are based on
  assumptions or haphazard inspections)

#### The People's Downtown Master Plan (2008) 9

Project of Visible Communities (Lewiston downtown resident organization)
Priorities

- Expand affordable public transit
- Improve and create more safe, well maintained, truly affordable housing
- Establish accessible and free community center for persons of all ages
- Increase job opportunities and training for downtown residents.

## 2006 Analysis of Impediments to Fair Housing in the City of Lewiston

#### Issues

- Landlord lack of knowledge
- Landlord bias
- Bias among neighboring tenants
- Tenants don't know their rights
- How to deal with tenants who have difficulty living independently
- Language barriers for immigrants
- Problems with housing stock
- Problems with lack of resources
- Financial literacy for tenants and homeowners
- Transportation need nighttime and weekend runs

#### **Strategies**

Educate landlords about fair housing and lead paint laws.

<sup>&</sup>lt;sup>8</sup> http://www.lewistonmaine.gov/DocumentCenter/Home/View/803

<sup>9</sup> http://www.lewistonmaine.gov/DocumentCenter/Home/View/802

- Promote financial education for tenants and recent in-migrants
- Provide lead paint services
- Create a broad-based forum and planning group for housing solutions in Lewiston

Table I: Barriers and Strategies from 2006 Lewiston Analysis of Impediments

Issues	Strategies	2006-ongoing
-Landlord lack of	Educate landlords about	232 landlords were trained, and 185
knowledge	fair housing and lead paint	landlords received certification in RRP
-Landlord bias	laws.	
-Bias among neighboring		
tenants	Educate tenants about fair	Trained 144 Somali-Somali Bantu in tenant
-Tenants don't know their	housing and lead paint	rights, lead hazards and proper cleaning
rights	laws.	techniques
-How to deal with tenants		
who have difficulty living	Promote financial	Trained 3 immigrant households in financial
independently	education for tenants and	literacy and 1 family purchased a home and
-Language barriers for	recent in-migrants	received a Sharia-style loan from the City for
immigrants		affordability
-Problems with housing	Provide lead paint services	Created 114 lead safe units between May 1,
stock		2009 and April 30, 2012
-Problems with lack of		
resources	Create a broad-based	The majority of the housing issues in
-Financial literacy for	forum and planning group	Lewiston are in the city's downtown core,
tenants and homeowners	for housing solutions in	which is also the local CDBG target area
-Transportation – need	Lewiston	(Census Tracts 201-2014) where 70% of the
nighttime and weekend		households are low-income. There are
runs		several broad-based collaboratives that
		operate within this area to information
		planning for housing solutions in Lewiston of
		which the city is an active participant,
		including the Lewiston-Auburn Alliance for
		services to the homeless (2006-2007),
		Healthy Homes Healthy Families (2008),
		Downtown Neighborhood Action Committee
		(2009), Neighborhood Housing League
		(2009), Collective Impact (2012) and
		Community Concepts, Inc., a NeighborWorks
		grantee, which moved corporate offices and
		developed housing downtown.

# City of Auburn

Auburn has some of the same housing issues as Lewiston, albeit on a smaller scale. Its <u>2005</u> <u>Analysis of Impediments to Fair Housing</u> recommended landlord/tenant education and interpreter services, and Auburn's <u>2010 Comprehensive Plan</u> recommends maintaining the

safety of older housing, establishing a housing advocacy committee, and creating more new affordable housing units. In response to the fires in Lewiston, Auburn has also created a list of older residential buildings to inspect for safety issues. The 2005 Auburn study noted a few regulatory issues in the city – a status report is provided below.

Table 2: Regulatory Issues Noted in Auburn's 2005 Analysis of Impediments to Fair Housing

2005	Status (2013)
"Has minimum building size requirements that do not exceed local housing or health code"	Zoning does have a minimum size of 600 square feet for single-family homes. No minimum size for multi-family.
"Has no impact fees, but has a recreation fee based on the number of units in a new subdivision, and can waive those fees"	Still accurate – and recreation fees are almost always waived.
"Does not have a housing rehab code, but uses the BOCA code"	Now uses the Maine Uniform Building and Energy Code, which does allow for some rehab variation. The City of Auburn Home Improvement Program home rehab must comply with that program's rehab standards <sup>10</sup>
"Allows manufactured housing in all residential zones if it meets all the standards as a stick built home. There are overlay districts where mobile homes are allowed "as a right"	Not allowed in all zones, but overlay districts allow them throughout much of the city.
"Has modified infrastructure standards to reduce the cost of housing"	"Planned Unit Development" allows clustering and reduced frontages. Setbacks have been reduced in urban core, creating more buildable lot area. Has not affected density much.
"Does not give "as a right" density bonuses to offset the cost of building, except in cluster housing projects"	Still Accurate
"Performs housing development reviews by all relevant departments concurrently"	Still Accurate
"Has established time limits for government review and approval or disapproval"	Most Subdivisions (or multi-family development) can be approved In 30-60 days
"Allows "Accessory Apartments"	Two-family units allowed in all residential zones (accessory apartments are defined as two-family units in Auburn)

<sup>&</sup>lt;sup>10</sup> http://www.auburnmaine.gov/Pages/Residents/Home-Improvement-Programs

Auburn has also changed its policies to allow rooming or board houses in residential zones. This policy was created in response to multi-family rental owners creating illegal rooming houses without permits. One new rooming house has been created under this new system.<sup>11</sup>

Table 3: Barriers and Strategies from 2005 Auburn Analysis of Impediments

Issue	Strategy	Status (2013)
Lack of understanding by	Provide information to landlords	Accomplished.
landlords about Federal, State	using a variety of media and	
and local fair housing laws.	organizational contact.	
Lack of understanding of people	Offer landlord training	Accomplished.
with mental illness.		
There is a problem with limited	Provide language training and	Not accomplished.
English proficiency.	fund interpreter services.	
Lack of knowledge of the laws	Provide written information to	Accomplished.
regarding reasonable	landlords using a variety of	
accommodations and	media, organizational contact,	
modifications.	and training.	
Discrimination based on receipt	Post notices in a variety of	Accomplished.
of public assistance.	locations for both tenants and	
	landlords	

#### City of Auburn Comprehensive Plan (2010)<sup>12</sup>

Selected Goals

- Maintain and enhance the existing housing stock throughout Auburn's neighborhoods
- Support the continued development of subsidized and other affordable housing to meet the needs of low-income individuals and families.

## New Auburn Master Plan (2009)<sup>13</sup>

New Auburn is one of Auburn's CDBG Target Areas Selected Goals

- Maintain the quality of the existing owner-occupied housing stock by providing financial assistance to low and moderate-income property owners
- Establish flexible zoning and land use regulations designed to promote private development and rehabilitation
- Support owner-occupied small-scale rental housing as a positive part of these neighborhoods
- Support the establishment of an Auburn housing advocacy committee to develop and oversee housing related projects and programs

<sup>&</sup>lt;sup>11</sup> Auburn City Clerk's Office, May 2013.

<sup>12</sup> http://www.auburnmaine.gov/CMSContent/Planning/Comprehensive Plan FINAL Approved 4 19 11.pdf

<sup>13</sup> http://www.auburnmaine.gov/CMSContent/Planning/Comprehensive\_Plan\_FINAL\_Approved\_4\_19\_11.pdf

Assure that the existing rental housing stock is well maintained and well managed

# Lewiston Auburn Homelessness Needs Assessment Report (2009)<sup>14</sup> and The 10 Year Plan to Eliminate Homelessness in Lewiston and Auburn (2009)<sup>15</sup>

#### Challenges Identified

- There is a shortage of shelter beds
- There is confusion in the voucher program.
- There is exploitation in the world of "couch-surfing"
- There is a job/incomes problem
- The housing stock is old and in poor condition
- Homeless people need help in reintegrating into society

#### Selected Strategies

- Prevention addressing the broad conditions that foster homelessness
- Early intervention identifying and helping individuals at immediate risk of homelessness
- Crisis response ensuring that emergency food, shelter, health care, are available
- Permanent affordable housing achieving reintegration into the community

#### City of Auburn Analysis of Impediments to Fair Housing (2005)

#### Issues Identified

- Lack of understanding by landlords about federal, state and local fair housing laws.
- Lack of understanding of people with mental illness.
- There is a problem with limited English proficiency.
- Lack of knowledge of the laws regarding reasonable accommodations and modifications.
- Discrimination based on receipt of public assistance.

#### **Strategies**

- Provide information to landlords using a variety of media and organizational contact.
- Offer landlord training
- Provide language training and fund interpreter services.
- Provide written information to landlords using a variety of media, organizational contact, and training.
- Post notices in a variety of locations for both tenants and landlords

<sup>&</sup>lt;sup>14</sup> http://www.unitedwayandro.org/media/Needs Assessment for Lewiston Auburn.pdf

<sup>15</sup> http://www.unitedwayandro.org/media/Plan\_to\_End\_Homelessness\_in\_Lewiston\_Auburn.pdf

## **Conclusion**

The preceding narrative shows that both Lewiston and Auburn have ongoing concerns about the availability of decent and affordable housing to its citizens, and both have created proactive policies and programs to address the issue. This report updates the information in these past reports, and provides the opportunity to revisit and revise policies to make them more effective. The following sections of this report provide an overview of market conditions in Lewiston and Auburn, provide evidence from testing and interviews about fair housing issues in both cities, summarize the findings about fair housing impediments, and provide recommendations.



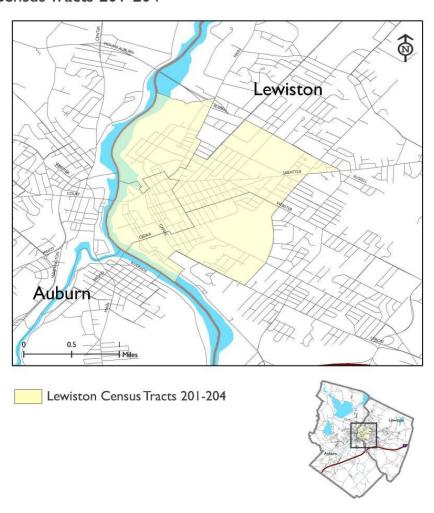
# MARKET OVERVIEW

# CDBG Target Areas

In 2010, Lewiston had a total population of 36,592. Lewiston's CDBG target area is made up of Census Tracts 201-204, located in the downtown area. The four census tracts make up 34.4% of Lewiston's total population, and 22.3% of the population of the two cities combined.

Figure 1: Lewiston CDBG Target Areas

# Lewiston CDBG Target Areas Census Tracts 201-204



Prepared by PDI, 5/17/13

In 2010, Auburn had a total population of 23,055. Auburn's CDBG Target Areas are New Auburn, Downtown, Union St, Sandy Beach and Manley Road. The areas are based on blighted conditions, and designated by a 2010 urban conditions study by the Community Development Department. Although they are not surrounded by a municipal or census boundary, these neighborhoods are located within the following census tracts: 101, 103, 104, 105 and 108. These census tracts make up 53.4% of Auburn's total population, and 14% of the two cities combined.

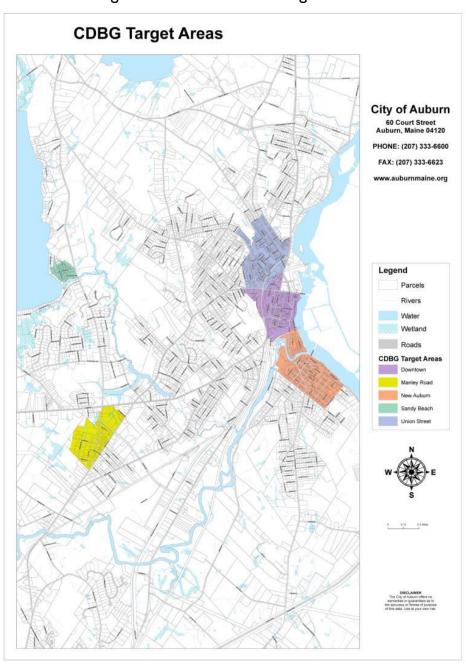


Figure 2: Auburn CDBG Target Areas

# **Housing**

In both Auburn and Lewiston, housing prices are increasingly affordable when compared to each city's median income. This is due to a dramatic drop in housing prices over the past several years — in the mid-2000s, a person making the median income in each city could not have afforded to buy a house at the median sale price. The same cannot be said for rental prices; renter households were less likely to be able to afford an apartment in 2011 than 2006. This is because rent levels continued to rise in Lewiston and Auburn (although modestly), while incomes stayed the same through the recession. Across both cities (in and out of target areas), more than three-quarters of renters who make less than \$20,000/year spend 30% or more of their income on housing. While target areas have higher concentrations of low-income households, low-income renters with high housing costs live throughout both cities.

The combination of relatively modest rents (a quarter less than nearby Portland, see Table 5); relatively old rental stock (Table 8); and a relatively high vacancy rate (see Table 11); leads to a situation where landlords do not invest in maintaining their properties, deterioration occurs, and fires happen.

#### Housing Cost

Table 4: Housing Prices

Table 1. Housing Trices								
			%					
	2006	2011	Change					
Auburn								
Median Income	\$40,525	\$40,307	-0.5%					
Income Needed for Median Price	\$54,398	\$37,347	-31.3%					
Median Sale Price	\$149,000	\$113,150	-24.1%					
Lewiston								
Median Income	\$32,659	\$33,124	1.4%					
Income Needed for Median Price	\$55,550	\$37,918	-31.7%					
Median Sale Price	\$147,500	\$115,000	-22.0%					
Portland								
Median Income	\$40,797	\$42,558	4.3%					
Income Needed for Median Price	\$75,663	\$68,158	-9.9%					
Median Sale Price	\$225,000	\$217,500	-3.3%					

Source: Maine State Housing Authority

Table 5: Average 2 Bedroom Rent with Utilities

	2006	2011
Auburn	\$730	\$769
Lewiston	\$728	\$756
Portland	\$1,061	\$1,089

Source: Maine State Housing Authority

Table 6: Percent of Renter Households Unable to Afford Average 2 BR Rent 2008-2011

	2008	2011
Auburn	55.2%	55.9%
Lewiston	60.9%	62.4%
Portland	63.1%	64.4%

Source: Maine State Housing Authority

Table 7: Rental Households Paying 30% or More of Income in Housing Costs, 2011

									Androscoggin	
Household	Lewiston Target	% of	Remainder	% of	Auburn Target	% of	Remainder	% of	County (minus Lewiston and	% of
Income	Area	Total	of Lewiston	Total	Area	7₀ Uj Total	of Auburn	Total	Auburn)	76 oj Total
Less than	Aica	Total	OI LEWISCOII	Total	Aica	Total	OI Aubuili	Total	Aubuilij	Total
\$20,000	1,814	81.6%	658	75.5%	821	77.8%	305	89.7%	721	77.9%
\$20,000 to										
\$34,999	435	47.6%	563	72.9%	315	56.1%	159	53.2%	411	62.7%
\$35,000 to										
\$49,999	0	0.0%	57	13.5%	9	2.5%	68	25.2%	48	13.5%
\$50,000 or										
more	0	0.0%	43	11.5%	0	0.0%	4	1.4%	39	6.5%

Source: ACS 2007-2011

## Age and Safety of Housing Stock

The rental housing stock in both cities dates from the days of high-density downtown mill jobs. In both cities, rental housing built before 1940 accounts for more than two-thirds of total rental housing in the target areas. Outside of target areas, the percentage of rental housing built before 1940 is between 22% and 26%.

Over 80% of pre-1940 rental housing in target areas has between two and nineteen units – indicating that most units are in "triple-decker" rental housing, which are large house-style apartment buildings subdivided into multiple units.

Older housing is more likely to have lead paint, and the Lewiston target area has three times the state average of children with lead poisoning. Over 50% of lead poisoning in this area occurs among immigrant children, and 90% occurs in rental housing. Maine public health nurses have determined that the lead poisoning is related to housing. In addition, the presence of bedbugs and cockroaches is increasing. Due to the poverty levels in this area, many residents are focused on basic needs, and not on pest control or lead paint testing - and New Americans in this area may not be aware U.S. pest-control methods, or the need to inspect donated

<sup>&</sup>lt;sup>16</sup> from Maine CDC data portal, www.gateway.maine.gov

mattresses and furniture for bedbugs. They may also not be aware that the landlord is responsible for fixing housing problems, decreasing communication between the tenant and landlord.

"Healthy Androscoggin", a joint Lewiston/Auburn public health committee formed in 2008, is beginning a project that will help the reduce the incidence of lead poisoning and pest infestation in the Lewiston Target Area through prevention, education, and self-advocacy empowerment. Partners include the cities of Lewiston and Auburn, Maine CDC, Pine Tree Legal, Lewiston's Neighborhood Housing League, public health professionals, the United Somali Woman of Maine, and Maine Cooperative Extension.<sup>17</sup>

#### **Fires**

Over the course of a week from April-May 2013, nine buildings in downtown Lewiston burned down due to three separate arson fires. These fires destroyed 77 apartment units, and left over 200 people homeless. At least 29 of the units in one building had federal subsidies, and almost all of the fire victims were refugees from Africa. As a result, Lewiston has designated four community resource officers to inspect the 86 properties on the city's abandoned building list, and the Department of Corrections is supplying laborers to help Lewiston board up condemned properties.

After these inspections, Lewiston now has five buildings with 17 apartment units slated for demolition (in addition to the 77 units lost in the fire). Lewiston had already demolished 16 buildings (with 58 units) from 2010 to 2012. This loss of housing will affect vacancy rates and housing availability, and also the character of the neighborhoods in downtown Lewiston. HUD has waived subsidy application requirements for families impacted by the fire, and fast-tracked rental subsidies. The United Way of Androscoggin County raised over \$180,000 to help displaced residents, and the U.S. Small Business Administration is offering a low-interest disaster loan program for residents and businesses affected by the fire. As of June 2013, all displaced families had been rehoused in either Lewiston or Auburn.

Auburn has also created a list of at least 65 buildings to inspect and patrol. 23

<sup>&</sup>lt;sup>17</sup> Healthy Androscoggin, 2013.

<sup>18 &</sup>quot;Faces of the Fires," Portland Press Herald, May 9 2013

<sup>&</sup>lt;sup>19</sup> http://bangordailynews.com/2013/05/07/news/lewiston-auburn/lewiston-police-inspect-abandoned-tenements/

http://www.sunjournal.com/news/lewiston-auburn/2013/05/08/housing-fair-seeks-help-fire-victims/1360777

<sup>&</sup>lt;sup>21</sup> http://www.pressherald.com/news/a-place-for-lewistons-displaced\_2013-05-18.html

<sup>&</sup>lt;sup>22</sup> http://bangordailynews.com/2013/06/02/news/lewiston-auburn/mayor-says-disaster-loans-will-help-downtown-lewiston/

<sup>&</sup>lt;sup>23</sup> http://www.wcsh6.com/news/article/243988/2/After-Lewiston-fires-Auburn-steps-up-building-patrols

Table 8: Age of Rental Stock, 2011

	Lewiston Target Area	% of Total	Remainder of Lewiston	% of Total	Auburn Target Area	% of Total	Remainder of Auburn	% of Total	Androscoggin County (minus Lewiston and Auburn)	% of Total
Built 2000 or later:	80	1.8%	142	4.7%	23	0.9%	46	3.3%	196	6.3%
Built 1980 to 1999:	83	1.9%	705	23.5%	196	7.9%	562	40.5%	1,105	35.6%
Built 1960 to 1979:	625	14.1%	786	26.1%	259	10.4%	364	26.2%	734	23.7%
Built 1940 to 1959:	621	14.0%	596	19.8%	295	11.9%	106	7.6%	229	7.4%
Built 1939 or earlier:	3,030	68.3%	777	25.8%	1,710	68.9%	309	22.3%	836	27.0%
TOTAL	4,439		3,006		2,483	·	1,387		3,100	

Source: ACS 2007-2011

Table 9: Age of Rental Housing Stock by Unit Type, 2011

	Lewiston Target Area	% of Total	Remainder of Lewiston	% of Total	Auburn Target Area	% of Total	Remainder of Auburn	% of Total	Androscoggin County (minus Lewiston and Auburn)	% of Total
Built 2000 or later:	80	100	142	,	23	100.	46	75 01 10 00.	196	
1, detached or attached	19	23.8%	142	100.0%	3	13.0%	26	56.5%	89	45.4%
2 to 4	0	0.0%	0	0.0%	20	87.0%	20	43.5%	90	45.9%
5 to 19	31	38.8%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
20 to 49	9	11.3%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
50 or more	21	26.3%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Mobile home, boat, RV, van, etc.	0	0.0%	0	0.0%	0	0.0%	0	0.0%	17	8.7%
Built 1980 to 1999:	83		705		196		562		1,105	
1, detached or attached	14	16.9%	89	12.6%	38	19.4%	34	6.0%	101	9.1%
2 to 4	34	41.0%	67	9.5%	60	30.6%	77	13.7%	295	26.7%
5 to 19	12	14.5%	425	60.3%	21	10.7%	216	38.4%	115	10.4%
20 to 49	11	13.3%	33	4.7%	0	0.0%	171	30.4%	109	9.9%
50 or more	0	0.0%	36	5.1%	53	27.0%	54	9.6%	0	0.0%
Mobile home, boat, RV, van, etc.	12	14.5%	55	7.8%	24	12.2%	10	1.8%	485	43.9%

#### Market Overview

	Lewiston Target Area	% of Total	Remainder of Lewiston	% of Total	Auburn Target Area	% of Total	Remainder of Auburn	% of Total	Androscoggin County (minus Lewiston & Auburn)	% of Total
Built 1960 to 1979:	625		786		259		364		734	
1, detached or attached	121	19.4%	93	11.8%	24	9.3%	16	4.4%	169	23.0%
2 to 4	141	22.6%	291	37.0%	88	34.0%	39	10.7%	159	21.7%
5 to 19	183	29.3%	321	40.8%	75	29.0%	71	19.5%	83	11.3%
20 to 49	25	4.0%	56	7.1%	8	3.1%	102	28.0%	41	5.6%
50 or more	117	18.7%	0	0.0%	64	24.7%	96	26.4%	8	1.1%
Mobile home, boat, RV, van, etc.	38	6.1%	25	3.2%	0	0.0%	40	11.0%	274	37.3%
Built 1940 to 1959:	621		596		295		106		229	
1, detached or attached	22	3.5%	185	31.0%	27	9.2%	70	66.0%	62	27.1%
2 to 4	310	49.9%	304	51.0%	170	57.6%	36	34.0%	89	38.9%
5 to 19	255	41.1%	100	16.8%	49	16.6%	0	0.0%	23	10.0%
20 to 49	9	1.4%	0	0.0%	22	7.5%	0	0.0%	19	8.3%
50 or more	25	4.0%	7	1.2%	27	9.2%	0	0.0%	0	0.0%
Mobile home, boat, RV, van, etc.	0	0.0%	0	0.0%	0	0.0%	0	0.0%	36	15.7%
Built 1939 or earlier:	3,030		777		1,710		309		836	
1, detached or attached	51	1.7%	155	19.9%	94	5.5%	29	9.4%	306	36.6%
2 to 4	1,234	40.7%	535	68.9%	886	51.8%	138	44.7%	383	45.8%
5 to 19	1,369	45.2%	87	11.2%	558	32.6%	88	28.5%	67	8.0%
20 to 49	228	7.5%	0	0.0%	67	3.9%	12	3.9%	53	6.3%
50 or more	148	4.9%	0	0.0%	105	6.1%	42	13.6%	0	0.0%
Mobile home, boat, RV, van, etc.	0	0.0%	0	0.0%	0	0.0%	0	0.0%	27	3.2%

Source: ACS 2007-2011

#### Housing Occupancy

In the Lewiston target area, about 85% of occupied housing is rental, which is a far higher percentage than the Auburn target area, or the remainder of either city. The rental vacancy rate in the Lewiston target area is 4.7%, and the owner vacancy rate is 11.6%. However, a relatively large percent (7.6%) of housing in Lewiston's target area falls into a different category, called "other vacant" by the US Census. Housing in this category doesn't overlap with any other category, and includes units might be held for caretaking by a janitor (but not occupied, or for rent), or units that are boarded-up.<sup>24</sup> The City of Lewiston has identified 14 buildings (with 38 total units) as vacant or abandoned.

Both the Lewiston and Auburn target areas have a larger percentage of studio or one-bedroom rental units than the remainder of the city, or the county. Outside of the target areas, however, two-to-four bedroom units make up a higher percentage of the total.

The relatively low number of 7+ person households reported by the Census is a warning sign that Census counters are not fully capturing the New American community. But there are not enough 5+ bedroom units to meet even this understated demand.

Table 10: Occupied Housing Unit Tenure, 2011

					Androscoggin
					County
					(minus
	Lewiston	Remainder of	Auburn Target	Remainder	Lewiston and
	Target Area	Lewiston	Area	of Auburn	Auburn)
Total	5,194	9,852	5,461	4,614	18,847
Owner occupied	755	1,396	2,978	3,227	15,747
Owner occupied	, 55	_,000	_,	-/	,

Source: ACS 2007-2011

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<sup>&</sup>lt;sup>24</sup> http://www.census.gov/geo/lv4help/apen\_bhous.html

Table II: Housing Unit Vacancy, 2011

	Lewiston Target	Remainder of	Auburn Target	Remainder	Androscoggin County (minus Lewiston and
	Area	Lewiston	Area	of Auburn	Auburn)
Total Housing Units	5,998	10,598	6,130	5,014	21,252
Seasonal	0	22	101	0	1,130
Other Vacant	457	338	207	215	670
% Other Vacant (of Total					
Housing Units)	7.6%	3.2%	3.4%	4.3%	3.2%
Total Year-Round Units	5,998	10,576	6,029	5,014	20,122
OWNER					
Total Owner Units	854	7,030	3,058	3,285	16,017
Owner Occupied	755	6,846	2,978	3,227	15,747
Vacant for Sale	99	99	80	58	270
Owner Vacancy Rate	11.6%	1.4%	2.6%	1.8%	1.7%
RENTAL					
Total Renter Units	4,687	3,208	2,764	1,514	3,435
Renter Occupied	4,439	3,006	2,483	1,387	3,100
For Rent	222	168	269	127	201
Rental Vacancy	4.7%	5.2%	9.7%	8.4%	5.9%

Source: ACS 2007-2011

Table 12: Owner and Renter Housing by Household Size, 2010

					Androscoggin
	Lewiston	Remainder	Auburn		County (minus
	Target	of	Target	Remainder	Lewiston and
	_		_		
	Area	Lewiston	Area	of Auburn	Auburn)
Total	5,194	9,852	5,461	4,614	18,847
Owner-occupied housing units	<i>755</i>	6,846	2,978	3,227	21,799
1-person household	187	1,362	699	728	2,785
2-person household	294	3,115	1,374	1,272	6,755
3-person household	105	1,150	378	427	2,706
4-person household	82	858	428	592	2,322
5-person household	74	284	74	170	851
6-person household	13	46	13	26	269
7+ person household	0	31	12	0	59
Renter-occupied housing units	4,439	3,006	2,483	1,387	3,100
1-person household	2,144	1,254	1,261	720	969
2-person household	1,068	954	662	349	982
3-person household	677	370	231	127	611
4-person household	427	167	164	114	344
5-person household	74	214	139	9	119
6-person household	35	0	14	68	33
7+ person household	14	47	12	0	42

Source: 2010 US Census

Table 13: Rental Housing by Number of Bedrooms, 2011

	Lewiston		Remainder		Auburn				Androscoggin County (minus	
	Target	% of	of	% of	Target	% of	Remainder	% of	Lewiston and	% of
	Area	Total	Lewiston	Total	Area	Total	of Auburn	Total	Auburn)	Total
Renter occupied:	4,439		3,006		2,483		1,387		3,100	
No bedroom	343	7.7%	20	0.7%	179	7.2%	84	6.1%	90	2.9%
1 bedroom	1,535	34.6%	595	19.8%	902	36.3%	370	26.7%	645	20.8%
2 bedrooms	1,485	33.5%	1,349	44.9%	870	35.0%	696	50.2%	1,446	46.6%
3 bedrooms	890	20.0%	1,728	57.5%	371	14.9%	189	13.6%	619	20.0%
4 bedrooms	173	3.9%	31	1.0%	132	5.3%	144	10.4%	211	6.8%
5 +BR	13	0.3%	0	0.0%	29	1.2%	7	0.5%	89	2.9%

Source: ACS 2007-2011

# Home Mortgage Data

From 2004 to 2011, the number of mortgages issued in the Lewiston Auburn Metro area (the smallest geographic area for which data was available) fell by over 60% (Table 14). Mortgages issued to all income categories fell between 2007 and 2008, and only very low income and low-income mortgages have increased since (Figure 3), showing an increased affordability for those groups. During this period, the average loan amount has stayed below the 2005 average, while the number of government-insured mortgages has increased (Table 15). From 2004 to 2011, the percent of mortgages issued to white households has held steady between 98% and 99% - which is slightly higher than the percent of white households in the metro area (95%).

Most households in the Lewiston-Auburn Metro area are white (Table 16). The issuance of mortgages fell to all groups after 2006 (Table 17). However, the decline among blacks was greater than among other groups (Table 17), and the 2010 incidence rate of mortgages among blacks is lower than other groups (Table 18). Part of the reason for this may be that many black New Americans are from Somalia, and are Muslim, and local mortgage originators may not have loan products to meet their needs, especially Sharia-compliant home financing mechanisms.

Table 14: Lewiston-Auburn Metro First-Lien Home Mortgages by Income, 2004-2011

	2004	2005	2006	2007	2008	2009	2010	2011
Mortgages	1,723	1,645	1,398	926	620	811	715	639
Very Low Income (50% AMI or less)	4.8%	4.8%	6.3%	5.1%	4.5%	7.3%	8.7%	10.0%
Low-Income (50-80%) AMI	26.3%	21.6%	22.9%	25.1%	24.2%	33.3%	32.0%	36.8%
Middle-Income (80-120% AMI)	34.0%	36.5%	35.0%	33.7%	31.8%	34.0%	30.2%	27.1%
High-Income (120% AMI)	32.7%	35.2%	33.3%	33.6%	39.0%	24.3%	28.7%	25.8%
Income not specified	2.1%	1.8%	2.5%	2.6%	0.5%	1.1%	0.4%	0.3%

Source: Urban Institute Analysis of Home Mortgage Disclosure Act data

Mortgages by Income 45.0% 40.0% 35.0% Very Low Income (50% AMI or less) 30.0% Low-Income (50-80%) AMI 25.0% 20.0% Middle-Income (80-120% 15.0% AMI) 10.0% High-Income (120% AMI) 5.0% 0.0% 2004 2005 2006 2007 2008 2009 2010 2011

Figure 3: Lewiston-Auburn Metro First Lien Home Mortgages by Income, 2004-2011

Source: Urban Institute Analysis of Home Mortgage Disclosure Act data

Table 15: Lewiston-Auburn Metro Mortgage Amount and Type, 2004-2011

	Average Loan Amount	Conventional Mortgages	Government Insured Mortgages	Government Insured Mortgages as a Percent of Total
2004	\$121,000	1,782	384	17.7%
2005	\$134,000	2,089	224	9.7%
2006	\$141,000	1,666	205	11.0%
2007	\$147,000	953	169	15.1%
2008	\$144,000	495	214	30.2%
2009	\$130,000	407	477	54.0%
2010	\$131,000	352	451	56.2%
2011	\$124,000	286	423	59.7%

Source: Urban Institute Analysis of Home Mortgage Disclosure Act data

Table 16: Lewiston-Auburn Metro Households by Race, 2010

	•	
	Lewiston-Auburn,	% of Total
	ME Metro Area	% 01 10tal
Total:	44,315	
Householder who is White alone	42,287	95.4%
Householder who is Black or African American alone	949	2.1%
Householder who is American Indian and Alaska Native alone	172	0.4%
Householder who is Asian alone	219	0.5%
Householder who is Native Hawaiian and Other Pacific Islander alone	12	0.0%
Householder who is Some Other Race alone	132	0.3%
Householder who is Two or More Races	544	1.2%

Source: US Census 2010

Table 17: Lewiston-Auburn Metro Mortgage Origination by Race, 2004-2011

	2004	2005	2006	2007	2008	2009	2010	2011
White	1,576	1,526	1,282	853	562	753	671	613
Hispanic	15	15	7	5	6	5	4	6
Black	8	17	7	3	2	3	4	2
Asian/Pacific Islander	7	11	10	8	4	3	3	0

Source: Urban Institute Analysis of Home Mortgage Disclosure Act data

Table 18: Lewiston-Auburn Metro Mortgage Incidence by Race, 2010

	Households	Mortgages	Incidence
White	42,287	671	0.016
Black	949	4	0.004
Asian/Pacific Islander	231	3	0.013
Hispanic	457	4	0.009

Source: Urban Institute Analysis of Home Mortgage Disclosure Act data, US Census 2010

# **Population**

One million refugees left Somalia after the civil war in the 1980s, many heading for refugee camps in Kenya. In 1999, the United States began to resettle Somalis in mid-to-large size cities across the U.S. However, the Somali population was often placed in poverty-stricken city centers, and many began looking to resettle elsewhere. Portland, Maine became a popular destination – but Portland's public housing and housing stock couldn't meet the new demand, so in 2001 Somali refugees started moving north into Lewiston. While Somali refugees make up the vast majority of the New American community, there are also immigrants from several other African countries.

Lewiston experienced a total population increase of 902 between 2000 and 2010; the Black/African American population in the city, however, increased by 2,791 during this same time. Without the New American population, the city's total population would have declined by almost 2,000. Auburn experienced a similar, if smaller, population change; while the population of the city as a whole decreased by 148 between 2000 and 2010, the Black or African American population increased by 433 – indicating that the city would have lost a greater percentage of its population without New Americans. This new population is not evenly distributed. Of the Black/African American population in Lewiston and Auburn (combined), 61% live in the Lewiston target area.

Table 19: Population Change, 2000-2010

	2000	2010	% Change
Lewiston Target Area	12,861	13,313	3.5%
Remainder of Lewiston	22,829	23,279	2.0%
Auburn Target Area	12,508	12,317	-1.5%
Remainder of Auburn	10,695	10,738	0.4%
Androscoggin County (minus Lewiston and Auburn)	44,900	48,055	7.0%

Source: US Census 2000 and 2010

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<sup>&</sup>lt;sup>25</sup> http://abacus.bates.edu/pix/PerceivedBarriers09Jan20.pdf

Table 20: Race, 2000-2010

	Lewiston Target		Remai	nder of	Auburn Target R		Remainder of		Androscoggin County (minus Auburn and	
		rea		iston		ea		ourn	Lewiston)	
	2000	2010	2000	2010	2000	2010	2000	2010	2000	2010
Total population	12,861	13,313	22,829	23,279	12,508	12,317	10,695	10,738	44,900	48,055
White	12,044	10,384	22,128	21,310	12,060	11,576	12,124	10,028	43,969	46,641
Black or African American	243	2,066	140	1,108	93	209	44	361	163	187
American Indian and Alaska Native	61	83	39	73	55	70	14	28	113	155
Asian	110	117	191	267	73	105	64	113	134	176
Native Hawaiian and Other Pacific Islander	3	9	8	5	6	0	6	10	17	8
Some other race	86	128	44	91	16	50	8	27	140	161
Two or more races	314	517	279	434	205	359	102	119	364	727
Hispanic or Latino (of any race)	269	393	179	337	115	227	54	122	371	590

Source: US Census 2000 and 2010

Table 21: Race as Percent of Total Population, 2000-2010

	Lewiston Target		Remaii	nder of	Auburi	n Target	Target Remainder of			Androscoggin County (Minus Lewiston and	
		ea	Lewiston			Area		burn	Auburn)		
	2000	2010	2000	2010	2000	2010	2000	2010	2000	2010	
Total population	12,861	13,313	22,829	23,279	12,508	12,317	10,695	10,738	44,900	48,055	
White	93.6%	78.0%	96.9%	91.5%	96.4%	94.0%	113.4%	93.4%	97.9%	97.1%	
Black or African American	1.9%	15.5%	0.6%	4.8%	0.7%	1.7%	0.4%	3.4%	0.4%	0.4%	
American Indian and Alaska Native	0.5%	0.6%	0.2%	0.3%	0.4%	0.6%	0.1%	0.3%	0.3%	0.3%	
Asian	0.9%	0.9%	0.8%	1.1%	0.6%	0.9%	0.6%	1.1%	0.3%	0.4%	
Native Hawaiian and Other Pacific Islander	0.0%	0.1%	0.0%	0.0%	0.0%	0.0%	0.1%	0.1%	0.0%	0.0%	
Some other race	0.7%	1.0%	0.2%	0.4%	0.1%	0.4%	0.1%	0.3%	0.3%	0.3%	
Two or more races	2.4%	3.9%	1.2%	1.9%	1.6%	2.9%	1.0%	1.1%	0.8%	1.5%	
Hispanic or Latino (any race)	2.1%	3.0%	0.8%	1.4%	0.9%	1.8%	0.5%	1.1%	0.8%	1.2%	

Source: US Census 2000 and 2010

Table 22: Ancestry, 2000-2011

	Lewiston Remainder Target Area of Lewiston					Androscoggin County (minus				
					Auburn Target Area		Remainder of Auburn		Lewiston and Auburn)	
	2000	2011	2000	2011	2000	2011	2000	2011	2000	2011
Arab	0	5	15	84	7	20	9	25	18	38
Subsaharan African	67	476	33	414	0	280	8	310	40	11

Source: US Census (2000), ACS 2007-2011 (2011)

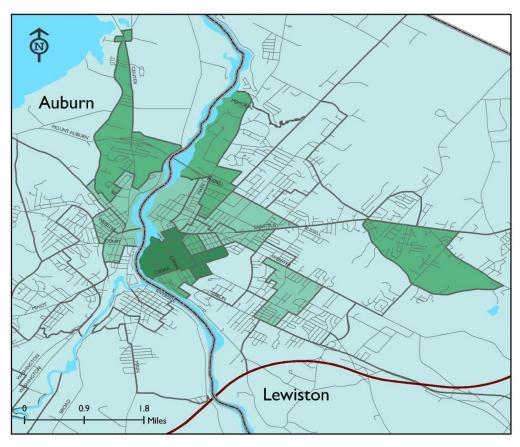
Table 23: Number of blacks who speak English "less than very well" in 2011

					Androscoggin County (minus	
	Lewiston	Remainder of	Auburn	Remainder of	Lewiston and	
	Target Area	Lewiston	Target Area	Auburn	Auburn)	
Total	428	328	408	169	56	
Native	22	34	0	0	0	
Foreign Born	145	182	166	22	0	

Source: ACS, 2007-2011

# Black Population by Census Block Group

Auburn/Lewiston Demographics



# **Black Population**

0.1% - 2.5%

2.6% - 7.1%

7.2% - 20.4%

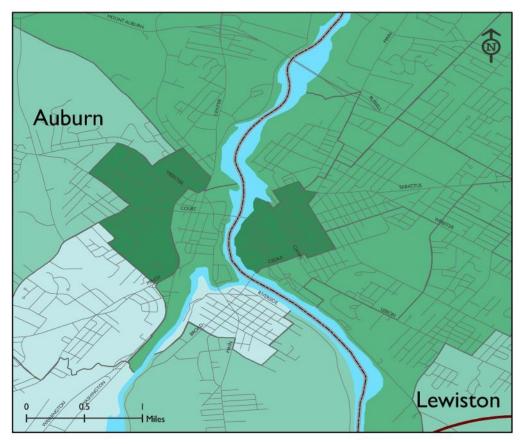
20.5% - 42.3%

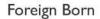


Prepared by PDI, 6/3/2013 Source: ACS 2007-2011

# Foreign Born by Census Tract

# Auburn/Lewiston Demographics









Prepared by PDI, 4/4/13 Source: ACS 2007-2011

## **Poverty**

Almost 50% of families with children in the Lewiston target area live below the poverty line. Of families without children, over 34% live below the poverty line. These families are primarily white (90.4% White in the Lewiston target area, and 9.6% Black/African American) and living in rental housing (93.2% in the Lewiston target area).

Auburn fares slightly better – but the percentage of families with children below the poverty line in the target area is still 18.6%, and those without children, 11.2%.

Table 24: Families Below Poverty Level, 2011

	Lewiston	Remainder			Androscoggin County (minus
	Target Area	of Lewiston	Auburn Target Area	Remainder of Auburn	Lewiston and Auburn)
Families Below Poverty	34.4%	8.9%	11.2%	7.1%	6.9%
Families with related children under 18 Below Poverty	47.8%	18.8%	18.6%	12.2%	13.0%

Source: ACS, 2007-2011

Table 25: Families Below Poverty by Race, 2011

					Androscoggin County
	Lewiston	Remainder			(minus
	Target	of	Auburn Target	Remainder	Lewiston and
	Area	Lewiston	Area	of Auburn	Auburn)
White	629	522	294	192	823
Black or African American	67	56	33	15	0
American Indian and Alaska					
Native	0	0	0	0	3
Asian	0	0	0	0	0
Native Hawaiian and Other					
Pacific Islander	0	0	0	0	0
Some other race	0	0	0	0	58
Hispanic or Latino origin (of	_				
any race)	36	0	15	0	100

Source: ACS 2007-2011

Table 26: Families below Poverty by Housing Type, 2011

	Lewiston Target Area	Remainder of Lewiston	Auburn Target Area	Remainder of Auburn	Androscoggin County (minus Lewiston and Auburn)
Total Families	2,204	6,354	3,041	2,875	13,732
Income in last 12 months					
below poverty level	758	572	341	205	952
Owner Occupied	51	189	14	69	500
Renter Occupied	707	383	327	136	452

Source: ACS 2007-2011

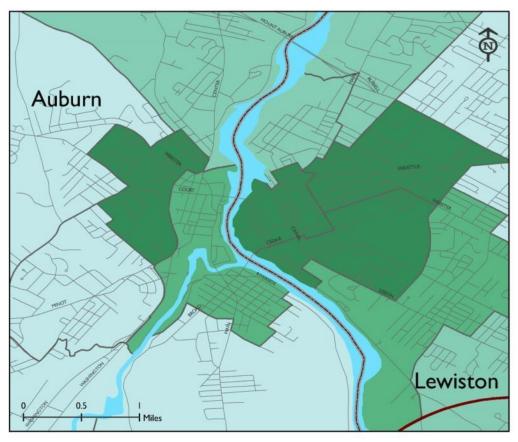
Table 27: Families below Poverty by Household Size, 2011

			Auburn		Androscoggin County (minus
	Lewiston	Remainder of	Target	Remainder	Lewiston and
	Target Area	Lewiston	Area	of Auburn	Auburn)
Total Families	2,204	6,354	3,041	2,875	13,732
Income in the past 12					
months below poverty					
level:	758	572	341	205	952
2 people	388	295	168	60	368
3 to 4 people	277	191	95	145	500
5 to 6 people	84	64	66	0	68
7+ people	9	22	12	0	16

Source: ACS 2007-2011

## Below Poverty by Census Tract

## Auburn/Lewiston Demographics



## **Below Poverty**

2.2% - 8.3%

8.4% - 15.7%

15.8% - 25.6%

25.7% - 53.1%



Prepared by PDI, 4/4/13 Source: ACS 2007-2011

#### Disability

Disability data is only available from the Census at the city level (or higher). It is important to note that, since it is Census data, it is self-reported, and does not necessarily reflect formal medical evaluations.

Lewiston's disability rate is higher than Auburn's and the remainder of the county. The largest discrepancy between Lewiston and comparison areas is in youth disability; 15.1% of the population between 5 and 17 has a disability, and of these 85% have cognitive difficulty.

In Androscoggin County, 3.5% of the county's population collected Social Security Disability benefits in 2012 – slightly higher than Cumberland County (home to the state's largest city, Portland), and the state as a whole.

Table 28: Incidence of Disabilities (outside of institutions like nursing homes)

		Lewistor			Auburn			roscoggin nus Lewisto Auburn	on and
		LEWISTOI	!		Aubuiii			Aubum	
		\A/:+	0/		VA/CELO -	م المنابع		VA/Sele =	0/:+
	Total	With a disability	% with a disability	Total	With a disability	% with a disability	Total	With a disability	% with a disability
Total civilian noninstitutionalized population	36,004	6,889	19.1%	22,634	3,305	14.6%	47,832	6,924	14.5%
Population under 5 years	2,470	38	1.5%	1,306	0	0.0%	2,969	41	1.4%
With a hearing difficulty	(X)	24	1.0%	(X)	0	0.0%	(X)	21	0.7%
With a vision difficulty	(X)	14	0.6%	(X)	0	0.0%	(X)	20	0.7%
Population 5 to 17 years	5,553	837	15.1%	4,105	324	7.9%	7,756	580	7.5%
With a hearing difficulty	(X)	16	0.3%	(X)	0	0.0%	(X)	59	0.8%
With a vision difficulty	(X)	94	1.7%	(X)	20	0.5%	(X)	65	4.9%
With a cognitive difficulty	(X)	712	12.8%	(X)	304	7.4%	(X)	383	4.9%
With an ambulatory difficulty	(X)	0	0.0%	(X)	0	0.0%	(X)	0	0.0%
With a self-care difficulty	(X)	43	0.8%	(X)	0	0.0%	(X)	44	0.6%
Population 18 to 64 years	22,694	3,611	15.9%	13,942	1,667	12.0%	31,015	3,868	12.5%
With a hearing difficulty	(X)	520	2.3%	(X)	268	1.9%	(X)	932	3.0%
With a vision difficulty	(X)	431	1.9%	(X)	190	1.4%	(X)	531	1.7%
With a cognitive difficulty	(X)	1,928	8.5%	(X)	862	6.2%	(X)	1,564	5.0%
With an ambulatory difficulty	(X)	1,939	8.5%	(X)	855	6.1%	(X)	1,805	5.8%
With a self-care difficulty	(X)	477	2.1%	(X)	185	1.3%	(X)	378	1.2%
With independent living difficulty	(X)	1,387	6.1%	(X)	484	3.5%	(X)	1,135	3.7%
Population 65 years and over	5,287	2,403	45.5%	3,281	1,314	40.0%	6,092	2,435	40.0%
With a hearing difficulty	(X)	951	18.0%	(X)	611	18.6%	(X)	1,161	19.1%
With a vision difficulty	(X)	383	7.2%	(X)	114	3.5%	(X)	365	6.0%
With a cognitive difficulty	(X)	628	11.9%	(X)	445	13.6%	(X)	548	9.0%
With an ambulatory difficulty	(X)	1,608	30.4%	(X)	714	21.8%	(X)	1,369	22.5%
With a self-care difficulty	(X)	435	8.2%	(X)	248	7.6%	(X)	487	8.0%
With independent living difficulty	(X)	1,191	22.5%	(X)	522	15.9%	(X)	994	16.3%

Source: ACS 2009-2011

Table 29: Social Security-Disability Recipients, December 2012

	Androscoggin	Cumberland	Maine
Total	3,810	5,412	36,259
% of Total			
Population	3.5%	1.9%	2.7%

Source: US Social Security Administration, US Census

#### **Housing Authorities**

Not including housing choice vouchers, the Auburn and Lewiston Housing Authorities administer 1,195 units. A little over half of these are traditional public housing units, with the remainder moderate rehab or affiliated developments.

#### **Auburn Housing Authority**

- Over 70% of Auburn's units are one-bedroom, which reflects the fact that over 77% (405) of the units are for the elderly or disabled.
- Auburn had 590 Section 8 Housing Choice Vouchers, but the number was reduced to 560 after the federal sequestration. The Housing Authority is trying to decrease the number of vouchers by attrition. Vouchers are currently closed to new applicants.
- Families looking for two-bedroom units in Auburn spend the longest time on the waiting list.
- Most of the families looking for larger units (4-5 bedrooms) are New Americans.
- Fewer New American families are arriving than in the past years.
- The Auburn Housing Authority needs larger units for families, along with increased funds for maintenance – large families create a lot of wear and tear. Because of the limited number of large units, some families take housing choice vouchers and find rental housing outside of the city.<sup>26</sup>

#### **Lewiston Housing Authority**

- Just over 40% (275) of Lewiston's public housing units are designated for families.
- Until 2001 (when New American community begin arriving), LHA was having trouble renting 4-5 bedroom units.
- New American families like the location of LHA properties especially those in more suburban areas, outside of downtown Lewiston.
- Lewiston Housing Choice Voucher territory includes Lisbon Falls, Green and Sabbatus but the city bus doesn't go past Lisbon.
- In 2010, a white family filed a fair housing complaint, saying LHA discriminated against whites. The complaint was dismissed.
- New American families often start in a public housing apartment, and later get a housing choice voucher and move into a private apartment.<sup>27</sup>

<sup>&</sup>lt;sup>26</sup> Personal Communication, Auburn Housing Authority. March 2013.

<sup>&</sup>lt;sup>27</sup> Personal Communication, Lewiston Housing Authority. March 2013.

Table 30: Auburn and Lewiston Housing Authority Units and Vouchers, 2013

	Auburn Housing	Lewiston Housing	
	Authority	Authority	Total
Public Housing Units	222	437	659
Lewiston-Auburn Area Housing Development Corporation	0	22	22
LHA Affiliate	0	32	32
Section 8 Moderate Rehab Units	291	181	472
Section 8 Housing Choice Voucher	590*	1,050	1,640
Market Rent	10	0	10
TOTAL	1,113	1,722	2,835

\*changing to 560 in 2013

Source: Lewiston Housing Authority, Auburn Housing Authority

Table 31: Auburn and Lewiston Housing Units\* by Bedroom, 2013

		0		
	Auburn	Auburn % of Total	Lewiston	Lewiston % of Total
Efficiencies/0BR	35	6.7%	119	17.7%
1BR	368	70.4%	328	48.8%
2BR	41	7.8%	97	14.4%
3BR	45	8.6%	95	14.1%
4BR	29	5.5%	31	4.6%
5BR	5	1.0%	2	0.3%
Total	523		672	

<sup>\*</sup>Public Housing Units, Section 8 Moderate Rehab, Housing Authority Affiliates and Market Rent Source: Lewiston Housing Authority, Auburn Housing Authority

Table 32: Waiting Lists by Bedroom Size, 2013

		Auburn		Lewiston
		% of		% of
	Auburn	Total	Lewiston	Total
TOTAL	680		409	
OBR	0	0.0%	108	26.4%
1BR	251	36.9%	126	30.8%
2BR	292	42.9%	95	23.2%
3BR	91	13.4%	41	10.0%
4BR	38	5.6%	27	6.6%
5+BR	8	1.2%	12	2.9%

Source: Lewiston Housing Authority, Auburn Housing Authority

Table 33: Auburn and Lewiston Housing Units\* by Type, 2013

	Auburn Housing Authority	Auburn % of Total	Lewiston Housing Authority	Lewiston % of Total
Family	118	22.6%	275	40.9%
Elderly/Disabled	405	77.4%	391	58.2%
SRO	0	0.0%	6	0.9%
Total	523		672	

<sup>\*</sup>Public Housing Units, Section 8 Moderate Rehab, Housing Authority Affiliates and Market Rent Source: Lewiston Housing Authority, Auburn Housing Authority

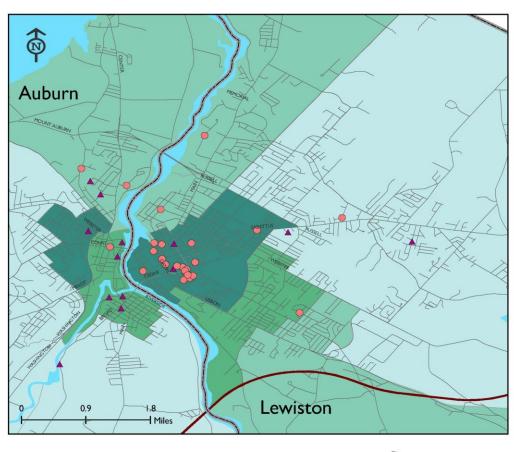
Table 34: Lewiston Housing Authority Head of Household by Race, 2013

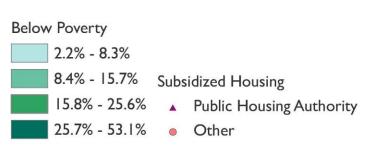
	Lewiston Housing Authority*	Auburn Housing Authority**
White	367	481
Black	48	40
Asian	N/A	2
N/A	9	N/A

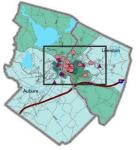
\*Public Housing Units

\*\* Public Housings Units, Moderate Rehab, Market Rate Source: Lewiston Housing Authority, Auburn Housing Authority

# Subsidized Housing & Percent Below Poverty Auburn/Lewiston Demographics







Prepared by PDI, 6/3/2013 Source: ACS 2007-2011

#### **LGBTQ**

The Maine Human Rights Commission includes lesbian, gay, bisexual or transsexual people its list of groups protected against discrimination. There is no information in the Census about the numbers of lesbian, gay, bisexual, or transsexual people in the area.

#### **Public Assistance**

The Maine Human Rights Commission includes recipients of public assistance in its list of groups protected against discrimination. In addition to federal programs such as Section 8 vouchers and TANF, the municipalities of Lewiston and Auburn provide emergency help known as General Assistance. This program helps lower-income residents with basic needs such as food, rent, and medication. In February 2013, Lewiston General Assistance served 224 households, and Auburn served 45.

SNAP (the Supplemental Nutrition Assistance Program, formerly known as "food stamps") assists many people in Lewiston and Auburn. In Lewiston, 35.6% of the population received SNAP assistance in April 2013; in Auburn, 26% of the population.

Table 35: General Assistance Expenditures, February 2013 (month)

	Lewiston	Auburn
Households Served	224	45
Housing		
Expenditures	\$63,162	\$11,902
Total Expenditures	\$75,466	\$16,094

Source: Lewiston City Administrator's Office, Auburn City Manager's Office

Table 36: TANF and SNAP Cases, April 2013

	Lewiston	Auburn	Androscoggin County (minus Lewiston and Auburn)		
TANF Cases	735	274	301		
Children on TANF	1,489	475	483		
SNAP Cases	6,836	3,180	4,152		
SNAP Individuals Served	13,048	6,004	8,490		

Source: Office for Family Independence, Maine DHHS

## Sex Offenders

Although they are not a protected group, those who are on the registered sex offender list face significant hurdles in finding house. HUD regulations prohibit sex offenders from being placed in public housing.

Table 37: Registered Sex Offenders in Lewiston and Auburn, May 2013

	Number
Lewiston	165
Auburn	83
Total	248

Source: Maine Sex Offender Registration



## **INTERVIEW SUMMARY**

See Table 38 on the next page for a summary of the major points made by interviewees, organized by theme..



Table 38: Interview Summary

Group affected or topic	Housing Problems	Discrimination	Recommendations		
Race, Color, National Origin, New Americans	<ul> <li>Landlords slow to respond to maintenance (heat), generally unresponsive</li> <li>Two year wait for Section 8 vouchers</li> <li>Lack of information on how Section 8 vouchers work (portability, etc.)</li> <li>Lack of information on how leases work (leading to evictions), and cultures that are taught to "never close their" doors on someone in need</li> <li>Poor quality housing</li> <li>Lead paint</li> <li>A non-profit inspects apartments before families move in – most apartments fail. The non-profit negotiates with the owner, who is unwilling to fix. Family moves in, then moves out (breaking the lease) when the apartment hasn't been fixed – leading to a bad reference</li> <li>Landlords blame new tenants for pre-existing bedbugs</li> <li>Lead paint poisoning of children under 5</li> <li>Residents evicted when they try to address apartment problems with landlord</li> </ul>	<ul> <li>Landlords unwilling to rent to large families</li> <li>Landlords charge excessive amounts for repairs – or wait until tenant moves out, then keep the deposit. Families afraid of losing housing if they don't pay.</li> <li>Tenants flee apartments with roaches and bedbugs, forfeiting their security deposit</li> <li>Larger landlords like renting to new immigrants, most of the issues happen with smaller landlords</li> <li>Difficulty communicating, even with clear cases of discrimination</li> <li>Landlords exploit language barriers</li> </ul>	<ul> <li>Tenant education (especially around utilities)</li> <li>Increased code enforcement</li> <li>Cultural sensitivity training for landlords, judges, code officers</li> <li>Keep tearing down old buildings</li> </ul>		
Disability	<ul> <li>Lack of security deposit/first month rent funds</li> <li>Poor credit/rental history</li> <li>Housing needs to be on bus line</li> <li>Not enough money in moderate rehab to help with handicapped housing</li> <li>Hard to bring old mill buildings up to code – and very little rental housing built in the last 20 years</li> </ul>	<ul> <li>Generally looked down upon by landlords</li> <li>A non-profit sends about five calls a year to MHRC – mostly when landlords won't allow accessible equipment to be installed</li> </ul>	<ul> <li>Case management</li> <li>Supportive housing</li> <li>Tenant and landlord forums</li> <li>More subsidized units</li> <li>More vouchers</li> </ul>		

Interview Summary

		, , , , , , , , , , , , , , , , , , ,
	<ul> <li>Housing, but no services – so many don't succeed in housing</li> <li>Tenant blacklists among landlords – if evicted once, likely to not get housing again for several years</li> <li>Seniors in subsidized housing share buildings with youth with disabilities – groups don't mix well, seniors feel overwhelmed/frightened</li> </ul>	
Public Assistance	<ul> <li>Lack of funds for security deposit/first &amp; last month rent (which landlords often require when renting to this population) (Auburn and Lewiston have security deposit programs for renters with vouchers, but not the rest of the community)</li> <li>Landlords abandon buildings, don't return security deposits, let oil run out</li> <li>Don't know how to be a good tenant</li> <li>Hard to find safe rental properties that fit within General Assistance maximums</li> <li>Poor housing stock</li> <li>Families afraid to complain because they're afraid of losing housing</li> <li>Younger tenants (18-24) – Live in substandard units where landlords have lost their Section 8 status</li> <li>Younger tenants (18-24) – Tenants live in "Pooling" situations, where they live in motels and pool resources like food stamps and TANF benefits (this has gotten worse in the past several years)</li> </ul>	<ul> <li>Better communication with VA homeless program</li> <li>Landlord and tenant awareness and education</li> <li>Support programs like YouthBuild (teaches young adults how to get construction jobs)</li> <li>Security deposit/first month rent programs</li> </ul>

### Interview Summary

Landlords	<ul> <li>Housing expenses are increasing, but unable to raise rents to a level that helps them meet expenses (local jobs don't pay high enough wages)</li> <li>Big Expenses: water/sewer fees, trash collection, and pest control (bed bugs)</li> </ul>	Some landlords don't like     Somalis – not because of their     race, but because they "get     many government benefits,     taking it away from our own     citizens"	<ul> <li>City should increase inspections</li> <li>Watch out for people cheating the public assistance system</li> <li>Problems have been the same for 30 years – Lewiston struggles more than Auburn, but it's 10% of the tenants that are the problem – most are good, hardworking families</li> </ul>
Sex Offenders	<ul> <li>Nobody wants to rent to a sex offender</li> <li>People getting out of jail/prison – can only afford to live downtown, can't get away from the lifestyle they're trying to change</li> </ul>		<ul><li>More vouchers</li><li>Apartment inspections</li></ul>
Homeless	<ul> <li>Homeless vets and disabled can't find housing – lack references, assistance</li> <li>Homeless need a location when applying for assistance</li> <li>No homeless shelters in Auburn</li> </ul>		
City Policies	<ul> <li>Transient populations seem to be encouraged</li> <li>Transportation problem – plenty of affordable units on outer Lisbon or Sabbatus, but bus doesn't go out here</li> <li>Seems like service providers want to keep tenants downtown</li> <li>Cities looking for people with higher incomes to live in downtown areas</li> </ul>		Neighborhood Housing League is working on a citywide Rental Registry (Lewiston) that will require all landlords to register their property with the city, and will through ordinance require units to meet minimum housing standards. Will encourage GA, the housing authority, and other agencies to only pay for registered units that have met standards

## DATA ON DISCRIMINATION

There are two sources of data on housing discrimination in Lewiston and Auburn. The first is from complaints that are filed with the Maine Human Rights Commission or HUD. The second is from "testing" that takes place under the auspices of Pine Tree Legal Assistance.

#### Maine Human Rights Commission

The most common basis for fair housing complaints from tenants in Lewiston and Auburn is disability. Some of the reason for this is administrative: the Disability Rights Center and other legal services provide representation to this group not always available to other groups.

Some of these cases have to do with misunderstandings about the role of service animals. In one case, a landlord charged the tenant a fee for a service animal as if it were a pet. The landlord was not aware that under Maine law, it is illegal to charge extra fees for service animals.

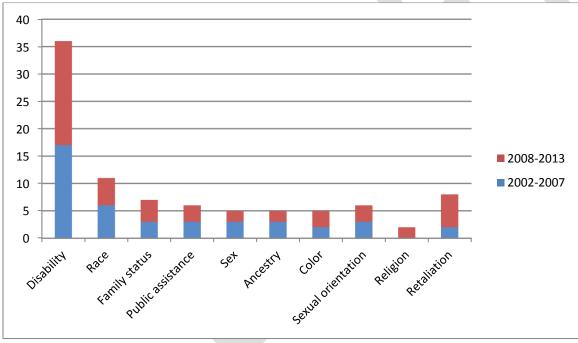


Figure 3: Housing Discrimination Complaints, Lewiston-Auburn, 2002-2013

Source: Maine Human Rights Commission

Others may have to do with making access improvements to the units, or dealing with mental illnesses. In general, landlords are not well informed about their responsibilities (and rights) under the law.

Table 39: Maine Human Rights Commission Lewiston-Auburn
Disability Housing Complaints, 2002-2013 (based on residence of complainant)

Nature of disability	# of complaints
Alzheimers	2
Cancer	1
Depression	3
Diabetes	1
Guide Dog, Support Animal	7
Handicap, Not ADA	3
Hearing Impairment	2
Heart, Cardiovascular	3
Manic Depression, Bi-polar	1
Nonparalytic Orthopedic Impairment	2
Orthopedic Structural Back Impairment	7
Other Anxiety Disorder	5
Other Disability	12
Other Psychiatric Disorders	4
Other Pulmonary, Respiratory	3
Post-Traumatic Stress Disorder	1
Relationship, Association	1
Vision Impairment	1

Source: Maine Human Rights Commission

It is not always easy to tell from the data in what city the complaint arose. The Maine Human Rights Commission has records of the landlord's address (which may be out of town) and the complainant's address (who may have moved to another city since the incident). In about half of the cases, both addresses were in Lewiston or Auburn.

Table 40: Geography of Complaints and Responses, 2002-2013

	2002-2007	2008-2013
Only complainant's address in L-A	6	8
Only respondent's address in L-A	8	11
Both complainant's and respondent's address in LA	13	21
Total Cases	29	38

Source: Maine Human Rights Commission

Complaints are resolved in a variety of ways in front of the Maine Human Rights Commission. Sometimes the complainant doesn't follow up; sometimes the Commission finds no merit in the complaint; sometimes the differences are mediated. Cases are categorized as a "merit closure" when either the Commission finds for the complainant, or the complainant settles with the landlord and receives some benefits. In both situations, the original complaint is assumed to have "merit." In Lewiston-Auburn fair housing cases, about half of the complaints result in

merit closures. Statewide, in all cases (many of which are employment-related), only a third result in merit closures.

Table 41: Merit Closures among Lewiston and Auburn Housing Cases, 2002-2013 (by city of origin of complainant)

	Merit closures	Total cases	% merit closures
Color	1	8	13%
Disab: Alzheimers	2	2	100%
Disab: Cancer	1	1	100%
Disab: Depression	2	2	100%
Disab: Diabetes	1	3	33%
Disab: Guide Dog, Support Animal	2	5	40%
Disab: Handicap, Not ADA	2	3	67%
Disab: Hearing Impairment	1	1	100%
Disab: Heart, Cardiovascular	3	3	100%
Disab: Manic Depression, Bi-polar	0	1	0%
Disab: Nonparalytic Orthopedic Impairment	0	1	0%
Disab: Orthopedic Structural Back Impairment	4	7	57%
Disab: Other Anxiety Disorder	4	5	80%
Disab: Other Disability	5	11	45%
Disab: Other Psychiatric Disorders	1	3	33%
Disab: Other Pulmonary, Respiratory	1	2	50%
Disab: Post-Traumatic Stress Disorder	1	1	100%
Disab: Relationship, Association	0	1	0%
Disab: Vision Impairment	1	2	50%
Familial Status	6	10	60%
Natl Origin Arab, Afghani, Mid-Eastern	0	3	0%
Natl Origin Other	0	5	0%
Other	0	1	0%
Race Black	0	7	0%
Race Other (old code)	0	1	0%
Race White	1	5	20%
Religion: Other	1	1	100%
Retaliation	4	9	44%
Sex Female	0	5	0%
Sex Male	0	1	0%
Sexual Orientation	3	3	100%
Source of Income	4	6	67%
Total Allegations	51	119	43%

Source: Maine Human Rights Commission

The highest number of merit closures was for familial status cases. A typical family status case is described by a Maine Human Rights Commission staff as follows:

One of the familial status discrimination cases involved a couple who were told, soon after the Respondent found out that the woman was pregnant, that "It would be best for you to find another place to live," because "We don't feel comfortable with children in our apartments." The couple did not move out and, a few months later after the baby was born, Respondent raised the rent by \$10 per week and sent a note saying, "It would be best for you to find another place. Shared living is not a good situation with children involved..." Here again, a voluntary, confidential agreement was reached to resolve the complaint.

#### Pine Tree Legal Assistance

Pine Tree Legal Assistance conducts fair housing "tests" of the Lewiston and Auburn markets under a grant from HUD. A "test" consists of a white individual or household member following up on an ad for an apartment, as well as an individual or household member from a protected class of citizens. If the two inquirers are treated differently, there could be discrimination involved.

This year Pine Tree has performed fourteen tests in Lewiston. Ten tests were testing for racial discrimination. Two tested for disability discrimination. The remainder were tests for discrimination against families. In two of the tests, the results have not returned.

As a result of the Lewiston tests, Pine Tree lawyers have four complaints with the Maine Human Rights Commission. In one test there appeared to be racial discrimination. In the other test an individual with a service animal was treated poorly and in this same test there appeared to be evidence of race-based steering (directing whites and minorities to different geographic areas). The other two complaints were filed because the applications given to testers indirectly asked questions about family status and sexual orientation in violation of the Maine Human Rights Act, but not the Federal Fair Housing Act.

None of Pine Tree's testers of color in the Lewiston area are "New Mainers/Americans." The difficulty of recruiting testers from this community limits the ability to find discrimination.

Based on testing results in Portland, the Pine Tree lawyer believes that there is probably discrimination against people with foreign accents. For that matter, there is also discrimination against people with speech-related disabilities. Training for landlords (and all people) to learn how to speak with people with speech disabilities is an area of need. Training for how to deal with service animals, and other disability-related issues, is also desirable for the landlord community.

## **SUMMARY OF IMPEDIMENTS**

**Lack of Investment**: The combination of relatively modest rents, relatively old rental stock, and a relatively high vacancy rate, leads to a situation where landlords do not invest in maintaining their properties, deterioration occurs, and fires happen. In addition, it is expensive for landlords to bring older buildings up to code, especially for tenants with disabilities.

**Lead Paint & Pest Control**: Older housing is more likely to have lead paint, and the Lewiston target area has three times the state average of children with lead poisoning. Over 50% of lead poisoning in this area occurs among immigrant children, and 90% occurs in rental housing. New Americans might not know about U.S. pest-control methods, or the need to inspect donated mattresses and furniture for bedbugs.

**Landlord/Tenant Communications:** New Americans in this area may not be aware of tenant rights, or that a landlord is responsible for fixing housing problems - which decreases communication between the tenant and landlord.

**Bedroom Size:** The relatively low number of 7+ person households reported by the Census is a warning sign that Census counters are not fully capturing the New American community. But there are not enough 5+ bedroom units to meet even this understated demand.

**Abandoned Buildings/Fire Hazards**: A relatively large percent (7.6%) of housing in Lewiston's target area falls into the "other vacant" US Census category, which means that many units might be boarded-up.

**Lack of Sharia-Compliant Home Financing:** The 2010 incidence rate of mortgages among blacks is lower than other groups. Part of the reason for this may be that many black New Americans are from Somalia, and are Muslim, and local mortgage originators may not have loan products to meet their needs.

**High Rates of Disability:** Lewiston's disability rate is higher than Auburn's and the remainder of the county. The largest discrepancy between Lewiston and comparison areas is in youth disability; 15.1% of the population between 5 and 17 has a disability, and of these 85% have a cognitive disability. The most common basis for fair housing complaints from tenants in Lewiston and Auburn is disability. In general, landlords are not well informed about their responsibilities (and rights) under the law.

## **RECOMMENDATIONS**

Lewiston and Auburn face different types of obstacles to fair housing:

- Informational: Many landlords and renters don't understand their rights and responsibilities. This is especially true for New Mainers (who might be unaware of lease terms, or maintenance and overcrowding concerns) and tenants with disabilities (many landlords and renters don't understand what is considered a reasonable accommodation or modification).
- 2. Language & Culture: Landlords and New Mainer tenants may not be able to understand each other due to language and cultural differences.
- 3. **Physical:** Much of the apartment stock in in-town Lewiston and Auburn is old, and lacks accessibility for people with disabilities, enough bedrooms for new Americans, and essential safety and fire code compliance.
- 4. Financial: Local banks don't have mortgage products that meet Sharia standards for the local Muslim community, which discourages New Mainer families from buying homes in the two cities (which would also decrease the need for high-occupancy apartments). Several New Mainer families have approached the cities about home financing, but have not found a suitable solution.

Therefore, the cities of Lewiston and Auburn need to pursue a variety of strategies to overcome these barriers:

Issues & Impediments	Strategies	Year 1	Year 2	Year 3	Year 4	Year 5	Partners
Information	1. Landlord workshops: Educate	1. Landlord	1. Landlord	1. Landlord	1. Landlord	Evaluate	Cities of Auburn and
Language and Culture	landlords about rights and	workshop on	workshop on	workshop	workshop	progress,	Lewiston:
(New Mainers): Conflicts	responsibilities. Focus on	disability rights	cultural and	on general	on issues	prepare for	Community
arise between tenant and	established organizations. Include	and policies.	language issues	issues.	relating to	next AI.	Development
landlord due to language	public safety officers, who respond		relating to New		younger		Departments,
& cultural barriers, tenant	to landlord or tenant complaints. Do		Mainers.		tenants.		Housing Authorities,
lack of knowledge of rights	event evaluations.						public safety officers,
and responsibilities.							elementary schools,
	2. Tenant Workshops: Educate	2. Tenant	2. Tenant	2. <b>Tenant</b>	2. Tenant		public transit.
Reasonable	tenants about rights and	workshop	workshop	workshop	workshop		
<b>Accommodation (Tenants</b>	responsibilities. Focus outreach to	targeted toward	_	on general	targeted		Community Groups:
with disabilities): Small	tenants of recently trained	tenants with		issues.	toward		Community
landlords unaware,	landlords. Target specific groups:	disabilities on	cultural and		younger		Concepts, Ethnic-
confused by reasonable	New Mainers, young tenants,	disability rights	language issues,		tenants.		Based Community
accommodations or	tenants with disabilities. Include	and policies.	rights and				Organizations,
modifications. Tenants	public safety officers. Do event		responsibilities.				Neighborhood
also don't understand.	evaluations.						Housing League, and
		2.14			o = :		other social agencies.
Rights and	3. School Outreach: Mayors of both	· ·		3. Fair	3. Fair		
Responsibilities (Young	cities declare April "Fair Housing	cities declare April	Month event at a	_	Housing		Workshops:
Tenants, 18-24): Increase	Month." City staff or partners visit	"Fair Housing	local elementary		Month		Collaborative
in young renters living in	one elementary schools in each city		school in each	event at a	event at a		partnerships could
substandard housing,	(each year) to provide activities that		city.	local	local		include Maine
pooling resources, not	promote fair housing. Do event	event at a local		elementary	elementary		Housing, Healthy
knowing rights or	evaluations.	elementary school		school in	school in		Androscoggin.
responsibilities.	<b>4. Posters:</b> Create poster with basic	in each city.		each city.	each city.		Landlord Workshops:
	information on tenant housing	4. Create <b>Fair</b>					Landlord
Other Issues: Tenants not	rights & a complaint hotline (use	Housing posters					associations, County
caring for apartment unit,	local languages). Distribute to	for distribution.					realtor conventions
landlords neglecting unit,	appropriate locations.	וטו עואנווטענוטוו.					realtor conventions
not collecting rent.	appropriate locations.						

#### Recommendations

Issues & Impediments	Strategies	Year 1	Year 2	Year 3	Year 4	Year 5	Partners
Home Financing	1. Identify obstacles to Sharia-	Convene	Outreach to New			Evaluate	Cities of Auburn and
Sharia Lending: Lack of	lending financing products by	homeownership	Mainer			progress,	Lewiston:
Sharia-lending financing	convening a group of local private	lending group,	community with			prepare for	Community
products in Lewiston and	and non-profit lenders,	identify obstacles	information			next Al	Development
Auburn have prevented	representatives from the New	and solutions.	about Sharia-				Departments, Local
New Mainers from	Mainer community, and other		friendly				Housing Authorities
purchasing homes, which	experts.		homeownership				
could also help ease the			loans.				State Legislators
burden on the need for	2. Inform New Mainers about						
large rental units. Families	homeownership opportunities.						Lenders/Experts:
may qualify for							Coastal Enterprises,
homeownership							HUD, National Sharia-
opportunities, but lending							lending organizations,
laws require interest on							Community Concepts
home loans.							
							Community: Ethnic-
							Based Community
							Organizations,
							potential
							homeowners
							Education:
							Bates College

## APPENDIX A: INTERVIEWS & PUBLIC PROCESS

#### Interviewed

Somali Bantu Youth Organization of Maine

**Downtown Neighborhood Action Committee** 

**Seniors Plus** 

Neighborhood Housing League

**Auburn Police Department** 

**Department of Corrections** 

United Somali Women of Maine

City of Lewiston - Social Services

Catholic Charities – Refugees and Immigration Services

**Tedford Housing** 

Maine Veterans Services – Lewiston

**New Beginnings** 

Safe Voices

Lewiston/Auburn Landlord Association

Alpha One

DHHS - Lewiston Office

Pathways, Inc.

**Goodwill Industries** 

City of Auburn – General Assistance

Landlord

United Way of Androscoggin

Trinity Episcopal Church

City of Auburn General Assistance

Common Ties Mental Health Coalition

City of Lewiston - Planning

City of Auburn - Planning

**Auburn Housing Authority** 

**Lewiston Housing Authority** 

Pine Tree Legal Assistance

Maine Human Rights Commission

### Responded to Survey Questions

Maine People's Alliance

Neighborhood Housing League

## Report Analysis & Feedback

Reine Mynahan, Auburn Community Development Yvette Bouttenot, Auburn Community Development Jayne Jochem, Lewiston Economic & Community Development Lincoln Jeffers, Lewiston Economic & Community Development Jeanine Dubay, Lewiston Housing Authority Rick Porter, Auburn Housing Authority

## **Public Meetings**

Interviews with Stakeholders Lewiston City Council Auburn City Council





# City Council Workshop Information Sheet

**City of Auburn** 

{
Council Workshop Date: August 19, 2013 Item B  Author: Reine Mynahan, Community Development Director
Item(s) checked below represent the subject matter related to this workshop item.
□Comprehensive Plan □Work Plan □Budget □Ordinance/Charter □Other Business* □Council Goals**
**If Council Goals please specify type:
Subject: Conflict of Interest
Information: Councilor Leroy Walker has applied for and was awarded a \$10,000 Curb Appeal grant by the Curb Appeal Committee.
The Community Development Block Grant regulations state that no persons who exercise any function or responsibilities with respect to Community Development activities, or who are in a position to participate in a decisionmaking process, or gain inside information with regard to such activities, may obtain a financial interest or benefit from a Community Development activity. Persons covered by this rule include elected officials.
An exception may be granted by the U. S. Department of Housing and Urban Development when a case has satisfactorily met certain treshhold requirements. These include 1) disclosure of the nature of the conflict accompanied by an assurance that there has been public disclosure of the conflict; 2) an opinion of the community's attorney that the except sought would not violate State of local law.
Financial: \$10,000 grant award
Action Requested at this Meeting: Schedule a date for the disclosure
Previous Meetings and History: none

Attachments: Opinion of Brann & Iassction, attorney for the City, with respect to the conflict.

<sup>\*</sup>Agenda items are not limited to these categories.

## BRANN & ISAACSON

ATTORNEYS AND COUNSELORS AT LAW

GEORGE S. ISAACSON MARTIN I. EISENSTEIN MARTHA E. GREENE DAVID W. BERTONI PETER D. LOWE BENJAMIN W. LUND DANIEL C. STOCKFORD PETER J. BRANN KEVIN R. HALEY DANIEL A. NUZZI

MATTHEW P. SCHAEFER
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IRVING ISAACSON, Of Counsel

LOUIS J. BRANN 1948 PETER A. ISAACSON 1980

#### **MEMORANDUM**

To: Reine Mynahan, City of Auburn Community Development Director

From: Daniel C. Stockford, City Attorney

Re: Request for Opinion Relating to Curb Appeal Program Grant

Date: August 6, 2013

This memorandum is in response to your request for my opinion as to whether there would be a violation of state or local law if a property owned by a member of the Auburn City Council were to benefit from a grant under a City-administered Community Development Block Grant program.

#### **Background**

In January, 2013, the City of Auburn adopted a Curb Appeal Program ("Program") to encourage private investment and improve the visual quality of properties in target areas including the Downtown, New Auburn, and Union Street areas. The Curb Appeal Program is funded by the Community Development Block Grant program. The guidelines for the Program require that grants and loans under the Program must be approved by a 7-member Curb Appeal Committee. The Curb Appeal Committee includes 3 members of the Community Development Loan Committee, 2 Auburn residents with relevant experience in building design/architecture or real estate/development, the City Manager, and the Director of Economic Development. There are no members of the City Council on the Curb Appeal Committee.

In March, 2013, the City's Community Development Department issued a Request for Proposals ("RFP") for the Program, and the Committee received 21 proposals. Of the proposals that were received, I understand 12 proposals were approved for funding based on evaluation of scoring criteria identified in the RFP.

One of the proposals approved for funding was submitted by a current member of the Auburn City Council to assist in improving a property owned by the Council member. Federal regulations provide that an elected official of a municipality may not obtain a financial interest or benefit from a CDBG-assisted activity unless Department of Housing and Urban Development ("HUD") authorizes an exception to its conflict of interest rules. 24 CFR §570.611(b). One of the threshold requirements for HUD to consider an exception to its conflict of interest rules is an opinion of the City's attorney "that the interest for which the exception is sought would not violate State or local law." 24 CFR §570.611(d)(1)(ii).



August 6, 2013 Page 2

#### **Analysis of State and Local Law**

State law does not prohibit a City Council member from benefiting from a grant under the Curb Appeal Program. Maine law provides that the vote of a municipal body is voidable when any official in an official position votes on any question in which that official has a direct or an indirect pecuniary interest, unless the official makes full disclosure of the interest before any action is taken, and the official abstains from voting, from the negotiation or award of a contract, and from otherwise attempting to influence a decision in which the official has an interest. 30-A M.R.S. §2605. In this case, the City Council has no role in approving applications for grants under the Curb Appeal Program. Instead, the responsibility for review and approval of grant applications is vested exclusively in the Curb Appeal Committee. There are no members of the City Council on the Curb Appeal Committee. Because the City Council has no authority to approve grants under the Program, Maine law does not prohibit a member of the City Council from applying for and receiving a grant under the Program.

Local ordinance also would not prohibit a member of the City Council from receiving a grant under the Program. Section 10.5 of the Auburn City Charter provides that the City Council shall maintain a comprehensive conflicts of interest or ethics ordinance, and the City has enacted an ethics and conflict of interest ordinance at Chapter 2, Article II, Division 2 of the City of Auburn Code of Ordinances. That ordinance provides that "[a] public official shall refrain from participation in a matter when there exists an actual, potential or reasonably perceived conflict of interest arising from a personal relationship or financial involvement that would cause a reasonable person to believe that the public official cannot act in his official capacity without self-interest or bias." Auburn Code of Ordinances at Section 2-112.

In this case, the City Council has no role in considering or approving grants for the Curb Appeal Program, and thus a member of the City Council would have no opportunity to act in an official capacity with respect to approval of such a grant. Therefore, there would be no violation of local law or ordinance if a member of the City Council were to receive a grant under the Program.

Maine law contains a general provision that "[e]very municipal and county official shall attempt to avoid the appearance of a conflict of interest by disclosure or by abstention." 30-A M.R.S. §2605(6). To avoid the appearance of a conflict of interest, the City Council member should publicly disclose his interest in the property that will benefit from the grant. I understand that such a disclosure also is a requirement for HUD to consider granting an exception to its conflict of interest rules.

For all of these reasons, it is my opinion that there would be no violation of state or local law if property owned by a member of the Auburn City Council benefits from a grant under the City's Curb Appeal Program.



# **City Council**

Workshop Information Sheet	City of Auburn
Council Workshop Date: August 19, 2013 Item C  Author: Clint Deschene, City Manager	
Item(s) checked below represent the subject matter related to this workshop item.	
□Comprehensive Plan □Work Plan □Budget □Ordinance/Charter □Other Busin	ess*   Council Goals**
**If Council Goals please specify type:	gagement
Subject: Community Conversations	
Information: The goal of Citizen Engagement is a priority of the Council. Early mo or an expansion of a neighborhood meeting through the police department's model or all excellent concepts and provided the basis of what has evolved.  The current process is a strong evolution to being a citizen driven model with Sylvester (see attached resume) is bringing experience in developing citizen input. He community member input will set the stage for community based input. Her draft prother the process.  Ms. Sylvester is willing to facilitate 7 Community Conversations in 7 difference of October 15 <sup>th</sup> . The two topics are Community and Economic Development and limit the discussions to these topics for this round of Community Conversations only. They will last 2 hours each with a social period with light refreshments prior to conversations are going to be driven by conveners. The conveners will be tasked with people in their own networks and help identify others who would be interested in atterior in locating a meeting place and calling the meeting to order.  City employees would be available to answer questions and provide informating facilitator. They would also not be a key figure in the meeting's operation. Merely prosubject matter expertise if called upon.	staff support. Mary ler facilitation with oposal is a great outline for at locations/neighborhoods Education. Our goal is to to the conversations. The n extending invitations to ending. They would also be ion upon request by the
Financial:	
Action Requested at this Meeting: Discussion	

#### **Attachments**:

Draft Proposal dated 8/1/2013 Mary Sylvester Resume

**Previous Meetings and History:** 

<sup>\*</sup>Agenda items are not limited to these categories.

#### PROPOSAL: AUBURN COMMUNITY CONVERSATIONS

#### **Brief Description**

A series of facilitated conversations to engage diverse perspectives, to be held in many locations, and with a proactive approach to encourage people from throughout the Auburn community to attend.

#### **Purpose**

To create an agenda of shared priorities for the Auburn community, for follow-up action by local government and the multiple networks of active organizations and citizens.

To help Auburn become stronger and more cohesive with engaged and well-informed participation in trusted decision-making.

This social capital is a foundation for long-term consistent community and economic development and financial capital formation.

#### STEP ONE

Conduct an initial series of facilitated community conversations to be convened in a number of neighborhoods.

#### **Suggested Question to be Discussed:**

"What do we need to do to improve *your* Auburn?"

#### Length of each meeting:

30 minutes for socializing, 2 hours for the meeting

#### **Suggested Planning and Organizational Roles:**

#### Conveners:

One or more local residents and an elected official. The local residents should have credibility as leaders recognized by many people regardless of politics.

#### Role of the conveners:

To actively extend invitations to people in their own networks and help identify others who can join in the promotion through their networks. To help find a location. To call the meeting to order.

#### Facilitator:

An experienced facilitator who is understood as neutral on community issues.

#### Role of the facilitator:

To ensure a productive conversation on each topic, establishing and sustaining simple clear ground rules for respect for all voices, responsible time management, and civility.

#### Government officials and city employees

City employees with subject expertise will be available to answer questions and provide information upon request by the facilitator. It will also be a time for them to listen.

#### Ways to invite and encourage participation:

Identify community networks and network leaders to ask to get the word out

#### **Ideas for Launching the First Series of Community Conversations**

Hold seven (one per neighborhood) before October 15 Two Topics: Community & Economic Development; and Education

#### <u>Hours</u>:

6-6:30 social period with light refreshments (donated) 6:30 – 8:30 facilitated conversation

#### Facilitator:

Mary Sylvester (pro bono)

#### Possible Locations

Focus on neighborhoods in seven locations

Elementary schools
Boys & Girls Club
Hilton
Public Library
Danville Grange
West Auburn Congregational Church
East Auburn Baptist Church

Possible Conveners

Michael Molloy

Tammie Willoughby Jim Lawler at the Y

Marty Eisenstein

Hillary Dow

Kathy Leonard Scott Knapp Dick Trafton

Others????

#### MARY SYLVESTER

#### **EXPERTISE**

- Strategic Planning and Creative Problem-Solving
  - o Design processes to generate momentum for achievement.
  - o Facilitate productive discussions and decision-making sessions.
- Community Partnerships and Networks
  - o Strengthen shared civic leadership roles.
  - o Provide supportive leadership coaching.
- Strategic Project Fundraising
  - o Create strategies and actions steps for success.
  - o Develop high-impact Case for Support.

#### PROFESSIONAL EXPERIENCE

I have a track record of achieving successful results in a variety of environments, especially in organizations challenged by rapid change. I am experienced in working effectively with projects that reach bold goals and build stronger communities.

#### **University of Southern Maine**

• Special Assistant for Community Intern Development, 2013- part-time.

#### **University of Maine at Farmington**

• Special Assistant to the President for Community and Economic Development, 2010-13. part-time.

Co-facilitated the Franklin County Economic Development Network, a network of networks. Strengthened University-community partnerships with Greater Franklin Development Corporation, the Farmington Downtown Association, and collaborative initiatives to promote the region's economy.

• Director of University Advancement, 2000-2010

#### **Emery Community Arts Center**

Supported and guided the fulfillment of a \$5 million gift to build an innovative community-university arts center throughout complex planning processes.

Directed several successful capital campaigns.

#### Also:

#### **STRATEGIC PLANNING CONSULTANT**

In 2010, I founded my own business, *Sylvester Strategies*, to serve community-based clients with strategic planning, consensus-building facilitation, and fundraising services.

For more information: www.sylvesterstrategies.com.

#### **Recent Projects include:**

- Friends in Action, Ellsworth, Maine
- Merrill Memorial Library, Yarmouth, Maine
- The Community Schools at Opportunity Farm and Camden, Maine.
- Rippleffect; Portland, Maine.
- Maine Sea Coast Mission; Bar Harbor, Maine.
- Kingfield POPS; Kingfield, Maine.
- Ellsworth Public Library, Ellsworth, Maine.

#### PREVIOUS EXPERIENCE

#### North Museum of Natural History and Science, Lancaster PA

• Project Manager and Executive Director

Orchestrated strategies for a signature city revitalization project. Completed complex negotiations for significant private sector investments.

#### The Lancaster Campaign (for economic development), Lancaster PA

Campaign Director

Directed volunteer-led City revitalization project with business and community leaders.

#### Lancaster County Library / Library System of Lancaster County, Lancaster, PA,

• Executive Director & Administrator

Led Future Search county-wide comprehensive strategic planning process.

Directed major capital campaign.

#### Rice University, Jones Graduate School of Business, Houston, TX.

• Created new Business Information Center for faculty, students, and local business.

#### Harvard Business School, Baker Library, Boston, MA.

• Provided expert business and economic research assistance to faculty, students, and international agencies and corporations.

#### **CONTINUING EDUCATION**

- *Innovation Engineering Leadership Institute*. Freeport, Maine. Three-day workshop led by Doug Hall of Eureka Ranch and UMaine's Foster Student Innovation Center.
- *Gestalt International Study Center*. Wellfleet, MA. Intensive education in organizational development and change management.
- Leadership Maine. Maine Development Foundation. Omicron class, 2008.
- Integral Leadership: The Power of the Integral View, Provocative Perspectives on Leadership and Service. Minneapolis, MN. Professional development in adaptive change models, complex systems theory, and leadership with Carol Wishcamper, Richard Hallstein, et al.
- Harvard Business School Executive Education: Change Management. Boston, MA. Seminar with Rosabeth Moss Kanter.
- *Future Search*. Training with co-founders Marvin Weisbord and Sandra Janoff of the Wharton School, University of Pennsylvania.

## **EDUCATION**

M.S. Simmons College, Information Science, 1980.

B.A. Boston University, 1972.

August 2013

## City of Auburn, Maine

## Office of the City Manager

TO: Mayor and City Council

FROM: Clinton Deschene, City Manager

RE: TENTATIVE Community Conversation Schedule

**DATE:** August 15, 2013

#### SEPTEMBER 2013 (Week 1)

> 16 City Council Workshop and Meeting

➤ 17 Edward Little High School, 77 Harris Street, Auburn (Classroom)

#### SEPTEMBER 2013 (Week 2)

- > 24 Park Ave Elementary, 161 Park Avenue, Auburn (Classroom)
- ➤ 25 East Auburn Community, 15 Andrew Drive, Auburn (Classroom)

#### OCTOBER 2013 (Week 3)

- ➤ 01 Danville Grange, 15 Grange Street, Danville
- > 02 Sherwood Heights Elementary, 32 Sherwood Drive, Auburn (Classroom)

#### OCTOBER 2013 (Week 4)

- > 07 City Council Workshop and Meeting
- ➤ 08 Auburn Public Library, 49 Spring Street, Auburn (Community Room)

#### OCTOBER 2013 (Week 5)

➤ 15 Washburn Elementary, 35 Lake Auburn Ave., Auburn (Classroom)

All meetings will begin at approximately 6:00pm and will end at approximately 8:30pm. Meetings will be citizen-driven and Staff and/or Council will/can attend to answer questions.



## **City Council Workshop Information Sheet**

City of Auburn

, }						
		rkshop Date: nillip L. Crowel	August 19, 2013	ee	Item	D
Item(s) checked	below represent	the subject matter	related to this workshop	item.		
☐ Comprehens	sive Plan 🔲 W	ork Plan 🗵 Bu	ıdget ⊠Ordinance/0	Charter [	Other Busin	ess*   Council Goals**
**If Council Go	oals please specify	type:	y	elopment	□Citizen En	gagement
Subject: Park	king in city-ow	ned lots and M	echanics Row parki	ng garage		
52 – Article 5 follows:  • Month increa  • Permi "polic"  • Addi area. with t	hly fee for per- ase from \$35 p it parking fee i ce department tional languag Any permit w their designate c).	tanding and Pa mit parking in out er month to \$4. s payable in ad and would include thich has not be	rking Division I Gentricity owned parking In the second parking In the second change when the second change is a subject to the second change is a subject to the subject t	nerally Selots and Me from "at vill be rest of each n	c. 52-181. Parallechanics Ro the office of tricted to parallechant	es to the existing Chapter arking in city-owned lots as ow parking garage would the city treasurer" to k within their designated mitted vehicles not parked as set forth in section 52-
Financial: Pr	ropose an incre	ease in parking	permit fee from \$35	5 per mon	th to \$45 per	month.
_		_		•		dinance Chapter 52 – g in city-owned lots.
Previous Mee	etings and Hi	story: n/a				

#### **Attachments**:

• Current City of Auburn Ordinance Chapter 52 – Article 5 – Stopping, Standing and Parking Division I Generally Sec. 52-181. Parking in city-owned lots.

<sup>\*</sup>Agenda items are not limited to these categories.

# Chapter 52 Article V Stopping, Standing and Parking Division I Generally Sec. 52-181. Parking in city-owned lots.

(a)

All or portions of the following parking lots are designated as permit parking areas:

(1)

Great Falls Plaza parking lot.

(2)

Miller Street parking lot.

(3)

Main Street parking lot.

(4)

Phoenix Block parking lot.

(5)

Mechanics Row parking lot.

(6)

Court Street parking lot.

(7)

Mechanics Row parking garage.

(8)

Troy Street parking lot.

(b)

The monthly fee for permit parking shall be in the amount provided in the city fee schedule payable in advance at the office of the city treasurer police department. All monthly permits must be paid by the tenth of each month. Permit holders will be restricted to park within their designated area. Any permit which has not been paid by the tenth of each month or permitted vehicles not parked within their designated area, the owner will be subject to enforcement provisions set forth in section 52-181 (c).

(c)

No car shall be parked in an area restricted to permit parking between the hours of 7:00 am and 6:00 p.m. Monday through Friday, except pursuant to a valid permit which shall be affixed to the front window of the vehicle in question. Any car parked in violation of this section may be towed pursuant to section 52-204 and its owner shall be subject to the enforcement provisions set forth in section 52-203. The fact that a car is parked in a permit parking section without a valid permit displayed shall be prima facie evidence that the car in question has been illegally parked. The person in whose name the car is registered shall be responsible for any such illegally parked vehicle.

(d)

Nothing in this section shall be construed as prohibiting the city from restricting parking in permit parking areas during special events or from providing free temporary parking in permit areas.

(e)

Any person parking his vehicle in a space limited to two- or four-hour parking in any city-owned parking lot shall not thereafter park the vehicle in any other parking space in that parking lot during the ensuing 12-hour period other than in a space as to which parking is limited to one hour or less.

(f)

No person shall park a vehicle in any city-owned lot between the hours of 12:01 a.m. and 6:00 a.m. from November 15 to April 15, except in spaces designated as winter relief parking areas.

(g)

No person shall park a vehicle which is not identified with a handicapped registration plate in a parking space in a city-owned lot which is limited to handicapped parking, nor shall any vehicle be parked in a handicapped parking space longer than the time limit applicable to that space.

(Code 1967, § 26-4.18; Ord. of 7-12-2004(1), § 4.18)

**State law reference**— Municipal authority to layout and alter parking places, 23 M.R.S.A. § 2802; parking on public ways, 29-A M.R.S.A. § 2068; enforcement of disability parking restrictions, 29-A M.R.S.A. § 521(9-A).



# **City Council Agenda Information Sheet**

**City of Auburn** 

Council I	Meeting Date:	August 19, 2013	Order	66-08192013*
Author:	<b>hor</b> : Phillip L. Crowell, Jr., Chief of Police			

Item(s) checked below represent the subject matter related to this workshop item. Comprehensive Plan Work Plan **■Budget ■Ordinance/Charter ⊠Other Business\*** Council Goals\*\* \*\*If Council Goals please specify type: **⊠**Safety Economic Development Citizen Engagement **Subject**: Confirm Chief Crowell's appointments of Katherine D. Avery, Joseph M. Correia and Joseph T. Miville as Constables with a firearm for the Auburn Police Department. **Information**: The Auburn Police Department requests City Council appointments of Katherine D. Avery, Joseph M. Correia, and Joseph T. Miville as Constables with a firearm for the City of Auburn. Financial: n/a Action Requested at this Meeting: Motion to confirm Chief Crowell's appointments of Katherine D. Avery, Joseph M. Correia and Joseph T. Miville as Constables with a firearm for the Auburn Police Department. Previous Meetings and History: n/a

#### **Attachments:**

- Memo from the Chief
- Order 66-08192013

<sup>\*</sup>Agenda items are not limited to these categories.



# Auburn Police Department



Phillip L. Crowell **Chief of Police** 

Jason D. Moen **Deputy Chief** 

To: Honorable Mayor Jonathan Labonte and Members of the City Council

Memorandum

From: Phillip L. Crowell, Jr., Chief of Police

Rita P. Beaudry **Executive Assistant**Date: August 1, 2013

**Re:** CONSTABLES

We request that the following named persons be named Constables for the Auburn Police Department:

Katherine D. Avery with Firearm New Hire Police Officer

Joseph M. Correia with Firearm New Hire Police Officer

Joseph T. Miville with Firearm New Hire Police Officer

Tizz Crowley, Ward One Robert Hayes, Ward Two Mary Lafontaine, Ward Three David Young, Ward Four



Leroy Walker, Ward Five Belinda Gerry, At Large Joshua Shea, At Large

#### IN CITY COUNCIL

ORDER 66-08192013

ORDERED, that Katherine D. Avery, Joseph M. Correia and Joseph T. Miville be named Constables with a firearm for the Auburn Police Department.



# City Council Agenda Information Sheet

**City of Auburn** 

Council Meeting Date: August 19, 2013 Order 67-08192013\*

Author: Clinton Deschene

Item(s) checked below represent the subject matter related to this workshop item.					
□Comprehensive Plan □Work Plan □Budget □Ordinance/Charter □Other Business* □Council Goals**					
**If Council Goals please specify type:					
Subject: Approving the list of equipment to be auctioned off					
<b>Information</b> : The City in the operation of services routinely disposes of equipment that is outdated or has been replaced. On many occasions equipment is traded in or scrapped. Last winter with the hire of purchasing agent Derek Boulanger, the departments began a process to inventory and provide lists to him for sale. He has recommended an auction.					
Attached is a list of items to be auctioned. It is my recommendation to the Council this be approved. The overall approach of an auction is the most equitable to allow the most possible opportunities for prospective buyers. Individual sales could produce a higher sale however that is offset by staff time and the higher risk of some items not selling. Overall, I feel an auction is the best manner to dispose of this equipment and generate revenues to return to City funds.					
Financial: Revenue to the City.					
Action Requested at this Meeting: Recommend Council approval					
Previous Meetings and History: N/A					

#### **Attachments**:

List of equipment to be auctioned off Revised proposal Order 67-08192013

<sup>\*</sup>Agenda items are not limited to these categories.

#### City of Auburn Surplus Vehicles and Equipment Auction List as of August 9, 2013

#### **Public Works:**

#52 1991 John Deere Dozer- Auction

#40 1998 GMC Sign Truck- Auction

#44 1991 John Deere Loader- Keep need for operations

**#70** 1986 Blanchette Snow Blower- Auction

#22 1987 Ford Dump Truck- Auction

#84 2001 International Recycle Truck- Auction

#65 1985 Le Roi Compressor- Auction

#23 Old #23 White/GMC Tractor- Auction

**#75** Old hot box out back- Auction

#61 1995 Mobark Wood Chipper- Auction

Trailers? Various trailers that are no longer compatible, need too much work or not used- Auction

Pressure Washers 2 Old portable pressure washers- Auction

Buckets? Spare buckets to loaders or backhoes that haven't been used for decades- Auction

Tail Gates? Various sander tailgates to dump bodies.-Auction

**Dump Body** Various dump bodies at pit and beside cold storage (as long as they are not utilized)-Auction **Sidewalk pavement** roller we no longer use in cold storage-Auction

**N.O.S.** parts in the parts room for equipment that we no longer have. We can palletize it or put any parts that go with, say #44, along with it at the auction.- Auction

**Cobble Stone** In the pit (only if the auctioneer can find a most appropriate buyer)- Auction

#### **Parks and Rec:**

**Zamboni ice resurfacer with spare engine** – Functioned when stored.

**Toro zero turn riding mower with snow blower attachment** – Functioned when stored.

**Husqvarna zero turn riding mower** – 60" cutting deck – Does not function.

- (3) John Deere walk behind mowers Do not function.
- (5) Toro residential snow blowers Do not function.
- (2) White's residential snow blowers Do not function.

#### Police:

2000 Ford Crown Victoria 1988 Ford F-350 Ambulance 1999 Cadillac Escalade

Plastic formed seats from the cruisers we traded.

Approximately 40 gun holsters that have never been used and are still packaged.

#### Fire:

#### Engine 2

1999 American Lafrance

111263 Miles

11327 engine hours

Fair condition

1500 GPM pump

Class "A" and "B" foam systems

500 HP engine

1000 gallon Poly water tank has small hole right rear corner.

#### Rescue 2

1994 medium duty rescue

108189 Miles

10380 Engine hours

Fair condition

Cat 3208 engine

#### **Engine 6**

1995 International Central States ( 4 door)

47914 miles

3838 engine hours

Fair condition

1250 GPM pump

Class "A" foam system (Foam Pro)

20' 6 suction Hose

2- Night Fighter lights on body

Whelen warning lights

3- SCBA seats

1000 gallon Poly Tank



1 RUNWAY ROAD SO. PORTLAND, MAINE 04106 (207) 885-5100 / Fax 885-5877 www.KeenanAuction.com

Email: info@keenanauction.com

ME R.E. LIC.#2175 ME AUCT. LIC.#236

August 15, 2013

REVISED

(Via email: <a href="mailto:dboulanger@auburnmaine.gov">dboulanger@auburnmaine.gov</a>)

Derek Boulanger Facilities Manager/Purchasing City of Auburn Auburn Hall, 60 Court Street Auburn, Maine 04210

Re: Auction Proposal ~ Consignment Auction, Surplus Trucks, Construction & Support Equipment, City of Auburn and Others.

Dear Mr. Boulanger,

The Keenan Auction Company is pleased to present the following proposal in connection with the above referenced assets. We have developed the following auction plan, that once enough consignments have been committed, will allow for the proper amount of exposure to the marketplace, thus insuring a complete sell through on auction day. Our plan is as follows:

#### DATES, LOCATION, TYPE OF SALE:

Auction Date: Thursday, October 24, 2013 at 9AM Location: Auburn-Lewiston Municipal Airport.

**Type of Sale:** Consignment Auction. All equipment will be sold without minimums or reserves. Additional consignments from other sellers will be accepted.

**Equipment Check-in Dates:** Daily starting Monday, October 14<sup>th</sup> through Tuesday October 22<sup>nd</sup> from 8AM-5PM,

#### **AUCTIONEER'S COMMISSION:**

**Tiered Commission Rate:** Per bid price of each Item Sold:

\$1 - \$500 = 20% \$501- \$1,000 = 15% \$1,001 - Up = 10%

#### **AUCTION EXPENSES: (To Be Paid By Auctioneer)**

Marketing Budget: \$5,800. (Schedule enclosed). No Charge To Seller.

**Equipment Check-In:** \$5,730. No Charge to Seller. Auctioneer will begin accepting Consignments on Monday, October 14<sup>th</sup> from 8AM -5PM daily and will continue accepting consignments through Tuesday, October 22<sup>nd</sup> at 5PM. We will provide a mobile auction office trailer (photo attached) for the central administrative office through the entire auction process. When we receive an item at the auction site we will assign an consignor/inventory number to the item and take a photo of the larger items for marketing purposes. Estimated Set-up Man-hours: 78 x \$55/hr = \$4,290. Mobile Office Trailer 12-days x \$120/day = \$1,440.

**Auction Set-Up:** \$5,610. No Charge to seller. Once the equipment is checked-in to the auction site, it is placed in a particular sale order. Like kind items are grouped in certain areas, & the vehicles & equipment are lined up in rows. Auctioneer will tag & catalog the assets by wiring a Lot Number Ticket, in numerical order, on each item in the sale. The lot #, year & mileage is also written on the windshield of each motor vehicle. This inventory is then used to produce the auction day catalog. Estimated Set-up Time: 102 Man-hours x \$55/hr.

**Security Supervision:** \$6,700. Auctioneer will maintain a 32ft. travel trailer at the auction site for staff lodging. A Keenan employee will remain at the site 24/7 through the day of sale. This person will provide limited security. Estimated Security Time: 100 man-hours x \$55 = \$5,500. Travel Trailer: 12-days x \$100/day =\$1,200.

**Removal:** \$4,380 No Charge to seller. Removal supervision will be on the day of sale & on October 25<sup>th</sup> from 8AM-3PM. Auctioneer will also provide a Clark IT40 outdoor forklift to assist with the equipment check-in & removal. Estimated Removal Time: 36 man-hours x \$55 = \$1,980. Forklift 12 days x \$200/day = \$2,400

Total Estimated Auction Expenses: \$28,220 (To be paid by Auctioneer)

#### **Auction Proposal** Page 2

Buyer's Premium Equipment: A 10% buyer's premium will be added to all final bid prices. This premium will be paid by the buyer at time of settlement and retained by Auctioneer.

#### **Auctioneer's Duties:**

Inspect & Photograph Assets - A Keenan employee will physically inspect, identify, and verify model & serial numbers of machinery and equipment for documentation, advertising, and sales purposes.

Newspaper, Direct Mail & Internet - A marketing campaign, which utilizes newspapers, Internet and direct-mail media is designed to target buyers and equipment dealers. Equipment Set-Up - Keenan personnel will arrange equipment in a strategic selling order to help maximize sales prices. Once the selling order has been determined, each item is tagged and cataloged.

**Auction Terms and Conditions** - Auctioneer will prepare the terms and conditions for the auction. Auction Day Logistics - Keenan will make the necessary arrangements for restrooms, food caterer, and hauling and transport services.

Auction Registration - All bidders will be required to register and sign a written binding contract agreeing to the Terms and Conditions of Sale. All names and addresses of registered bidders will be kept on file in our computer database. Keenan personnel will handle registration.

Auction Day Equipment Catalogs - Catalog to include auction Terms and Conditions of Sale and a numeric listing of the equipment to be sold. This catalog will be given to all registered bidders on receipt of bidder's contract.

Conduct Auction - A team of licensed equipment auctioneers (all Keenan employees) will work to sell all of the assets through the completion of the sale.

Auction Day Billing - All equipment billing will be computer generated from our portable Sold II auction system. Office staff will consist of our accounting department, who will register bidders, enter auction lots into the computer, prepare computer generated bills, and cashier the auction.

Sales Tax - The Keenan Auction Company will collect and pay the State of Maine sales tax for our client. Equipment Auction Settlement - A final computerized accounting along with the net proceeds and expense documentation will be provided to client (10) ten business days from the date of the auction.

#### Sellers and or their Attorney's Duties:

Provide list of assets to be sold in auction.

Provide Clear title to sell assets free and clear of liens and encumbrances.

Sign and return copy of auction agreement to auctioneer

Provide power, water, and access to property for set-up, day of sale, and during removal.

Provide titles to any vehicles 1995 & newer and titles to trailers 1995 & newer weighing over 3,000# lbs.

A \$35 document fee will be assessed for each item requiring State of Maine Motor Vehicle paperwork.

Remove any unsold items.

Thank you for the opportunity to present our proposal. copy for our files.	If it is satisfactory, kindly acknowledge below and return
Sincerely,  Hew Kanan	
Steven P. Keenan, CAI, GPPA Enc.  ACKNOW PROPOSAL SEEN AND AGREED TO:	LEDGEMENT
By: Print: Its:	Date:

	ADVERTISING SCHEDULE			
NEWSPRINT	INSERTIONS	STIMATED		
Maine Sunday Telegram				
Coverage: Maine, Statewide	Sunday, September 29, 2013	163.00		
Circulation: 115,300	Sunday, October 06, 2013	163.00		
Ad Size: 2col x 5.5"	Sunday, October 13, 2013	163.00		
	Sunday, October 20, 2013	163.00		
Bangor Daily News				
Coverage: Northern & Central Maine	Saturday, September 28, 2013	160.00		
Circulation: 73,000	Saturday, October 05, 2013	160.00		
Ad Size: 2col x 5.5"				
Lewiston Sun Journal				
Coverage: Androscoggin & Oxford County	Saturday, September 28, 2013	168.00		
Circulation: 35,000	Saturday, October 05, 2013	168.00		
Ad Size: 2col x 5"	Saturday, October 12, 2013	168.00		
Ad 0120. 2001 X 0	Saturday, October 19, 2013	168.00		
	Saturday, Solobor 13, 2013	100.00		
Upcoming Auctions Calendar	Weekly insertion until sale.	100.00		
Calendar alternating between The Bangor Dail				
TRADE PUBLICATION	46			
Uncle Henry's	2-Insertions, ¼-page, October 10 <sup>th</sup> & 17th	220.00		
Construction Equipment Guide	1-Insertion, ¼-page, September Issue	461.00		
DIRECT MAIL				
	lor, 70lb high gloss paper, Photography, layout,	2,400.00		
	stage & handling. This brochure will be mailed to sawmill,	2,400.00		
	our in-house list, and purchased mailing list. (4,000pc. +/-)			
woodworking equipment buyers & dealers on c	our in-nouse list, and purchased mailing list. (4,000pc. +/-)			
INTERNET WEBSITE ADVERTISING		200.00		
	he auction brochure to the website at www.keenanauction.co	om.		
	s for Maine auctions and averages over 20,000 hits monthly.			
	ver 11,000 prospective bidders on our in-house list.			
CIONAGE	·			
SIGNAGE		050.00		
(1) 4 X 4 professional chloroplast sign w/vinyl gra	apnics. Includes installation and removal.	350.00		
AUCTION DAY CATALOG				
Auction day catalog provides registered bidders with a				
copy of the Auction Terms & Conditions and a numerical				
listing and sale order of items to be sold. 425 at \$1.00 each.				
TOTAL ESTIMATED ADVERTISING EXPENS		\$ 5,800.00		
TO THE ESTIMATED ADVENTISING EXPENS		Ψ 3,000.00		

Please Note: The advertising deadlines for the various media begins September 24<sup>th</sup>. Auctioneer will need confirmation of proposal by September 10<sup>th</sup> in order prepare and implement the marketing campaign.

#### **Auction Time Line**

Thursday, August 15<sup>th</sup> – Provide Revised Proposal to Derek Boulanger.

Monday, August 19<sup>th</sup> – Seek Council approval of auction proposal. If approval is not obtained at the August 19<sup>th</sup> meeting, then we would need have approval of the auction proposal by September 10<sup>th</sup> in order to meet the advertising deadlines and the auction date of October 24<sup>th</sup>.

Thursday, August 22<sup>nd</sup> – Receive signed auction proposal from city. Check for motor vehicle titles, apply for any lost titles.

Friday, August 23<sup>rd</sup> – Create auction website and enable live.

Monday, August 26<sup>th</sup> – Inspect and photograph equipment. Upload info to website.

Tuesday, September 11<sup>th</sup> – Write newsprint ads, begin layout of auction brochure.

Monday, September 16<sup>th</sup> - Place trade publication ad

Tuesday, September 24<sup>th</sup> – Place newsprint advertising, Brochure to printer.

Wednesday, September 25<sup>th</sup> – Trade ad published & mailed.

Thursday, September 26<sup>th</sup> - Proof brochure. Install sign at auction site

Saturday, September 28<sup>th</sup> – First newsprint ads run.

Wednesday, October 2<sup>nd</sup> – Final Proof brochure.

Friday, October 4<sup>th</sup> – Mail Brochure.

Saturday, October 5<sup>th</sup> – Second weekend of newsprint ads run

Friday, October 11<sup>th</sup> – Transport auction trailer, travel trailer, supply trailer and forklift to auction site.

Saturday, October 12<sup>th</sup> – Third weekend of newsprint ads run

Monday, October 14<sup>th</sup> – Begin accepting consignments at auction site. Overnight coverage begins. Daily previews begin from 8AM-5PM.

Saturday, October 19<sup>th</sup> – Last weekend of newsprint ads run

Tuesday, October 22<sup>nd</sup> – Last day to consign items. Begin Tagging & Cataloging items. All motor vehicle titles should be received by this day.

Wednesday, October 23<sup>rd</sup> – Finalize tagging. Print auction day catalog & publish to website. Review all motor vehicle paperwork. Caterer setup and ready for sale. Check portable toilets.

Thursday, October 24<sup>th</sup> – 7AM Preview & Registration Begins, Auction Starts at 9AM. Removal day of sale until 5PM.

Friday, October 25<sup>th</sup> – Auctioneer will supervise and assist with removal from 8AM–3PM. All items are to be removed by 3PM.

Thursday, November 7<sup>th</sup> – Provide Auction Summary Report to seller with check for net proceeds.



**Mobile Auction Office** 



Staff Trailer



Clark IT40 Forklift

Mayor LaBonte called the meeting to order at 7:00 P.M. in the Council Chambers of Auburn Hall and led the assembly in the salute to the flag. Councilor Hayes and Councilor Young both had excused absences. All other Councilors were present.

#### I. Consent Items\*

#### 1. Order 59-08052013\*

Casting votes for the Maine Municipal Association's Vice President and Executive Committee Members.

Motion was made by Councilor LaFontaine and seconded by Councilor Gerry. Passage 5-0.

#### II. Minutes

July 1, 2013 Regular Council Meeting

Motion was made by Councilor LaFontaine and seconded by Councilor Walker. Passage 5-0.

 July 15, 2013 Regular Council Meeting
 Motion was made by Councilor Walker and seconded by Councilor LaFontaine. Passage 5-0.

#### III. Reports

Mayor's Report - reported

City Committee Reports – Councilor Crowley submitted written reports. She also wanted to make a clarification on her Water District report.

Councilor Reports- Councilor Crowley submitted a written report and also reported on a few additional items. Councilor Walker reported.

City Manager's Report – City Manager Deschene submitted a written report and also reported on a few additional items.

## IV. Communications, Presentations and Recognitions

Proclamation - Ken Blais, owner of Rolly's Diner and the City of Auburn's 2013
 Spirit of America Tribute recipient.

#### V. Open Session

No one from the public spoke.

#### VI. Unfinished Business

#### 1. Ordinance 07-06032013

Approving the proposed ordinance amendment on alcoholic beverages at Mass Gatherings (second and final reading).

Motion was made by Councilor LaFontaine and seconded by Councilor Gerry.

Public comment – no one from the public spoke.

Motion failed 3-2 (Councilor Crowley and Gerry opposed). This item requires at least 4 affirmative votes.

#### VII. New Business

#### 1. Order 60-08052013

Adopting the School Budget for Fiscal Year 2014.

Motion was made by Councilor LaFontaine and seconded by Councilor Crowley.

Public comment - Joe Gray, Sopers Mill Road.

Passage 4-1 (Councilor Gerry opposed).

#### 2. Order 61-08052013

Setting the date (August 20, 2013) and location (Auburn Hall) and the time the polls will open (7:00 A.M.) for the School Budget Validation Referendum Election.

Motion was made by Councilor Crowley and seconded by Councilor Gerry. Passage 5-0.

#### 3. Order 62-08052013

Establishing the property tax payment due dates and to establish the property tax delinquency and overpayment interest rates for Fiscal Year 2012-2013.

Motion was made by Councilor Crowley and seconded by Councilor Walker.

Public comment – Jamie Thibodeau, Beech Hill Road and Joe Gray, Sopers Mill Road.

Passage 4-1 (Councilor Gerry opposed).

#### 2. Order 63-08052013

Establishing a Public Services Department including Public Works, Parks and Recreation, and Engineering within current approved budgets.

Motion was made by Councilor LaFontaine and seconded by Councilor Crowley.

Motion was made by Councilor LaFontaine and seconded by Councilor Crowley to amend by adding language that the Manager will report back to the Council within 90 days a recommendation which shows the reporting structure of the Recreation supervisor.

Passage of the amendment, 5-0.

Public comment – no one from the public spoke.

Motion was made by Councilor Gerry to table this item, no second and the motion failed.

Passage of the order as amended, 4-1 (Councilor Gerry opposed).

#### 3. Order 64-08052013

Approving changes to the Community Development Program amendment.

Motion was made by Councilor LaFontaine and seconded by Councilor Gerry to postpone this item. Motion failed 3-2 (Councilors Walker and Shea opposed). After discussion on the item a motion was made by Councilor LaFontaine and seconded by Councilor Gerry to postpone until 8/19/2013. Passage 5-0.

# VIII. Open Session - no one from the public spoke

#### IX. Executive Session

• Discussion on a Poverty Abatement, pursuant to 36 M.R.S.A. Sec. 841 (2) with possible action to follow.

Motion was made by Councilor LaFontaine and seconded by Councilor Walker to enter into executive session. Passage 4-1 (Councilor Crowley abstained), 8:28 P.M.

The Mayor declared Council out of Executive Session at 8:37 P.M.

Motion was made by Councilor LaFontaine and seconded by Councilor Crowley to deny the abatement. Passage 5-0.

#### XI. Adjournment

Motion was made by Councilor LaFontaine and seconded by Councilor Crowley to adjourn. Passage 5-0. 8:38 P.M.

A True Copy.

ATTEST Linear Clements-Dallaire City Clerk

Mayor LaBonte called the meeting to order at 7:04 P.M. in the Council Chambers of Auburn Hall and led the assembly in the salute to the flag and followed by a moment of silence in honor of the former Chief of Police, Richard Small who recently passed and the Boston Marathon victims. Councilor Hayes had an excused absence. All other Councilors were present.

#### I. Consent Items\*

#### 1. Order 22-04162013\*

Approving a temporary sign for Park Avenue School May Fair Event.

Motion was made by Councilor LaFontaine and seconded by Councilor Gerry. Passage 6-0.

#### II. Minutes

Motion was made by Councilor Crowley and seconded by Councilor Walker to approve minutes of the March 18, 2013 Council Meeting. Passage 6-0.

Motion was made by Councilor Crowley and seconded by Councilor Walker to approve minutes of the April 1, 2013 Council Meeting. Passage 6-0.

#### III. Reports

Mayor's Report – No report.

**City Councilors' Reports** – Councilor Crowley submitted a written report.

City Manager's Report – City Manager Deschene submitted a written report and reported on a few additional items.

Finance Director, Jill Eastman – March 2013 Monthly Finance Report

Motion was made by Councilor Crowley and seconded by Councilor Gerry. Passage 6-0.

#### IV. Communications, Presentations and Recognitions

#### V. Open Session

• Larry Pelletier, New Auburn, commented that the City departments (Public Works and the Parks & Recreation Department) were working hard cleaning up the city by street cleaning, replacing benches and all sorts of things. He commented that the reverse side of that was after those efforts are done, someone may come along behind them and litters or damages city property, or marks city property with graffiti, and he asked if we can put some teeth in the ordinances we currently have or what can be done to curb those types of actions. He also asked if we will have the river clean up we had last year, and also a

community clean up day

which took place a few years ago, and is this something we could do on an annual basis to raise awareness in the community that we want the city to be clean?

• Andy Titus, 17 Lamplighter Circle, Auburn spoke about the budget and the fund balance contributions going into FY13-14 of 1.3 million to help lower taxes and said he also heard that emergency funds or the fund balance would be used to help with the shortfall. He said he also understood that the new ice arena would include an infusion of a million or so dollars from the fund balance and he does not see how this is adding up with these large numbers. He said he was not sure when the new school will be proposed to council, and he is in favor of new school but doesn't know what will have to be paid in taxes to achieve it. He asked what is necessary to get our accreditation - what do we need to do? Is it a \$10 million dollar wing that would accomplish that which could be added on at a later date as opposed to \$60 million for new school needed all at once.

#### VI. Unfinished Business

#### 1. Ordinance 02-04012013

Approving the ordinance to reincorporate four ordinances that were omitted during the re-codification of the City's Code of Ordinances adopted on February 22, 2011 (second and final reading).

Motion was made by Councilor Shea and seconded by Councilor Walker.

Public Comment – No one from the public spoke. Passage 5-1 (Councilor Gerry opposed).

#### 2. Ordinance 03-04012013

Approving the ordinance correcting section numbers in ordinances adopted during the pendency of the re-codification of the City's Code of Ordinances adopted on February 22, 2011 (first reading).

Motion was made by Councilor Crowley and seconded by Councilor Walker.

Public Comment – No one from the public spoke. Passage 6-0.

#### 3. Order 21-04012013

Approving the Charitable Organization Event Support Policy.

Motion was made by Councilor LaFontaine and seconded by Councilor Crowley.

Motion was made by Councilor Shea and seconded by Councilor Crowley to postpone this item to a future date to discuss during a workshop. Passage to postpone, 4-2 (Councilors Gerry and Walker opposed).

#### VII. New Business

#### 1. Order 23-04162013

Authorizing the Finance Director to enter into a loan agreement through the State School Revolving Renovation Fund Loan (first reading).

Motion was made by Councilor LaFontaine and seconded by Councilor Walker.

Public comment – no one from the public spoke. Motion failed 4-2, (Councilors Gerry and Crowley opposed).

Motion was made by Councilor Gerry and seconded by Councilor Shea to reconsider. Passage 5-1, (Councilor LaFontaine opposed).

Motion was made by Councilor Gerry and seconded by Councilor Crowley to postpone this item until the next meeting. Passage 5-1, (Councilor Walker opposed).

#### 2. Ordinance 04-04162013

Approving the City Council and School Committee Compensation Ordinance (first reading).

Motion was made by Councilor LaFontaine and seconded by Councilor Gerry.

Motion was made by Councilor Gerry and seconded by Councilor LaFontaine to amend by striking line number 3 in section 2.25 under Salaries of the Mayor and Council and to re-number. Passage 6-0.

Public comment – Francois Bussiere, 9 Winter Street, Auburn and a School Committee member – does this ordinance changing also change the charter? He said he thought it would be "double dipping" and it should also be applicable to other Mayor appointments if applicable to this seat.

Passage as amended, 6-0.

#### 3. Order 24-04162013

Approving a temporary sign for Auburn Business Association "Citizen of the Year".

Motion was made by Councilor LaFontaine and seconded by Councilor Shea.

Public comment – Peter Murphy from the Auburn Business Association. He said they moved the location of this year's banquet because they needed a larger venue. He said they would be back at Lost Valley next year.

Passage 5-1, (Councilor Walker opposed).

#### 4. Order 25-04162013

Approving the Complete Streets Policy.

Motion was made by Councilor Shea and seconded by Councilor Gerry to adopt the Complete Streets Policy and direct the City Manager to initiate development of the appropriate supporting ordinances and designed guidelines for review and adoption no later than October 31, 2013.

Public Comment-Larry Pelletier regarding asked if there were still plans to narrow cedar Street to the Bernard Lown Peace Bridge.

Passage 6-0.

#### 5. Order 26-04162013

Appointing members to the Water District Board.

Motion was made by Councilor LaFontaine and seconded by Councilor Gerry. Public comment – no one from the public spoke. Passage 4-2 (Councilors Crowley and Walker were opposed).

#### VIII. Open Session

- Larry Pelletier, Second Street, Auburn in follow up on what he spoke about earlier, there will be some great improvements on the park at the old Edward Little High School and all the more reason to enforce any ordinances.
- Roland Miller, Economic Development Director introduced Allen Menoian, the new addition to the economic development staff.
- **IX. Executive Session** Discussion regarding a legal matter for negotiations on an economic development issue, pursuant to 1 M.R.S.A. §405 (6)(C).

Motion by Councilor LaFontaine seconded by Councilor Gerry to enter into executive session. Passage 6-0, 8:20 P.M.

The Mayor declared Council out of executive session at 8:40 P.M.

#### X. Future Agenda/Workshop Items

• Councilor Shea – staggered terms for Councilors

•	Councilor Crowley- during the joint meeting with Lewiston, would like to get some tasks
	done in particular economic development and merging the two city's

#### XI. Adjournment

## IN COUNCIL REGULAR MEETING APRIL 16, 2013 VOL. 33 PAGE 114

Motion was made by Councilor Walker and seconded by Councilor LaFontaine to adjourn. Passage 6-0, 8:45 P.M.

A True Copy.	
	ATTEST
	Susan Clements-Dallaire, City Clerk

#### Auburn Ward 1 Report for Meeting Monday 2013.08.19

Prepared: Wednesday 2013.08.14

**TO:** Residents of Auburn and the Auburn City

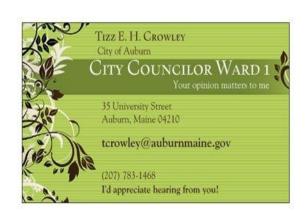
Council

**FROM:** Tizz E. H. Crowley- Auburn City Council Ward 1

# "Thank you"- to American Legion Post 153 Baseball Team for

the restoration work at Plains Cemetery- Kyle Karkos, Josh





Delong, Mike Hammond, Brandon Knapp, Evan Raymond, Nate Pushard, Brian Crane, Troy Crane, Billy Merrill, Mickey Lawrence, and Brandon Knowlton. Be sure to look at the upright stones as you travel Route 4.

Thank you to Auburn City Clerk-Susan Clements Dalliare, Auburn City Planner Eric Cousens, and Police Lt. Tim Cougle for planning the citizen input session on garage sales, peddlers' license and flea markets. All residents and business owners in attendance expressed

appreciation as well. This successful meeting is an excellent model for future plans to engage residents. The staff also commended attendees for adding valuable information for the proposed changes. In case residents missed the meeting- events like holiday fairs, fund-raiser yard sales on business properties, or "sales" in function rooms at Event centers are covered in these permit requirements. I expect we'll see changes to benefit non-profit groups.

Thank you **Al Manoian- Auburn's Economic Development Specialist** for a most enjoyable and educational tour of downtown Auburn. As I traveled about the State last weekend, I saw many examples of the information you shared- I could see the good, the bad, and the uninviting. I encourage all Auburn residents to join Al on his next tour, a fascinating historic walk on Wednesday, August 28<sup>th</sup> from 6-7pm. We meet at the Little Androscoggin River Bridge and visit the neighborhood.

I was honored to be part of the community to attend the dedication of Merrick's Way, a trail in the Lake Auburn Watershed. Merrick loved walking along the trails with his dog Mojo, and sledding on them in the winter with his brother and sister. You can access Merrick's Way from the Lake Auburn Community Center (LACC) Property or the Whitman Spring Road. Thank you to the Auburn Water District and LAWPC for completing the work on their trail which connects to Merrick's Way. The community is always welcome to enjoy the peaceful quietness of the trails. Free maps are available at LACC.



#### Dates to watch-

Tuesday, August 20<sup>th</sup>- School Budget Validation Referendum Election. The School Budget Validation Referendum Election will be held on Tuesday, August 20, 2013. Voting will be consolidated to one single

polling place for this election. Voting will occur at Auburn Hall, 60 Court Street in the Community Room on the second floor. Polls will be open from 7:00 A.M. until 8:00 P.M.

**Wednesday, August 21**<sup>st</sup>- the last summer concert for the **Auburn Community Band** in Festival Plaza will begin at 7pm. Come and enjoy the music, the location, and make plans to stop by a local restaurant for great food after the concert.

**Thursday, August 22<sup>nd</sup>-** please join me at **Rachel's Speakeasy** in Museum LA. Order your tickets early and save some money. Remember a password is needed to enter the evening of entertainment. Period dress is optional, but fun to do.

Monday, August 26<sup>th</sup> is Women's Equality Day which commemorates the 19th Amendment to the



Constitution, granting women the right to vote. In 1971, after much work, and at the urging of U.S. Representative Bella Abzug (D-NY), Congress designated August 26 each year as "Women's Equality Day." This day was selected to commemorate the passage of the 19th Amendment to the Constitution on August 26, 1920. This was the culmination of decades of effort by women suffragettes and other groups. Celebrate this day- celebrate the accomplishments made in gaining the right to vote, and towards equality for women... then let's get to work for equal pay. Recent Census Bureau data shows that full-time working women make 77 cents for every dollar men make per year. The Paycheck Fairness Act, which DeLauro has introduced in eight consecutive Congresses, would expand the Equal Pay Act to close certain

loopholes and allow employees to share salary information with their coworkers. It would also require employers to show that pay disparities between their male and female employees are related to job performance, not gender.

**Thursday, August 29**<sup>th</sup> – the **Last Mass** at St. Louis Church. The event is scheduled for Aug. 29, four months after the grand brick structure was closed due to structural concerns, particularly at its front entrance on Third Street. Details of the Mass and other events surrounding the church closure are not yet final so watch the newspaper for more details.

**Visiting Hours-** I must apologize for canceling my visiting hours on Tuesday, August 13<sup>th</sup>- I had a family medical emergency was detained at the hospital. I'm sorry for any inconvenience this caused residents who arrived at the library to see the notice.

The next visiting hours are scheduled at the Auburn Public Library on **Thursday, August 22**<sup>nd</sup> from 5-6pm and on **Tuesday, September 10**<sup>th</sup> from 2-4pm. I'm also happy to meet with any group of interested residents at other times.

**Information provided to residents this period:** As a Councilor I get calls and questions from Auburn residents. Helping to find the answers is one of my favorite parts of serving on the Council. Since our last meeting we've looked at several things including:

Last week I chatted with a resident enjoying the views of trains passing the Auburn Public Library. Conversation included **municipal vehicle parking.** In the last month or so, the City changed "no parking" signs to "reserved for AED" signs by the City's electrical building. This was a good thing – too bad staff ignores the assigned locations and continues to park in "no parking" area where it interferes with Library customers.







For over a year, I have been trying to improve customer service in the municipal parking garage. Over a month ago, the City Manager asked for a few more weeks- time has passed. The summer concert series ends in a week. These concerts bring 300-400 guests to downtown. Upon entering the parking garage, a visitor sees the easiest and prime parking spaces reserved for City staff. What kind of customer service message is this?

To make matters worse, the first 4 parking spaces on the left are reserved for the Auburn Electrical Division-yes, for the same vehicles with assigned spaces at the electrical building. Now the Electrical division only has 3 vehicles, two pictured above. The third is a bucket truck, garaged at the central fire station- as the bucket truck will not fit in the garage entrance. So I ask again- why do we reserve 4 prime parking spaces in the municipal garage instead of using the spaces for visitors and guests?

I've received several calls today about the **school department brochure** mailed to residents. I have not seen one yet, but hope to hear a report on the costs and payment of such campaign materials.

I got a nice note through Chief Crowell about RAD (rape/aggression/defense) training course. The Police department hopes to offer the session again in the near future. Watch for details.

Mayor LaBonte and Mayor Macdonald are working with volunteer group to promote **Breast Cancer Awareness Month in October.** Lewiston Councilor Christ and I are excited to participate in a full month of activities. We do need volunteers. Please contact me if you'd like meeting details or if your group/organization would like to host an event in October.

Summer is almost over, but there is still time to enjoy a bike ride in Ward 1. Before long, the leaves will change color and the views will be even more beautiful. I've attached directions for two rides near Lake Auburn. Found the materials in a great resource, <u>Explore Maine by Bike</u>, published by Maine DOT.

**In closing**, I hope you'll have a safe and wonderful Labor Day weekend.





CUE SHEET LEGEND
R RIGHT TURN
L LEFT TURN
S CONTINUE STRAIGHT
BR BEAR RIGHT
BL BEAR LEFT
QR QUICK RIGHT
QL QUICK LEFT

Starting Point: Lake Auburn boat landing on ME 4

At	Go	Onto	For	Travel Information	
		10-mile ride		Lake Auburn Loop	
0.0	R	ME 4	0.1		
0.1	QR	Turner St	1.1	Rough road, no shoulder	
1.2	R	Gracelawn Rd	0.8	Picnic area on left	
2.0	BR	Mt Auburn Ave	0.4	At stop sign. Rough road, no shoulder.	
2.4	BR	Summer St	0.7	At yield sign. Caution: Dangerous intersection. Rough road, no shoulder. Farm stand.	
3.1	BR	Summer St	0.3	At fork, stay on Summer St	
3.4	R	Spring Rd	0.4		
3.8	BL	West Auburn Rd	1.6		
5.4	BR	North Auburn Rd	1.4		
6.8	R	Lake Shore Dr	2.6	Cross small concrete bridge. Ice cream stand and picnic spot on this road.	
9.4	R	ME 4	0.9		
10.3		Lake Auburn boat landing		End of Ride	
		34-mile ride		Auburn Countryside Loop	
		Continues from mile 3.1 above			
3.1	BL	Youngs Corner Rd	0.3	Summer St goes right.	
3.4	S	Youngs Corner Rd	1.0	Caution: Dangerous intersection. Flashing red light. Go straight through intersection with Hotel Rd and continue on Youngs Corner Rd	
4.4	S	Jackson Hill Rd	1.8	Youngs Corner Rd changes to Jackson Hill Rd at intersection with Perkins Ridge Rd.	
6.2	R	Center Minot Hill Rd	2.6	At stop sign	
8.8	R	ME 119 (Woodman Hill Rd)	4.3	At stop sign. Caution: High-speed traffic, no shoulder. Bear left in West Minot staying on ME 119. Convenience store on left.	
13.1	BR	Station Rd	1.7	Rough road, no shoulder. Watch for turn in Hebron.	
14.8	BL	Station Rd	3.0		
17.8	L	ME 124 (Hebron Rd)	2.1	At stop sign	
19.9	L	South Hill Rd	0.1	At stop sign	
20.0	QR	ME 124 (Roundabout Rd)	1.0		
21.0	R	ME 117 (Turner St)	3.8	Campground on left	
24.8	R	ME 4	1.0	At flashing red light. Caution: Heavy traffic. Convenience store on lef	
25.8	R	County Rd	3.1		
28.9	S	Skillings Corner Rd	1.6		
30.5	L	Lake Shore Dr (unmarked)	2.6	Cross small concrete bridge. Ice cream stand and picnic spot on this road.	
33.1	R	ME 4	0.9		
34.0	R	Lake Auburn boat landing		End of Ride	

#### **Report about the School Committee**

By D C Young Aug 2013

#### **Robocall Guidelines**

It is appropriate to use Robocall WHEN

- The information is **TIME-SENSITIVE AND** involves **ANY** of the following
  - WEATHER Storms/Cancellations
  - SAFETY Lockdowns, Fire
  - HEALTH Flu Vaccinations, known high exposure risk to potentially severe diseases, outbreaks
  - EVENTS Events involving the Auburn School Department or individual schools

#### DO NOT use Robocall for

- Voting reminders
- Interactive Robocall Surveys

If the message is not covered on this list, for additional topics consult:

• School committee

(Note for David Colby Young: The use of robocalls for voting reminders was questioned once again a few weeks ago. I had the misunderstanding that the "Policy" had been changed. It turns out that the school committee does not have a "Policy" but there is "Guidelines" these guidelines have not been voted on that as far as I can see the school committee does not care to follow them nor feel it is important enough to change the rules so that they can be followed. At the last school meeting I tried to get this issue put on the agenda but it was blocked. Please note the school committee, during the June 5<sup>th</sup> meeting, chose to allow a Robo Call message about the Budget Referendum Vote to be sent to all parents of children in the school district. The committee decided that presenting an objective message, one that only indicated the date and time of the vote, would be an appropriate use of the system. The committee approved the message and directed Tom Kendall to be the spokesperson for the message. My point is that the "guidelines" should have been changed at that time. If we are just making up the rules as we go along we are governing in the wrong way.

If people who side up for robocalls can select what they want to be called about it has not been clear to them. The School Committee needs a "Policy" on robocalls without it they are acting outside the level of service they agreed to give. The school Dept's actions is spam to their subscribers. The good part to this is that it does not cost the school department to offer the additional service.

This is my school committee report. Aug 14, 2013 David Colby Young 786-2129



# **City Council Agenda Information Sheet**

**City of Auburn** 

**Council Meeting Date:** 

August 19, 2013

**Ordinance** 05-06032013

Author: Sue Clements-Dallaire, City Clerk						
Item(s) checked below represent the subject matter related to this workshop item.						
□Comprehensive Plan □Work Plan □Budget ☑Ordinance/Charter ☑Other Business* □Council Goals**						
**If Council Goals please specify type:						
Subject: Business Licensing Fee (Flea Market)						
<b>Information</b> : Our current business licensing fees were adopted by Council in May of 2011. Our Flea Market fees are out of line with Lewiston and other municipalities. It has been brought to our attention on a few different occasions from individuals wanting to obtain licenses however they have been discouraged from doing so because of our fees. Staff held a public input meeting on August 8, 2013 to talk about the Flea Market, Peddler, and Garage Sale Definitions, Ordinances, and Fees. Present were 3 staff members, two Councilors, and 5 residents. Staff will meet to talk about what was discussed and will work on making a few changes to the garage sale and possibly the flea market and peddler ordinances.						
Financial:						
Action Requested at this Meeting: Recommend passage of first reading.						
<b>Previous Meetings and History</b> : 5/20/2013 Workshop discussion, and was postponed at the 6/3/2013 Council Meeting, and postponed again on 6/17/2013 until the July 15, 2013 meeting, and was postponed again until the 8/19/2013 meeting. Staff also held a public input meeting on August 8, 2013 to talk about the Flea Market, Peddler, and Garage Sale Definitions, Ordinances, and Fees and making possible changes.						

#### **Attachments**:

Flea Market fee comparison Current and Proposed fees Ordinance 05-06032013

<sup>\*</sup>Agenda items are not limited to these categories.

# Flea Market Comparison

1 m	noite)	Fee
Municipality	Population	
Sanford	20,806	20,806 \$50.00/Year - No Additional Fee
Riddeford	20.942	20.942 \$200 up to 20 tables/Year- \$5 each additional table
S Portland	23.324	23.324 \$75.00/Year - No Additional Fee
	000	
pangor	110,00	
Portland	66,363	66,363 \$225/Year plus Individual Vendor Fee: \$20/year-transferable to other Flea Markets City wide
Lewiston	36,491	36,491 \$550/Year - No Additional ree
Coarcoort	2.615	2.615 No Fee/Recuires Site Plan Review at startup
Toda S		Acos Mana Catharlan - No Additional Fee
Lisbon	5005	שניים לאדמי (אומפס קמנונפוונים - אבי היים היים היים היים היים היים היים הי
Auburn	23,203	23,203 \$50 per table up to \$500 (3months)/\$2000 yearly

License Type	Current Fee Auburn	Proposed Fee
Flea Market	\$50 per table, up to \$500 per location / 3mo	\$10 per table up to \$200 per event (up to 7 days) or \$500 for a year

Tizz E. H. Crowley, Ward One Robert Hayes, Ward Two Mary Lafontaine, Ward Three David Young, Ward Four



Leroy Walker, Ward Five Belinda Gerry, At Large Joshua Shea, At Large

#### IN CITY COUNCIL

#### ORDINANCE 05-06032013

ORDERED, that the business licensing fee schedule in the Auburn Code of Ordinances be and hereby is amended as follows:

License Type	Current Fee Auburn	Newly Adopted Fee
Flea Market	\$50 per table, up to \$500 per location / 3mo	\$10 per table up to \$200 per event (up to 7 days) or \$500 for a year

Last night's meeting went very well. There were 6 members of the public. Three were from Park Ave, including the principal. They were attending because they had been made aware of pending changes by Councilor Crowley that may affect their annual fair. Their fair and other similar events have never been required to take out an application. The concern raised was that under the current definitions they should or could be required to take out an application. Eric Cousins mentioned that he felt the ordinance was never intended to regulate these type of sales although the definition did seem to include their activities.

After discussion, the recommendation was made to exempt already approved halls or gyms (Places like Lost Valley, schools etc..) from applying for a flea market permit. The thought is, the reason for the application process was to ensure that traffic and public safety was being considered and reviewed. If the events are being held in locations that have already been approved for the public then it should be adequate for a Flea Market type sale. Eric Cousins will be bringing forward some options regarding the language change.

It was also suggested that non profits companies be allowed to hold garage sales on their property. Currently many do hold these sales but are not permitted under current ordinance language.

No recommended changes for Itinerant Vendors, Peddlers and Door To Door sales were brought forward. Councilor Crowley did recommend more public education be made to the community regarding the requirements that are placed on peddlers and door to door salesman.

It was explained that there have been very little problems and no complaints over past couple of years but when there were issues in town, the police department had done a press release and made sure the public was aware of the circumstances regarding the particular company involved. The group recalled the department's efforts.



# City Council Agenda Information Sheet

**City of Auburn** 

**Council Meeting Date**:

August 19, 2013

**Ordinance** 06-06032013

Author: Sue Clements-Dallaire, City Clerk
Item(s) checked below represent the subject matter related to this workshop item.
□Comprehensive Plan □Work Plan □Budget □Ordinance/Charter □Other Business* □Council Goals**
**If Council Goals please specify type:
Subject: Business Licensing Fee (Peddler Fee)
<b>Information</b> : Our current business licensing fees were adopted by Council in May of 2011. Our Peddler fees are out of line with Lewiston and other municipalities. It has been brought to our attention on a few different occasions from individuals wanting to obtain licenses however they have been discouraged from doing so because of our fees. Staff held a public input meeting on August 8, 2013 to talk about the Flea Market, Peddler, and Garage Sale Definitions, Ordinances, and Fees. Present were 3 staff members, two Councilors, and 5 residents. Staff will meet to talk about what was discussed and will work on making a few changes to the garage sale and possibly the flea market and peddler ordinances. <b>Financial</b> :
Action Requested at this Meeting: Recommend passage (first reading).
<b>Previous Meetings and History</b> : 5/20/2013 Workshop discussion, and was postponed at the 6/3/2013 Council Meeting, and postponed again on 6/17/2013 until the July 15, 2013 meeting, and was postponed again until the 8/19/2013 meeting. Staff also held a public input meeting on August 8, 2013 to talk about the Flea Market, Peddler, and Garage Sale Definitions, Ordinances, and Fees and making possible changes.
Attachments:

Peddler fee comparison Current and Proposed fees Ordinance 06-06032013

<sup>\*</sup>Agenda items are not limited to these categories.

# Peddler's License

	3	566
Municipanty	ropulation	
Sanford	20,806	20,806 \$100.00/Year
Biddeford	20,942	20,942 \$50/Year "Vendors on Public Places"
S. Portland	23,324	23,324 <b>\$60/30</b> days or less \$85/More than 30 days but less than 90
Bangor	33,011	33,011 \$364/Year -Transient Sellers of Consumer Merchandise License
7	r u u	בה מבז לבא לחשיע חוויות pestivals or special events declared by City Council
roi dalla		or, or and the Norwalla Ctate of Ont of State of 37/Snecial Event License
Lewiston	6006	ŀ
Auburn	23,203	Event \$100/30 Days

License Type	Current Fee Auburn	Proposed Fee
Peddlers	\$ 75 Per event \$100 30 days	\$35 per event (up to 7 days)* \$75 3 months \$100 6 months \$150 1 year

#### Peddler \*Chapter 24, section 24-321 Special Event License

- a. A special event peddler's license can be issued to eligible applicants for a maximum of seven consecutive days, and will limit their sale area to be inside the designated mass gathering area, as per requirements of the mass gathering event organizers. Only one special event peddler's license shall be needed for booths or sales tables operated by the same vendor. Excluding food vendors with separate food preparation areas for multiple booths or tables. Individuals working for the primary vendor at the booth shall not be required to obtain a separate license. The primary vendor shall be subject to a criminal background investigation regarding their peddler application for this section.
- b. The license shall be displayed the entire time the vendor is operating the booths or sales tables.

Tizz E. H. Crowley, Ward One Robert Hayes, Ward Two Mary Lafontaine, Ward Three David Young, Ward Four



Leroy Walker, Ward Five Belinda Gerry, At Large Joshua Shea, At Large

### IN CITY COUNCIL

### ORDINANCE 06-06032013

ORDERED, that the business licensing fee schedule in the Auburn Code of Ordinances be and hereby is amended as follows:

License Type	Current Fee Auburn	Newly Adopted Fee
Peddlers	\$75 Per event \$100:30 days	\$35 per event (up to 7 days): \$ 75 3 months \$100 6 months \$150 1 year



### **City Council Agenda Information Sheet**

**City of Auburn** 

Council Workshop Date: August 19, 2013

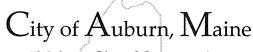
Order 64-08052013

Author: Reine Mynahan, Community Development Director
Item(s) checked below represent the subject matter related to this item.
□Comprehensive Plan □Work Plan □Budget □Ordinance/Charter □Other Business* □Council Goals**
**If Council Goals please specify type:
Subject: Community Development Program Amendment
Information: Re-allocation of Community Development Block Grant funds and HOME Investment Partnerships Program funds (see attached memo). Please note this amendment includes a budget adjustment from the City of Lewiston.
Financial: Community Development budget \$119,834.85  HOME Investment Partnerships Program Auburn budget \$115,000 and HOME Investment Partnerships Program Lewiston budget \$186,000
Action Requested at this Meeting: Discussion and direction for budget changes, and conduct public hearing
Previous Meetings and History: Meeting of August 5, 2013
Attachments: Amended Memo to City Manager

Community Develoment and HOME Budgets

Minutes of CAC Meeting

<sup>\*</sup>Agenda items are not limited to these categories.



"Maine's City of Opportunity"

### Community Development Program

TO: Clinton Deschene, City Manager

FROM: Reine Mynahan, Community Development Director,

RE: Community Development Program Amendments

DATE: August 8, 2013

Community Development staff recommends amending the 2013-14 Annual Action Plan of the Community Development Program. The amendment is schedule for a public hearing and adoption on August 19<sup>th</sup>.

The amendment involves funding re-allocations funds for both City of Auburn funds and City of Lewiston as follows:

City of Auburn	Community Development Block Grant funds	\$119,834.85
City of Auburn	HOME Investment Partnerships Program funds	115,000.00
City of Lewiston	HOME Investment Partnerships program funds	186,000.00

### 1) Community Development Block Grant/Auburn:

During the City's budget process the City Council requested a \$50,000 contribution of the Community Development Block Grant Program to cover code enforcement efforts. This activity had not previously been included in Community Development budget. As a result the budget that was adopted did not reflect the \$50,000 needed for code enforcement.

In preparing to close out the FY2012 grant year I reviewed the status of activities/budget and found there are a number of accounts with a surplus balance that can be used for other activities. These funds come primarily from unused grant funds that were allocated to Community Concepts and from Rehab Program income. I propose re-allocating the surplus as follows:

Code Enforcement	\$50,000.00
Fair Housing	2,000.00
New Auburn River Trail	67,834.85
Total	\$119,834.85

### 2) HOME Investment Partnerships Program/Auburn:

I propose re-allocating \$115,000 from the Homebuyer and Homeowner Rehabilitation Programs to a new activity, Acquisition and Rehabilitation. We would focus our search primarily in one of the target areas and look outside only if an appropriate building could not be found. The new activity would create a homeownership opportunity for an income qualified family. We will partner with Auburn Housing Authority's development company, Auburn Housing Development Corporation. The budget includes the cost of building acquisition, rehabilitation and carrying costs. The total cost will be in the range of \$115,000.

### 3) HOME Investment Partnerships Program/Lewiston:

The City of Lewiston has requested a re-allocation of \$186,000 to a new activity, rental housing. The funds will be placed in an account reserved for the development of rental housing. The new housing will replace a building that was demolished after the fire last spring. The funds would be taken from their Homebuyer and Homeowner Rehab Programs.

**Citizen's Advisory Committee Review:** The Citizen's Advisory Committee met on Wednesday, August 7<sup>th</sup> to discuss this amendment. The minutes of this meeting are attached.

### **FY2013 BUDGET**

COMMUNITY DEVELOPMENT BLOCK GRANT/AUBURN			INCREASE/	MEW DUD OFF
PLANNING AND ADMINISTRATION			DECREASE	NEW BUDGET
General Administration		£405.000		#40F 000
		\$105,000		\$105,000
Project Delivery Costs/Housing Goods and Services		\$69,000		\$69,000
Goods and Services	_ · - · · ==	\$28,200		\$28,200
COMORIO DEVEL ODRESIT	Sub Total	\$202,200		
ECONOMIC DEVELOPMENT		***		
Small Business Loan Program		\$30,000		\$30,000
	Sub Total	\$30,000		
AFFORDABLE HOUSING				
Code Enforcement Program		\$30,000	\$50,000	\$80,000
Rehabilitation Loan Program		\$193,995	,	\$193,995
Lead Testing/Clearance/Training		\$5,000		\$5,000
Community Concepts Weatherization	on	\$62,250		\$62,250
Curb Appeal Program		\$140,000		\$140,000
0	Sub Total	\$431,245		4
PUBLIC IMPROVEMENTS	ous rotar	Ψ <sup>1</sup> 01,240		
Municipal Beach		\$62,500		\$62,500
Edward Little Park		\$15,000		\$15,000
	Sub Total	\$77,500		Ψ15,000
ACQUISITION & DEMOLITION	Sub Total	Ψ11,500		
Demolition of Deteriorated Buildings	e	\$65,000		<b>PRE 000</b>
New Auburn River Trail/Demolition	3	\$110,000	\$67,835	\$65,000 \$177,835
	Cub Tatal		φ07,033	\$177,835
PUBLIC SERVICES	Sub Total	\$175,000		
	d	<b>67.000</b>		<b>4</b> = <b>4</b>
Androscoggin Head Start/Family Ad	avocacy	\$7,000		\$7,000
Auburn Recreation/ Scholarships		\$25,000		\$25,000
Literacy Volunteers/Adult Literacy		\$8,000		\$8,000
Safe Voices / Social Services		\$3,000		\$3,000
Heating Assistance Loan Program		\$20,000		\$20,000
Tedford Housing & Support Service	es	\$5,000		\$5,000
Good Neighbor Start-up		\$1,000		\$1,000
Catholic Charities/Search		\$2,500		\$2,500
Seniors Plus/Meals		\$3,500		\$3,500
Fair Housing			\$2,000	\$2,000
	Sub Total	\$75,000		
TOTAL	BUDGET	\$990,945	\$119,835	\$1,110,780

### **HOME INVESTMENT PARTNERSHIPS PROGRAM/AUBURN**

PROGRAM ADMINISTRATION				
General Administration		25,500		\$25,500
Project Delivery Costs		41,000		\$41,000
Goods and Services		2,500		\$2,500
	Sub Total \$	69,000		
AFFORDABLE HOUSING		•		
Homebuyer Assistance		110,000	-\$40,000	\$70,000
Homebuyer/Youthbuild		50,000		\$50,000
Acquisition/Rehab/Homebuyer			\$115,000	\$115,000
Homeowner Rehabilitation		376,748	-\$75,000	\$301,748
Tenant Rental Assistance/Security	Deposits	11,511		\$11,511
	Sub Total \$	548,259		
TOTA	BUDGET \$	617,259	\$0	\$617,259
PROGRAM ADMINISTRATION General Administration	OTROGRAM	10,292		\$10,292
	Sub Total \$		MANAGEMENT OF THE PROPERTY OF	\$10,292
AFFORDABLE HOUSING Homebuyer Assistance	ous rotar y	58,750		\$58,750
Homeowner Rehabilitation		230,502	-\$186,000	\$44,502
Rental Setaside	\$	-	\$186,000	\$186,000
Tenant Rental Assistance/Security	Deposits	7,500		\$7,500
	Sub Total \$	296,752	Printer Communication Communic	\$296,752

### Citizen Advisory Committee (CAC) Auburn Hall Minutes of August 7, 2013

Present: Belinda Gerry, Linda Snyder, and Diane Whiting

Resident: Tizz Crowley Staff: Reine Mynahan

Program	Commentor	Comment	Staff Response
HOME	Tizz Crowley	Suggested looking at five properties in target areas, many of which are vacant properties. Tizz provided the tax records that she would like considered: 5 Elm Street, 51 Gamage Avenue, 14-16 High Street, 18 Goff Street, and 138 Pleasant Street	Two of the properties are four units, two are three units and one is a two-unit. All of these are substantially larger than what we anticipated. We considered a single family with less than 1,500 sq. ft. One of the requirements of this program is for the building to meet housing standards. The budget, \$115,000, would not be adequate for a larger building. We would need \$200,000 or more, depending on the level of deterioration. The 2-unit has over 5,000 sq. ft. which would present cash flow problems for a low income person.
CDBG	Tizz Crowley	Need van to transport residents who are handicap for recreational purposes	Could be considered by the City Council.
CDBG	Tizz Crowley	Increase recreation scholarships	The Recreation Department's entire request has already been funded.
CDBG	Tizz Crowley	Paint the Town by providing a grant to purchase paint in an effort to further the curb appeal approach	Because federal funds are involved, we must comply with Title 10, Lead Rule. All grantees must be certified under a Renovate, Repair and Paint approved program—this is a full-day class. It would be best to apply to a foundation for a grant that would be more flexible for such a program. Our Rehab Coordinator could provide guidance about how to protect yourself from the dangers of lead paint without going through the full day training.
CDBG	Tizz Crowley	Increase funding to Meals on Wheels	Could be considered by the City Council.
CDBG	Linda Snyder and Diane Whiting	Focus our efforts on buildings that have a historical value. Too often these buildings are being torn down. Older homes are a lot sturdier.	Many of these buildings have been converted to multi-family buildings and cash flow is a serious issue. We talked about utilizing these buildings for commercial purposes. To save these buildings the commercial district needs to flow into the residential neighborhoods.
CDBG	Diane Whiting	Many buildings outside the target area are also eye sores.	Community Development and HOME regulations prevent us from working outside the target areas except when the owner is low-income.

HOME	Linda Snyder	Need mixed-income tenants. Many of the new housing projects are taking good tenants away from landlords.	
Both	Sharon Philbrook- Bergeron and Craig Phillips (email comments)	Supported the amendment as presented by staff	

Respectfully submitted,

Reine Mynahan

**Community Development Director** 



# **City Council Agenda Information Sheet**

**City of Auburn** 

**Council Meeting Date**: August 5, 2013

Order 68-08192013

Sue Clements-Dallaire City Clerk

Author.	Author. Sue Ciements-Danaire, City Cierk			
Item(s) checked below represe	nt the subject matter rela	ted to this workshop item.		
☐Comprehensive Plan ☐	  Work Plan	t Ordinance/Charter	<b>⊠Other Business*</b>	⊠Council Goals**
**If Council Goals please spec	eify type: \( \sum Safety	⊠Economic Development	Citizen Engagen	nent
<b>Subject</b> : New Business – License and Special Amu		gue, d/b/a/Legends Sport	s Bar and Grill – ap	pplication for a Liquor
Information: Legends Sp Rack M Up Billiards, LLO a Special Amusement Per August 22 <sup>nd</sup> . This has bee planning on placing this of the applicable department	C) applied for a Liquenti. They are current in submitted to Policon the August 19, 20	or License (Food Service tly doing some renovating e, Fire, Code, and Finance	e Class I -Spirituous g but are hoping to e for approval and	s, Vinous, Malt), and open on Thursday, we are tentatively
Financial: N/A				
Action Requested at this	s Meeting: Consider	approval		
Previous Meetings and I	History: Council Wo	orkshop 8/5/2013		
Attachments: Applications and backgro	ound checks			

Order 68-08192013

<sup>\*</sup>Agenda items are not limited to these categories.

# **NOTICE**

To avoid any delay in the processing of your application and issuance of your liquor license, please make sure that:

- 1. You have completed the application in full.
- 2. Application is signed by the owner (s), Corporate Officer or Club Officer.
- 3. The municipal officers have signed the application.
- 4. The license fee is correct and you have included the \$10.00 filing fee.
- 5. A diagram of the premises to be licensed accompanies the application.
- 6. If business is located in an unorganized township. The application must be approved by the County Commissioners and the \$10.00 filing fee paid to them.

### **SPECIAL NOTE**

Limited Liability Companies, Limited Partnerships and Corporations must complete and submit the Supplementary Corporate Questionnaire.

Stock ownership in non-publicly traded companies must add up to 100%

Private Clubs and Fraternal Organizations must complete and submit the Supplementary Questionnaire for club applicants.

### **Department of Public Safety Division**

lice

making any substantial investment in an establishment that now is, or may be, attended by a liquor license.

10. Is/are applicants(s) citizens of the United States?



# **Liquor Licensing & Inspection**

BUREAU USE ONLY	
License No. Assigned:	
Class:	····
Deposit Date:	·
Amt. Deposited:	
_	,

Promise by any person that he or she can expedite a liquor	
nse through influence should be completely disregarded.	
To avoid possible financial loss an applicant, or	
spective applicant, should consult with the Division before	

PRESENT LICENSE EXPIRES	Tillit. Deposited.	
INDICATE TYPE OF PRIVILEGE: MALT SPI	IRITUOUS VINOUS	
, INDICATE TY	PE OF LICENSE:	
RESTAURANT (Class I,II,III,IV)	△ RESTAURANT/LOUNGE (Class XI)	
☐ HOTEL-OPTIONAL FOOD (Class I-A)	HOTEL (Class I,II,III,IV)	
□ CLASS A LOUNGE (Class X)	CLUB-ON PREMISE CATERING (Class I)	
□ CLUB (Class V)	☐ GOLF CLUB (Class I,II,III,IV)	
□ TAVERN (Class IV)	OTHER:	
REFER TO PAGE 3	FOR FEE SCHEDULE	
	T BE ANSWERED IN FULL	
1. APPLICANT(S) -(Sole Proprietor, Corporation, Limited Liability Co.,	2. Business Name (D/B/A)	
etc.) DAD SMA!! DOB: 1/18/59	Legendo Sports BAR + GRILL	
Melnda Small DOB: 11/17/61	, ,	
DOB:	Location (Street Address)	
Address 178 HALRIS Rol	City/Town State Zip Code Munde Me 54258	
	Mailing Address 118 HARRIS Rol	
City/Town State Zip Code	City/Town State Zip Code	
Telephone Number Fax Number	Business Telephone Number Fax Number	
Federal I.D. # 45-395 7834	Seller Certificate #	
3. If premises are a hotel, indicate number of rooms available	e for transient guests: NO	
4. State amount of gross income from period of last license:	ROOMS \$ _义 FOOD \$ _义 LIQUOR \$_义	
5. Is applicant a corporation, limited liability company or limited partnership? YES NO		
complete Supplementary Questionnaire ,If YES		
6. Do you permit dancing or entertainment on the licensed pr	remises? YES i NO i	
7. If manager is to be employed, give name:		
8. If business is NEW or under new ownership, indicate starting date: 8 112013		
Requested inspection date: Business hours: An M - Sho.		
O Progress records are located at: 176 Mane Po		

Name in Full (Print Clearly)		DOB	Place of Birth
DANNIL W. Small		12-18-59	Millipicket me
Melinda L Small		11-17-41	Linesin Me
Residence address on all of the above for previous 5 years (L	imit answer to	city & state	
178 Hazers Rd Minut Me 154258			
13. Has/have applicant(s) or manager ever been convicted of a of any State of the United States?			
Name:			***************************************
Offense:	Loca	tion:	
Disposition:			
4. Will any law enforcement official benefit financially either	directly or in	ndirectly in your licen	se, if issued?
5. Has/have applicant(s) formerly held a Maine liquor license			
6. Does/do applicant(s) own the premises? Yes in No.	,		owner:
17. Describe in detail the premises to be licensed: (Supplemen			
8. Does/do applicant(s) have all the necessary permits require YES は NO は Applied for:			
19. What is the distance from the premises to the NEAREST measured from the main entrance of the premises to the mor parish house by the ordinary course of travel?	ain entrance d	of the school, school d	ormitory, church, chapel
20. Have you received any assistance financially or otherwise self in the establishment of your business? (YES N	including an) ن ک	y mortgages) from an	y source other than your-
If YES, give details: LA Browth Econom	uc lai	rsel (IDAN)	)
The Division of Liquor Licensing & Inspection is hereby auth pertaining to the business, for which this liquor license is required in which any liquor license is in effect.  NOTE: "I understand that false statements made on this	norized to obtuested, and also form are	ain and examine all b so such books, record ounishable by law.	s and returns during the ye  Knowingly supplying fals
information on this form is a Class D offense under the Crin	ninal Code, p	unishable by confiner	nent of up to one year or
monetary fine of up to \$2,000 or both."  Dated at:	n Qua	oust !	20_ <i>13</i>
Town/City, State		Date	
Please sign in	blue ink		
Llung 189			or Corporate Officer(s)

YES NO L

11. Is/are applicant(s) residents of the State of Maine?

#### STATE OF MAINE

Dated at:	, M	aine	SS
City/To	nwa	(County)	
On:			
Date			
The undersigned being:	Municipal Officers ث	County Commissioners ف	of the
Plan ف Town ش City ش	tation 👛 Unincorporated P	lace of:	, Maine
Hereby certify that we have given Maine Revised Statutes and herby		and held public hearing thereon as requi	red by Section 653 Title 28A
	THIS APPROVAL	EXPIRERS IN 60 DAYS	

# NOTICE - SPECIAL ATTENTION

#### § 653. Hearings; bureau review; appeal

- 1. Hearing. The municipal officers or, in the case of unincorporated places, the county commissioners of the county in which the unincorporated place is located, shall hold a public hearing for the consideration of applications for new on-premise licenses and applications for transfer of location of existing on-premise licenses. The municipal officers or county commissioners may hold a public hearing for the consideration of requests for renewal of licenses, except that when an applicant has held a license for the prior 5 years and a complaint has not been filed against the applicant within that time, the applicant may request a waiver of the hearing.
  - A. The bureau shall prepare and supply application forms. [1993, c.730, §27(amd).]
  - B. The municipal officers or the county commissioners, as the case may be, shall provide public notice of any hearing held under this section by causing a notice, at the applicant's prepaid expense, stating the name and place of hearing, to appear on at least 3 consecutive days before the date of hearing in a daily newspaper having general circulation in the municipality where the premises are located or one week before the date of the hearing in a weekly newspaper having general circulation in the municipality where the premises are located. [1995, c.140, §4 (amd).]
  - C. If the municipal officers or the county commissioners, as the case may be, fail to take final action on an application for a new onpremise license, for transfer of the location of an existing on-premise license or for renewal of an on-premise license within 60 days of
    the filing of an application, the application is deemed approved and ready for action by the bureau. For purposes of this paragraph, the
    date of filing of the application is the date the application is received by the municipal officers or county commissioners. This
    paragraph applies to all applications pending before municipal officers or county commissioners as of the effective date of this
    paragraph as well as all applications filed on or after the effective date of this paragraph. This paragraph applies to an existing onpremise license that has been extended pending renewal. The municipal officers or the county commissioners shall take final action on
    an on-premise license that has been extended pending renewal with 120 days of the filing of the application. [1999, c589, §1 (amd).]
- 2. Findings. In granting or denying an application, the municipal officers or the county commissioners shall indicate the reasons for their decision and provide a copy to the applicant. A license may be denied on one or more of the following grounds:
  - A. Conviction of the applicant of any Class A, Class B or Class c crime: [1987, c45, Pt.A§4 (new).]
  - B. Noncompliance of the licensed premises or its use with any local zoning ordinance or other land use ordinance not directly related to liquor control: [1987, c.45, Pt.A§4(new).]
  - C. Conditions of record such as waste disposal violations, health or safety violation or repeated parking or traffic violations on or in the vicinity of the licensed premises and caused by persons patronizing or employed by the licensed premises or other such conditions caused by persons patronizing or employed by the licensed premises that unreasonably disturb, interfere with or affect the ability of persons or businesses residing or located in the vicinity of the licensed premises to use their property in a reasonable manner; [1993, c.730, §27 (amd).]
  - D. Repeated incidents of record of breaches of the peace, disorderly conduct, vandalism or other violations of law on or in the vicinity of the licensed premises and caused by persons patronizing or employed by the licensed premises; [1989, c.592,§3 (amd).]
  - E. A violation of any provision of this Title; and [1989, c.592, §3 (amd).]
  - F. A determination by the municipal officers or county commissioners that the purpose of the application is to circumvent the provisions of section 601, [1989, c.592, §4 (new).]

[1993, c730, §27 (amd).]

- 3. Appeal to bureau. Any applicant aggrieved by the decision of the municipal officers or county commissioners under this section may appeal to the bureau within 15 days of the receipt of the written decision of the municipal officers or county commissioners. The bureau shall hold a public hearing in the city, town or unincorporated place where the premises are situated. In acting on such an appeal, the bureau may consider all licensure requirements and findings referred to in subsection 2.
  - A. [1993, c.730, §27 (rp).]
- 4. No license to person who moved to obtain a license. (REPEALED)
- 5. (TEXT EFFECTIVE 3/15/01) Appeal to District Court. Any person or governmental entity aggrieved by a bureau decision under this section may appeal the decision to the District Court within 30 days of receUpon resolution of the appeal, if an applicant's license renewal is denied, the bureau shall refund the applicant the prorated amount of the unused license fee.

Print Name

#### **NOTICE - SPECIAL ATTENTION**

**Print Name** 

All applications for NEW or RENEWAL liquor licenses must contact their Municipal Officials or the County Commissioners in unincorporated places for approval of their application for liquor licenses prior to submitting them to the bureau.

### THIS APPROVAL EXPIRES IN 60 DAYS.

#### FEE SCHEDULE

Class I	Spirituous, Vinous and Malt	\$	900.00
	Cars; Golf Clubs; Hotels; Indoor Ice Skating Clubs; Indoor Tennis Clubs; Vessels; Qualified Catere OTB.	rs;	
Class I-A	Spirituous, Vinous and Malt, Optional Food (Hotels Only)	\$1	,100.00
Class II	Spirituous Only	\$	550.00
	CLASS II: Airlines; Civic Auditoriums; Class A Restaurants; Clubs with catering privileges; Dinit Cars; Golf Clubs; Hotels; Indoor Ice Skating Clubs; Indoor Tennis Clubs; and Vessels.	ıg	
Class III	Vinous Only	\$	220.00
	CLASS III: Airlines; Civic Auditoriums; Class A Restaurants; Clubs with catering privileges; Dining Cars; Golf Clubs; Hotels; Indoor Ice Skating Clubs; Indoor Tennis Clubs; Restaurants; Vessels; Pool Halls; and Bed and Breakfasts.		
Class IV	Malt Liquor Only	\$	220.00
	CLASS IV: Airlines; Civic Auditoriums; Class A Restaurants; Clubs with catering privileges; Dining Cars; Golf Clubs; Hotels; Indoor Ice Skating Clubs; Indoor Tennis Clubs; Restaurants; Taverns; Pool Halls; and Bed and Breakfasts.		
Class V	Spirituous, Vinous and Malt (Clubs without Catering, Bed & Breakfasts)	\$	495.00
Class X	Spirituous, Vinous and Malt – Class A Lounge  CLASS X: Class A Lounge	. \$2	,200.00
Class XI	Spirituous, Vinous and Malt – Restaurant Lounge	. \$1	,500.00
FILING I	FEE	. \$	10.00
UNORGA	NIZED TERRITORIES \$10.00 filing fee shall be paid directly to County Treasurer. All		
unorganiz	ed territories shall submit along with their application evidence of payment to the County Treasurer.		

All fees must accompany application, made payable to: TREASURER, STATE OF MAINE. — DEPARTMENT OF PUBLIC SAFETY, LIQUOR LICENSING AND INSPECTION DIVISION, 164 STATE HOUSE STATION, AUGUSTA ME 04333-0164. Payments by check subject to penalty provided by Sec. 3, Title 28A, MRS.

### STATE OF MAINE

# Liquor Licensing & Inspection Unit 164 State House Station Augusta, Maine 04333-0164

Tel: (207) 624-7220 Fax: (207) 287-3424

# SUPPLEMENTARY QUESTIONAIRE FOR CORPORATE APPLICANTS, LIMITED LIABILITY COMPANIES AND LIMITED PARTNERSHIPS

<ol> <li>Exact Corporate Name: Mane Bullings</li> <li>Business D/B/A Name: Segends</li> <li>Date of Incorporation: 1111712</li> <li>State in which you are incorporated: Management</li> <li>If not a Maine Corporation, date corporation</li> </ol>	D11			aine:
5. List the name and addresses for previous 5 ye		ectors and		
Name	Address Previous 5 Years	Birth	% of	Title
DAW + Melinda Small	1 00 / 0	Date	Stock	
178 HARRIS Rd	Minot me	12.113		
		111761	ļ	
		<del> </del>		<del>                                     </del>
		<del> </del>		
<ul> <li>6. What is the amount of authorized stock?</li> <li>7. Is any principal officer of the corporation a last.</li> <li>8. Has applicant(s) or manager every been convicuously united States? ( ) YES ( NO.</li> <li>9. If yes, please complete the following: Name:</li> </ul>	nw enforcement official? ( ) YES (	NO than a mir		violation(s), of th
Date of Offen	se:			
Conviction: Offen	3C	· ·····		
Location:	Disposition:			
Dated at: City/Town	On: aliquet D	1,20 Date	13	
Stan MSU	Date: 6/7	/13		
Signature of Duly Authorized Officer				
Print Name of Duly Authorized Officer				



# MAINE STATE BUREAU OF IDENTIFICATION 45 Commerce Drive, Suite 1 / STATE HOUSE STATION # 42 AUGUSTA, ME 04333 (207) 624-7240 (VOICE) (207) 624-4478 (TDD)

Transaction Response #: MIQ99B875112

# **Criminal History Record**

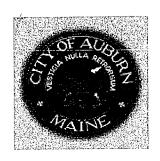
### Introduction

This rap sheet was produced in response to the following request ( Produced on 2013-07-08 ):

Inquiries Name(s)

DANNY N SMALL (1959-12-18)

NO MATCH WAS FOUND FOR YOUR REQUEST.



Policy & July 10,20B Tax-ok 7/3/ **City of Auburn Special Amusement Permit Application & Re-Application** 

LICENSE / APPLICATION FEE: \$125.00

LICENSE / APPLICATION FEE: \$125.00
DATE OF APPLICATION: \tag{7/10/2013}
LASS A – RESTAURANTS WITH ENTERTAINMENT, WHICH DOES NOT HAVE DANCING.
CLASS B – LOUNGES / BARS WITH ENTERTAINMENT, WHICH  DOES NOT HAVE DANCING.
CLASS C – EITHER RESTAURANTS OR LOUNGES / BARS WITH ENTERTAINMENT, INCLUDING DANCING.
CLASS D – FUNCTION HALLS WITH ENTERTAINMENT, INCLUDING DANCING.
RENEWAL APPLICANTS: HAS ANY OR ALL OWNERSHIP CHANGED SINCE YOUR LAST APPLICATION? YES NO
BUSINESS NAME: Legends Sports BAC & Gull
PHYSICAL ADDRESS: 1St Center Street
(IF A NEW BUSINESS, WHAT WAS FORMERLY IN THIS LOCATION: Rack M UP
MAILING ADDRESS: 18 HARRIS Rol Mont Me 04258
BUSINESS TELEPHONE NUMBER:

OWNER(S): MUST LIST EVERY PERSON OR CORPORATION WHO
HAS A FINANCIAL INTEREST IN THE BUSINESS. USE
ADDITIONAL PAPER IF NECESSARY.
1. OWNER: Melinda Small
DATE OF BIRTH:
ADDRESS: 178 HAZR'S Rd MINT Me 04258
PRIMARY TELEPHONE NUMBER: 207 577-9355 Cyll
SECONDARY TELEPHONE NUMBER 201 345-3433 hm.
2. OWNER: On Small
DATE OF BIRTH: 17-18-59
ADDRESS: 178 Horrs Rd Most Me 0/255
PRIMARY TELEPHONE NUMBER: 207 577-7/02 Cell
SECONDARY TELEPHONE NUMBER
3. OWNER:
DATE OF BIRTH:
ADDRESS:
PRIMARY TELEPHONE NUMBER:
SECONDARY TELEPHONE NUMBER
HAS THE APPLICANT / PARTNERS AND OR CORPORATION
OFFICERS EVER BEEN ARRESTED, INDICTED OR CONVICTED
OF ANY VIOLATION OF
LAW? 0
IF YES, LIST WHO, THE DATE, THE LOCATION AND THE
OFFENSE(S) CHARGED.

DOES THE ISSUANCE OF T INDIRECTLY BENEFIT ANY CIT	THIS LICENSE TY EMPLOYEE(S	DIRECTLY OR  O)?YESNO
IF YES, LIST THE NAMED DEPARTMENT(S):		PLOYEE(S) AND
HAVE ANY OF THE AP CORPORATION IF APPLICAB LICENSE WITH THE CITY OF A	LE. EVER HE	LD A BUSINESS
IF YES, PLEASE LIST TI LOCATION(S) Protice Retized	HE BUSINESS Leat Planning	NAME(S) AND
DESCRIBE IN DETAIL THE KI	IND AND NATU	RE OF BUSINESS
AND PROPOSED ENTERTAINM  Sports Bor & Grill with  For Oports And Billiards on  Browns on the Box And En	ENT AND / OR D	ANCING.
Prais on the Box And En	leoformal on	week ends
PLEASE CHECK OFF THE DAY FILL IN THE HOURS OF OPERA		LL BE OPEN AND
SUNDAY	HOURS:	1/on- 10m
MONDAY	HOURS:	(1
TUESDAY	HOURS:	
WEDNESDAY	HOURS:	
THURSDAY	HOURS: _	
FRIDAY	HOURS: _	
SATURDAY	HOURS: _	<i>[-</i>

DESCRIBE IN DETAIL THE ROOM(S) TO BE USED UNDER THE PERMIT. Diracy & Billinds
ATTACH A DIAGRAM OR FLOOR PLAN OF YOUR BUSINESS. ON
THE DIAGRAM PLEASE LIST THE FOLLOWING:
1. MAIN ENTRANCE
2. SECONDARY ENTRANCES
3. FIRE ESCAPES
4. FIRE EXTINGUISHERS
5. DESIGNATED SMOKING AREAS
6. LOCATION OF STATIONARY SECURITY PERSONNEL 7. FOOD SERVING OR PREPARATION AREAS
8. THE DIRECTION OF ANY SPEAKERS
9. THE DANCING AREA
10.LOCATIONS WHERE ALCOHOL WILL BE SOLD
ATTACH A TYPED LIST OF ALL EMPLOYEES INCLUDING THE
FOLLOWING INFORMATION:
<ul> <li>NAME (TO INCLUDE ALL PREVIOUS NAMES USED)</li> </ul>
• DATE OF BIRTH
• ADDRESS
• IF THEY HAVE EVER BEEN EVER BEEN ARRESTED.
INDICTED OR CONVICTED OF ANY VIOLATION OF
LAW?
IF YES, LIST THE DATE, THE LOCATION AND THE
OFFENSE(S) CHARGED
Offered made And Apported to 1 Front ist How
Offered made And Accepted to 1 Frant of House Staff member and one Chof.

HAS	THE	HAS	THE	APPLI	CANT	/	PART]	NERS	AND	OR
CORF	ORAT	O NOI	FFICE	RS EVE	R HAI	D A S	SPECL	AL AM	IUSEM	ENT
LICE	NSE D	ENIED	OR RI	EVOKE	D?	10	<u>,</u>	· · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · · · ·	
IF YE	IF YES, LIST THE DATE, THE LOCATION AND DESCRIBE THE									
CIRC	UMST	ANCES	S							<del></del>
		and a second second second	exemple open to the state of the state of		iyan jiran qaran masa			· Same and a second control of	y www. wyw.co.	
				HAT TH	•					
<u>I</u>	MAY B	E REQU	<u> JIRED</u>	TO COM	APLY W	VITE	THE.	<u>FOLL</u>	<u>OWING</u>	,

**CONDITIONS:** 

- 1. APPLICANT AND OR RE-APPLICANT AGREES TO HAVE TWO COUNTERS OR CLICKERS AT EACH ENTRANCE. ONE WILL BE USED TO DOCUMENT THE PATRONS THAT HAVE ENTERED THE ESTABLISHMENT. THE SECOND WILL BE USED TO DOCUMENT THE PATRONS THAT HAVE EXITED THE ESTABLISHMENT. THIS WILL GIVE THE STAFF AND PUBLIC SAFETY PERSONNEL AN ACCURATE COUNT OF PATRONS IN THE ESTABLISHMENT.
- 2. APPLICANT AND OR RE-APPLICANT AGREES TO HAVE THE MAXIMUM SEATING AND OR OCCUPANCY CAPACITY CLEARLY LISTED IN EACH ROOM THAT IS OPEN TO THE PUBLIC.

- 3. APPLICANT AND OR RE-APPLICANT AGREES TO HAVE SECURITY AND OR STAFF STATIONED AT ALL TIMES AT ALL ENTRANCES AND EXISTS USED BY THE PUBLIC.
- 4. APPLICANT AND OR RE-APPLICANT AGREES TO KEEP THE DESIGNATED SMOKING AREA CLEAN AND HAVE APPROPRIATE RECEPTACLES AVAILABLE FOR THEIR PATRONS.
- 5. APPLICANT AND OR RE-APPLICANT AGREES TO, IF SO ORDERED BY THE CHIEF OF POLICE, TO HIRE SWORN MEMBERS OF THE AUBURN POLICE DEPARTMENT FOR SECURITY. THE RATE WILL BE AT THE CURRENT CITY OF **OFFICERS** THESE **DETAIL** RATE. **AUBURN** SUPPLEMENT THE EXISTING STAFF OR SECURITY. THE AS A GUIDE **USED** CHART WILL  $\mathbf{BE}$ BELOW DETERMINING THE NUMBER OF OFFICERS REQUIRED.

Number of Attendees	Number of Police
1200	2
201400	4
401600	6
601+	One supervisor, and one additional officer for each 200 attendees or portion thereof in excess of 601

### SPECIAL AMUSEMENT PERMITS SHALL EXPIRE AT THE SAME TIME AS A STATE ISSUED LIQUOR LICENSE.

I / WE HEREBY CERTIFY THAT ALL STATEMENTS MADE IN THE APPLICATION ARE TRUE. I / WE AGREE AND UNDERSTAND THAT ANY MISSTATEMENTS OR OMISSIONS OF MATERIAL FACT HEREIN WILL RESULT IN REFUSAL OF LICENSE OR REVOCATION OF LICENSE IF ONE HAS ALREADY BEEN ISSUED.

IT IS UNDERSTOOD THAT THIS AND ANY APPLICATION(S) SHALL BECOME PUBLIC RECORD AND THE APPLICANT(S) HEREBY WAIVE(S) ANY RIGHTS TO PRIVACY WITH RESPECT THERETO.

I / WE HEREBY AUTHORIZE THE RELEASE OF ANY CRIMINAL HISTORY RECORD TO THE CITY OF AUBURN. I / WE WAIVE ANY RIGHTS TO PRIVACY WITH RESPECT THERETO.

I / WE AGREE TO NOT IMPLEMENT ANY CHANGES OR MODIFICATIONS AFTER RECEIVING APPROVAL OF THE SPECIAL AMUSEMENT PERMIT. (SOME EXAMPLES ARE HOURS OF OPERATION, DAYS OF OPERATION AND THE BUILDING LAYOUT)

ALL REQUESTS FOR MODIFICATION(S) OF THE APPROVED SPECIAL AMUSEMENT PERMIT MUST BE SUBMITTED IN WRITING TO THE CITY OF AUBURN. ANY CHANGES MADE WITHOUT APPROVAL FROM THE CITY OF AUBURN MAY RESULT IN AN IMMEDIATE SUSPENSION OF THE SPECIAL AMUSEMENT PERMIT.

PENALTY: (CITY OF AUBURN ORDINANCE, CHAPTER 24, SECTION 2.23, (8)-(h))

VIOLATION OF ANY PROVISION OF SECTION 2.23. SHALL BE PUNISHED BY A CIVIL PENALTY OF FIVE HUNDRED DOLLARS (\$500.00). EACH ACT OF VIOLATION AND EVERY DAY UPON

WHICH ANY SUCH VIOLATION SHALL OCCUR SHALL CONSTITUTE A SEPARATE OFFENSE. IN ADDITION TO SUCH PENALTY, THE CITY MAY ENJOIN OR ABATE ANY VIOLATION OF SECTION 2.23 BY APPROPRIATE ACTION. IN THE EVENT THAT THE CITY SHALL PREVAIL IN ANY ACTION TO ENFORCE SECTION 2.23, THE CITY SHALL RECOVER ITS COSTS OF SUIT, INCLUDING REASONABLE ATTORNEY FEES.

IT IS UNDERSTOOD THAT I / WE WILL SUBMIT ANY ADDITIONS OR SUBTRACTIONS OF ANY FULL TIME, PART TIME OR VOLUNTEER STAFF MEMBERS WITHIN (7) SEVEN BUSINESS DAYS TO THE CITY OF AUBURN. I / WE AGREE TO SUPPLY THE REQUIRED INFORMATION ON ALL NEW EMPLOYEES. (INFORMATION REQUIRED IS FOUND ON PAGE (4) FOUR IN THIS APPLICATION.)

NAME (PRINTED) Down N. Small
SIGNATURE OF INDIVIDUAL Lang 12
IF PARTNERSHIP, BY MEMBERS OF THE PARTNERSHIP.
NAME (PRINTED)
SIGNATURE OF INDIVIDUAL
NAME (PRINTED)
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NAME (PRINTED)
SIGNATURE OF INDIVIDUAL
NAME (PRINTED)

### ADMINISTRATIVE USE ONLY:

- 1. APPLICANT AND OR RE-APPLICANT AGREES TO HAVE TWO COUNTERS OR CLICKERS AT EACH ENTRANCE. ONE WILL BE USED TO DOCUMENT THE PATRONS THAT HAVE ENTERED THE ESTABLISHMENT. THE SECOND WILL BE USED TO DOCUMENT THE PATRONS THAT HAVE EXITED THE ESTABLISHMENT. THIS WILL GIVE THE STAFF AND PUBLIC SAFETY PERSONNEL AN ACCURATE COUNT OF PATRONS IN THE ESTABLISHMENT.
- 2. APPLICANT AND OR RE-APPLICANT AGREES TO HAVE THE MAXIMUM SEATING AND OR OCCUPANCY CAPACITY CLEARLY LISTED IN EACH ROOM THAT IS OPEN TO THE PUBLIC.
- 3. APPLICANT AND OR RE-APPLICANT AGREES TO HAVE SECURITY AND OR STAFF STATIONED AT ALL TIMES AT ALL ENTRANCES AND EXISTS USED BY THE PUBLIC.
- 4. APPLICANT AND OR RE-APPLICANT AGREES TO KEEP THE

  DESIGNATED SMOKING AREA CLEAN AND HAVE

  APPROPRIATE RECEPTACLES AVAILABLE FOR THEIR

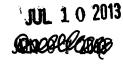
  PATRONS.

5. APPLICANT AND OR RE-APPLICANT AGREES TO, IF SO ORDERED BY THE CHIEF OF POLICE, TO HIRE SWORN MEMBERS OF THE AUBURN POLICE DEPARTMENT FOR SECURITY. THE RATE WILL BE AT THE CURRENT CITY OF AUBURN DETAIL RATE. THESE OFFICERS WILL SUPPLEMENT THE EXISTING STAFF OR SECURITY. THE BELOW CHART WILL BE USED AS A GUIDE IN DETERMINING THE NUMBER OF OFFICERS REQUIRED.

Number of Attendees	Number of Police
1200	2
201400	4
401600	6
601+	One supervisor, and one additional officer for each 200 attendees or portion thereof in excess of 601

# Police Fire Code Tax

### CITY OF AUBURN FOOD LICENSE APPLICATION

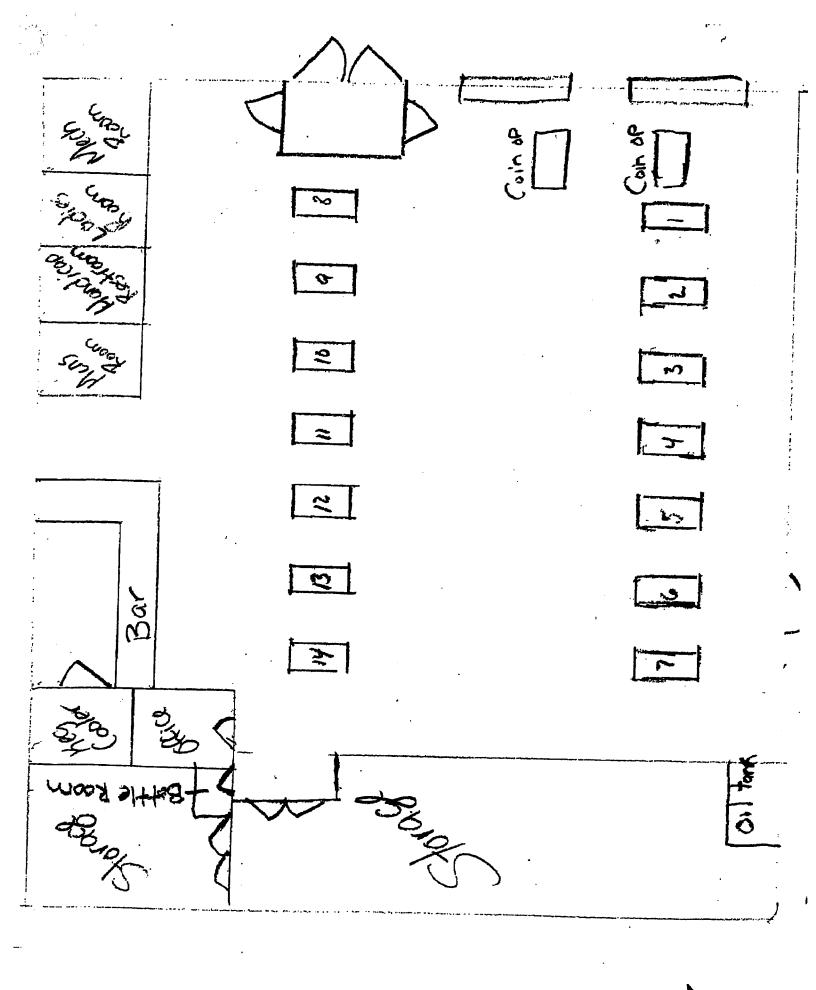


	Tav					
	IUN		New_	Renewa	ıl Chang	<del></del>
	Application date		Opening date		_Expiration date_	<del></del>
License applied for_ List changes from las	st license	5-W 11-1	0 (-1	1-12)	S 11-1	<del></del>
Business Hours of op		m-Inn			7800	
Fee \$	(Based	on square 100u	age and type of so	ervice)		
Fee \$500.00 (1-29 Fee \$600.00 (3000 Fee \$700.00 (6000	)-5999 square feet)	)				
Please attach a copy with date of certifica	tion, and a copy of	f all state license QUESTIONS M	y diagram, menu s applicable to th IUST BE ANSW application if no	is application of ERED IN FU	or date of applica	ndler certificate tion.
· •	BUSINESS	* *	*****	,	APPLICANT	
1		2	_			,
Business name	ends souts 1	BAX + Gaill	Owner's name	DAN + II	PHADA SMA	<i>(</i> /
Business address /	28 Grater	Sheet	_ Maiden name/ A	A/K/A	(morrism	<u>)</u>
City Aub.						
Mailing address						
City	State	Zip	City ///www.	£	State Me	_Zip_ <u>04258</u>
Business phone	7 577-7102	2	Mailing add	ress		
Email DAN	O MBI pool.	°5M	City		State	Zip
Please indicate addre	ess to mail license:	Business / App	licant	Home phone	207 577-	7602
Is applicant a corpor (If answer is "Yes",	ation in the State of complete Supplem	of Maine? Yes_	NoNo naire for Corpora	 ate Applicants)		
List all residences, in	ncluding all places	of business, wit	hin the last 5 yea	rs:		
Address / 28 7/1427						
Address 20 Unim	St	City <u>Aubua</u>	<i>U</i>	_State Mo_	How long	yrs
Address				State	How long	Page 1 of 3

Has applicant(s) e United States, wit	ver been convicted of any violation of hin the past 5 years? YesNo	f the law, other than minor traffic (If yes, complete the following)	violations, of any State of the ng)		
Name	Date of conviction				
Offense	Location				
Disposition					
If manager is to b	e employed, complete the following:				
Name	Home address	Phone	Date of birth		
Has manager ever United States, wit	been convicted of any violation of the thin the past 5 year? Yes N	e law, other than minor traffic vio	olations, of any State of the following)		
	70				
Disposition		Location			
Does applicant(s)	own the premises? YesNo	(If "No", give name a	nd address of owner)		
Name New E	ngland Industrial Prupa	ddress 12 Kexing Im Sta	roet Louistin ME		
Phone Number	E	mail			
	* * Food Service Establishment, Sea	ating Und PL 99 Occ	upancy load		
Criminal record a	and/or revocation of driver's license (in	nclude driving violations) during	the last 5 years:		
<u>Date</u>	<u>Charge</u>	<u>Court</u>	Disposition		
THE OMISS APPLI	ION OF FACTS OR ANY MISREPR ICATION SHALL BE SUFFICIENT	RESENTATION OF ANY OF THE GROUNDS FOR THE REFUSA	IE INFORMATION ON THIS L OF SUCH LICENSE.		
	CERTIFICATE OF APPLICAN	IT AND WAIVER OF CONFIDI	ENTIALITY		
	***READ CAREI	FULLY BEFORE SIGNING***			
I understand that	the release of any criminal history re this information shall become public	ecord information to the City Cle record, and I hereby waive any ri	rk's Office or Licensing Authority. Ights of privacy with respect		
hereto.	n 801	6	(7/13		
Signature	of Applicant		Date		

### IF A CORPORATION, MUST FILE A COPY OF CORPORATE PAPERS

		Particular Control of the Control of	
-	STAFF USE ( DO NOT COMPLETE BE		
1	O NOT COMPLETE BE	LOW IMS LAVE	
JTHORIZED SIGNATURES	OCCUPANCY LOAD	APPROVED	DISAPPROVED
DDE DEPT			
RE DEPT	•		
COMMENDATION			
			<b></b>
		The state of the s	



JUN 0 7 2013



# MAINE STATE BUREAU OF IDENTIFICATION 45 Commerce Drive, Suite 1 / STATE HOUSE STATION # 42 AUGUSTA, ME 04333 (207) 624-7240 (VOICE) (207) 624-4478 (TDD)

Transaction Response #: MIQ99B850885

# **Criminal History Record**

### Introduction

This rap sheet was produced in response to the following request ( Produced on 2013-06-07 ):

Inquiries Name(s)

**DANNY N SMALL (1959-12-18)** 

NO MATCH WAS FOUND FOR YOUR REQUEST.

Police-OK Fire Cole Tax-ok 7/31

# $C_{ity\ of\ Auburn,\ Maine}$

JUL 3 0 2013

"Maine's City of Opportunity"

Office of the City Clerk

# APPLICATION FOR BUSINESS LICENSE OR PERMIT

Do not use this form for food, liquor, special amusement or coin operated licenses.

<b>3</b> D	New Renewal
DATE:	FEE: <u>\$50.00 per table</u>
<b>BUSINESS INFORMATION</b>	APPLICANT/CONTACT INFORMATION
Rusiness name: Spannes Souts But & Bart Ga	Name: DAD Small
Corporate name: Maine Billiands Kenny	Le Address: 178 YHRRIS Rol MINST NO 01258
Address: 178 HARRIS Rol	Mailing address: Sme
City Must State Zip	2/0 (if different)
Mailing address: Sml	Telephone: <u>217 577 - 7/02</u>
(if different)	Manager: DAN Small
Telephone:	Address: SAME
Email address for notices: DAN @ MBL 020/	· Com
DESCRIPTION OF BUSINESS:	Reil
TYPE OF LICENSE(S) APPLYING FOR:	10 Tobles
PROJECTED DATE TO OPEN BUSINESS:	8/1/2013
SIGNATURE OF APPLICANT:	~ 2 SU
mr	1 N Sorge P
Print Nan	ne and Title of Person Signing Application

60 Court Street • Suite 150 • Auburn, ME 04210 (207) 333-6600 Voice • (207) 333-6601 Automated • (207) 333-6623 Fax www.auburnmaine.gov

JUN 0 7 2013



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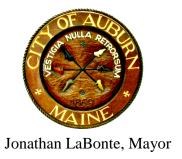
NO MATCH WAS FOUND FOR YOUR REQUEST.

# CITY OF AUBURN PUBLIC HEARING

A public hearing will be held on Monday, August 19, 2013 at 7:00 p.m. or as soon as possible thereafter in the Council Chambers of Auburn Hall to consider the following Liquor License and Special Amusement Permit Applications:

Maine Billiards League d/b/a Legends Sports Bar & Grill 128 Center Street, Auburn, Maine

All persons may appear to show cause, if any they may have, why these licenses should not be granted. Tizz E. H. Crowley, Ward One Robert Hayes, Ward Two Mary Lafontaine, Ward Three David Young, Ward Four



Leroy Walker, Ward Five Belinda Gerry, At Large Joshua Shea, At Large

### **IN CITY COUNCIL**

### ORDER 68-08192013

ORDERED, that the City Council hereby approves the request for a Liquor License and Special Amusement Permit for Maine Billiards League d/b/a Legend's Sports Bar and Grill located at 128 Center Street.



### City Council Agenda Information Sheet

**City of Auburn** 

Council Meeting Date: August 19, 2013 Order 69-08192013

Author: Jill Eastman, Finance Director					
Item(s) checked below represent the subject matter related to this workshop item.					
□Comprehensive Plan □Work Plan □Budget □Ordinance/Charter □Other Business* □Council Goals**					
**If Council Goals please specify type:					
Subject: Order authorizing the issuance of General Obligation Bonds and tax levy					
<b>Information</b> : This is the order authorizing the sale of \$5,900,000 in General Obligation Bonds to finance the FY 13-14 Capital Improvement Projects passed by Council during the June 3 <sup>rd</sup> , 2103 Council Meeting by a 5-2 vote. (list attached). During the discussion prior to the vote on the entire CIP a number of individual items were passed (to remain on the CIP) by less than a 5-2 vote. These items are listed as follows: Engineering Major Drainage, ICT Network Security, Parks and Recreation Holder Tractor, Planning Municipal Street Light Purchase, Planning Traffic Signal Pole Replacement, Public Works Heavy Equipment (Dump Trucks), Public Works Vehicle (sign truck).					
Financial: Budget					
<b>Action Requested at this Meeting</b> :, Public hearing and 1 <sup>st</sup> reading. The 2 <sup>nd</sup> reading and recommended passage will be scheduled for Tuesday, September 3, 2013.					
Previous Meetings and History: Discussed during the 8/5/2013 Council Workshop					

#### **Attachments**:

- Approved CIP List
- CIP voted on by Council
- Minutes of June 3, 2013
- Notice of Public Hearing
- Order 69-08192013

<sup>\*</sup>Agenda items are not limited to these categories.

# CITY OF AUBURN - CAPITAL IMPROVEMENT PROGRAM FY 2014

	OPERATING	BOND	OTHER
ASSESSING			
Vehicle Replacement	\$0		
Total Assessin	g \$0	\$0	\$0
AUBURN-LEWISTON AIRPORT			
Loader/Sweeper Replacement	\$0		
Mower Attachment	\$0		
Total Auburn-Lewiston Airpor	t \$0	\$0	\$0
CITY CLERK	4		
Record Restoration	\$12,500		
Voting Booths	\$6,000	ćo	ćo.
Total City Cler	k \$18,500	\$0	\$0
ECONOMIC DEVELOPMENT South Goff Street Extension		\$0	
Total Economic Developmen	t \$0	\$0 <b>\$0</b>	\$0
ENGINEERING	τ , , , , , , , , , , , , , , , , , , ,	70	<del></del>
Drainage Projects:			
Major Areas		\$60,000	
State Projects:		<b>400,000</b>	
MDOT Transportation Improvement Projects		\$500,000	
Street Improvement Projects:		4300,000	
Reclaim/Resurfacing Program		\$1,250,000	
Retaining Wall (Engineering and Design)		\$0	
Reconstruction/Construction Projects		\$1,000,000	
Bridge Repairs		\$125,000	
Total Engineering Departmen	t \$0	\$2,935,000	\$0
FIRE DEPARTMENT	, ,,	<del>+ - / - / - / - / - / - / - / - / - / - </del>	<del></del>
Generator South Main Street Fire Station		\$0	
Security/Proximity Locking System		\$0	
Thermal Imaging Cameras		\$0	
Reclaim and repave Central Station back yard		\$0	
Tablets	\$0		
Total Fire Departmen	t \$0	\$0	\$0
INFORMATION COMMUNICATION TECHNOLOGY			
Call Recording		\$0	
Web Site - Second Phase			\$20,000 <b>(1)</b>
Network Security		\$40,000	
Total IC	т \$0	\$40,000	\$20,000
LA911			
Server Upgrade/Virtualization Project - Phase III			\$49,772 <b>(1)</b>
Total LA91	1 \$0	\$0	\$49,772
<u>LATC</u>			
Bus Replacement Funds			\$40,000 <b>(1)</b>
Total LAT	C \$0	\$0	\$40,000
PARKING FACILITIES			
Mechanics Row Parking Garage Maintenance		\$0	
Total Parking Facilitie	s \$0	\$0	\$0
PARKS & RECREATION			
Asbestos Removal @ Hasty Community Center			\$85,000 <b>(1)</b>
Tractor w/Attachments		\$0	
Replace Holder Tractor		\$165,000	
Turf Machine		ير ف	\$0 <b>(3)</b>
Remove Underground Storage Tank		\$0	400.00= 11.=1
Repair and Replace Playground Equipment City Wide			\$38,000 (1,3)
Hasty Window Replacement	1.	1.	\$46,000 (1)
Hasty Kitchen Upgrade	\$0	\$0	\$3,500 <b>(1)</b>

# CITY OF AUBURN - CAPITAL IMPROVEMENT PROGRAM FY 2014

	OPERATING	BOND	OTHER
Total Parks & Recreation	\$0	\$165,000	\$172,500
PLANNING & CODE DEPARTMENT			
Municipal Street Light Purchase		\$750,000	
Traffic Signal Pole Replacement		\$10,736	
Street Light Pole Replacement and Wiring (21 poles)		\$25,000	
Replacement of Traffic Signal Controller Equipment Fype TSII		\$0	
Replace existing HPS fixtures and poles with LED fixtures		\$26,000	
Traffic Signal Controller Upgrades		\$0	
Vehicle Replacement	\$0		
Comprehensive Plan Property Acquisition Program			\$0 <b>(4</b> )
Androscoggin Greenway Signage and Wayfinding Pilot Program			\$75,000 <b>(4</b> )
Total Planning & Code Department	\$0	\$811,736	\$75,000
POLICE DEPARTMENT			
Scheduled Vehicle Replacement	\$70,000		
Mobile Radio Replacement	\$20,000		\$0 <b>(1</b> )
Total Police Department	\$90,000	\$0	\$0
PUBLIC LIBRARY			
Building Improvements		\$24,136	
HVAC Automation System	\$7,500		
Technology Replacement	\$12,000		
Total Public Library	\$19,500	\$24,136	\$0
PUBLIC WORKS DEPARTMENT			
Heavy Equipment			
Dump Trucks		\$320,000	
Street Sweeper		\$0	
Vehicles		\$38,000	
Floor Sweeper/Scrubber		\$0	
Non Vehicle Equipment			
Trench Box	\$0		\$17,000 <b>(1</b> )
Message Sign Board	\$0		
Portable Traffic Signals	\$0		
Replace Festival Plaza Canopies			\$20,000 <b>(4</b> )
Cemetery Improvements			\$24,000 <b>(1</b> )
Total Public Works Department	\$0	\$358,000	\$61,000
Contingency		\$66,128	
Total Municipal Capital Improvements	\$128,000	\$4,400,000	\$418,272
SCHOOL DEPARTMENT	\$0	\$1,500,000	
Total School Department	\$0	\$1,500,000	\$0
Total Capital Improvement Program - Municipal and Schools	\$128,000	\$5,900,000	\$418,272
(1) Unallocated Bond Funds	\$285,272		
(3) Recreation Special Revenue Funds	\$38,000		
	. ,		
(4) Downtown TIF	\$95,000		
	\$418,272		

Tizz E. H. Crowley, Ward One Robert Hayes, Ward Two Mary Lafontaine, Ward Three David Young, Ward Four



Leroy Walker, Ward Five Belinda Gerry, At Large Joshua Shea, At Large

### IN CITY COUNCIL

### ORDER 35-05132013

ORDERED, that Capital Purchases (CIP) Bond Projects for FY 2014 in the amount of \$6,500,000 Acquisition of the following capital equipment and the design, construction, renovation, and rehabilitation of the following capital improvements, all constituting part of the City's FY14 Capital Improvement Program:

Department	FY14 Projects Only (See CIP for full description)	Proposed Financing	CIP	FY 2014 CIP Bond
School	Building and Equipment Improvements	Bond	CIP	\$1,500,000
Engineering	Major Drainage	Bond	CIP	\$60,000
Engineering	MDOT Match	Bond	CIP	\$500,000
Engineering	Reclaim/Resurface	Bond	CIP	\$1,250,000
Engineering	Reconstruction	Bond	CIP	\$1,000,000
Engineering	Bridge Repairs	Bond	CIP	\$125,000
ICT	Network Security	Bond	CIP	\$40,000
Parks & Recreation	Holder Tractor	Bond	CIP	\$165,000
Planning & Code	Municipal Street Light Purchase	Bond	CIP	\$750,000
Planning & Code	Traffic Signal Pole Replacement	Bond	CIP	\$10,736
Planning & Code	Street Light Pole Replacement	Bond	CIP	\$25,000
Planning & Code	Replace Existing HPS Fixtures and Poles	Bond	CIP	\$26,000
Library	Building Improvements	Bond	CIP	\$24,136
Public Works	Heavy Equipment-Dump Trucks	Bond	CIP	\$320,000
Public Works	Vehicles	Bond	CIP	\$38,000
Contingency	Retained earnings for bid variances			\$66,128

TOTALS \$5,900,000

### CITY OF AUBURN NOTICE OF PUBLIC HEARING

Pursuant to Section 8.13 of the City Charter, notice is hereby given that the Auburn City Council will hold a public hearing on Monday, August 19, 2013, at 7:00 p.m. in the Council Chambers, Auburn Hall, 60 Court Street on a proposed order authorizing the City's general obligation bonds in the amount of \$5,900,000 to finance the City's FY14 Capital Improvement Program.

Following the public hearing, the City Council expects to conduct the second reading and take final action on the order at the same September 3, 2013 meeting.

The order is available for inspection at the City Clerk's office during regular business hours.

Tizz E. H. Crowley, Ward One Robert Hayes, Ward Two Mary Lafontaine, Ward Three David Young, Ward Four



Leroy Walker, Ward Five Belinda Gerry, At Large Joshua Shea, At Large

### IN CITY COUNCIL

### ORDER 69-08192013

**Ordered by the Auburn City Council**, following a public hearing duly called and held as required by Article 8, Section 8.13 of the Auburn City Charter, that there be and hereby is authorized the issuance and sale of the City's general obligation bonds on either a taxable or a tax-exempt basis in the amount of \$5,900,000, the proceeds of which, including premium, if any, and investment earnings thereon, are hereby appropriated to finance the following capital equipment and capital improvements (including costs of issuance for the bonds), all constituting part of the City's FY14 Capital Improvement Program:

Department	FY14 Projects Only (See CIP for full description)	FY 2014 CIP Bond
	Building and Equipment	
School	Improvements	\$1,500,000
Engineering	Major Drainage	\$60,000
Engineering	MDOT Match	\$500,000
Engineering	Reclaim/Resurface	\$1,250,000
Engineering	Reconstruction	\$1,000,000
Engineering	Bridge Repairs	\$125,000
ICT	Network Security	\$40,000
Parks & Recreation	Holder Tractor	\$165,000
Planning & Code	Municipal Street Light Purchase	\$750,000
Planning & Code	Traffic Signal Pole Replacement	\$10,736
Planning & Code	Street Light Pole Replacement Replace Existing HPS Fixtures and	\$25,000
Planning & Code	Poles	\$26,000
Library	Building Improvements	\$24,136
Public Works	Heavy Equipment-Dump Trucks	\$320,000
Public Works	Vehicles	\$38,000
Contingency	Retained earnings for bid variances	\$66,128
	TOTAL	\$5,900,000

THAT the bonds shall be issued as authorized hereunder and shall be signed by the City's Finance Director and its Treasurer, attested by the City Clerk under the seal of the City. A tax levy is hereby provided for each fiscal year that the bonds authorized hereunder remain outstanding to meet the annual

Tizz E. H. Crowley, Ward One Robert Hayes, Ward Two Mary Lafontaine, Ward Three David Young, Ward Four



Leroy Walker, Ward Five Belinda Gerry, At Large Joshua Shea, At Large

installments of principal and interest as may accrue in each respective year. The bonds may be issued at one time or from time to time, either singly or in series, and the authority and discretion to fix method of sale, issue date, maturities, denominations, interest rate, place of payment, form and other details of said bonds and notes, and to take all other actions and to sign and deliver all other documents, certificates and agreements in order to provide for the sale thereof is hereby delegated to the City's Finance Director. THAT in order to finance temporarily the projects decribed above, the Finance Director is authorized to

THAT in order to finance temporarily the projects decribed above, the Finance Director is authorized to expend up to \$5,600,000 either from available funds of the City or from the proceeds of BAN's which would be reimbursed or refinanced from bond proceeds.

THAT in order to finance temporarily the projects decribed above, the Finance Director is authorized to expend up to \$5,900,000 either from available funds of the City or from the proceeds of BAN's which would be reimbursed or refinanced from bond proceeds.

THAT the bonds authorized hereunder may be made subject to call for redemption, either with or without premium, on such terms as may be determined by the Finance Director.

THAT the authority and discretion to designate the bond or notes, or a portion thereof, as qualified tax-exempt obligations under Section 265 of the Internal Revenue Code of 1986, as amended, is hereby delegated to the Finance Director.

THAT the City's Finance Director, Treasurer, Clerk, and other proper officials of the City be, and hereby are, authorized and empowered in its name and on its behalf to do or cause to be done all such acts and things, and to execute, deliver, file, approve, and record all financing documents, contracts, agreements, certificates, preliminary and final official statements, tax certificates and other documents as may be necessary or advisable, with the advice of counsel for the City, to carry out the provisions of this order, as may be necessary or desirable.

THAT if the Finance Director, Treasurer, or Clerk are for any reason unavailable to approve and execute the bonds or any related financing documents, the person or persons then acting in any such capacity, whether as an assistant, a deputy, or otherwise, is authorized to act for such official with the same force and effect as if such official had himself or herself performed such act.

THAT this order is a declaration of official intent pursuant to Treas. Reg. § 1.150-2 and shall be kept available for public inspection during reasonable business hours at the office of the City Clerk.

A Public Notice describing the general purpose of the borrowing and the terms thereof was published on or before August 5, 2013, in the Lewiston Sun-Journal, a daily newspaper published in the City of Auburn and in Androscoggin County.

A public hearing was held on August 19, 2013.



### **City Council Agenda Information Sheet**

**City of Auburn** 

**Council Meeting Date:** 

August 18, 2013

**Ordinance** 10-08192013

Jason Moen. Deputy Chief of Police

Item(s) checked below represent the subject matter related to this workshop item.
□ Comprehensive Plan       □ Work Plan       □ Budget       ⋈ Ordinance/Charter       □ Other Business*       ⋈ Council Goals**         **If Council Goals please specify type:       ⋈ Safety       □ Economic Development       □ Citizen Engagement
Subject: Ordinance regarding Synthetic Drugs
<b>Information</b> : The Police Department is requesting that the City Council consider adopting a new ordinance regulating the sale and possession of synthetic drugs. This ordinance is addressed as a class of drugs known initially as bath salts. As regulations have been placed on these substances, manufacturers have slightly modified them to sidestep the regulations. This ordinance would address that issue and is modeled after ordinances adopted in several other communities, most notably Bangor which has experienced significant problems associated with these drugs.
Financial: N/A
Action Requested at this Meeting: Discussion and future action

Previous Meetings and History: Discussion at the 8/5/2013 City Council Workshop

**Attachments**: Proposed Ordinance 10-08192013

<sup>\*</sup>Agenda items are not limited to these categories.

Tizz E. H. Crowley, Ward One Robert Hayes, Ward Two Mary Lafontaine, Ward Three David Young, Ward Four



Leroy Walker, Ward Five Belinda Gerry, At Large Joshua Shea, At Large

### IN CITY COUNCIL

ORDINANCE 10-08192013

ORDERED, that the Code of Ordinances be and hereby is amended as follows:

### AN ORDINANCE PERTAINING TO SYNTHETIC DRUGS THE CITY OF AUBURN HEREBY ORDAINS:

Chapter 32 of the Code of Ordinances of the City of Auburn, Maine is hereby amended as follows:

Chapter 32 Offenses

Article I, In General

Sec. 32.7-XX Purpose.

The purpose of this article is to regulate the availability of products which are enhanced with synthetic chemicals, which chemicals mimic the effects of controlled substances on users, because these products are a danger to the public health, safety and welfare.

Sec. 32.7-XX Definitions

For purposes of interpreting this article, the following terms, phrases, words and their derivatives shall have the meanings given herein unless the context clearly indicates or requires a different meaning:

SPICE, SYNTHETIC CANNABINOIDS, SYNTHETIC MARIJUANA - Any aromatic plant material in granular, loose leaf or powder form, or in liquid or as a food additive, or any herbal-incense-type stimulant or hallucinogen product, when the label is in any way false or misleading, or which does not contain a label specifying (1) the identity of the commodity and (2) the name and place of business of the manufacturer, packer, or distributor. Street names for these products include, but are not limited to: Bliss, Black Mamba, Bombay Blue, Fake Weed, Genie, Spice, Zohai, K2, K3, Smoke, PotPourri, Buzz, Spice 99, Voodoo, Pulse, Hush, Mystery, Earthquake, Stinger, Ocean Blue, Serenity, Chronic Spice, Spice Gold, Spice Silver, Skunk, Mr. Nice Guy, Mr. Happy, K3 Legal, Sence, Smoke, Chill X, Earth Impact, Galaxy Gold, Space Truckin, Solar Flare, Moon Rocks, Aroma, Scope, Sky High, Atomic, G-20, Guerrilla Warfare, Makes Scents, g-I3, Tiger Shark, California Dreams, Dank, Bullet, Mind Trip, Voodoo Child, Jazz, Nightlights, Matrix, Hypnotiq, AK47, Maui Wowie, Cloud 9, Daylights, Joker, Dead Man Walking, Brain Storm, Soul Sence, Kush, Kush Mania, Dragons Fire, Lucid, Mad Hatter, Scooby Snax, D-ZL, OMG, Demon, Barely In, Pineapple Express, Hayze. This definition shall include any plant material to which any Synthetic Chemical or Synthetic Chemical Compound has been added which has no legitimate relation to the advertised use of the product whether or not the label meets the requirements herein.

BATH SALTS, SYNTHETIC CATHINONES, SYNTHETIC STIMULANTS - Any crystalline or powder product in crystalline, loose-powder, block, tablet, or capsule form, or any stimulant-type product, when the

label is in any way false or misleading. Street names for these products include, but are not limited to: Bliss, Blue Silk, Cloud Nine, Drone, Energy-I, Ivory Wave, Lunar Wave, Meow Meow, Ocean Burst, Pure Ivory, Purple Wave, Red Dove, Snow Leopard, Stardust, Vanilla Sky, White Dove, White Knight, White Lightening, Blizzard, Bonzai Grow, Charge Plus, Charlie, Euphoria, Hurricane, Lunar Wave, Ocean, Pixie Dust, Posh, Scarface, Lovely Dovey, Aura, MDPV, MDPK, MTV, Maddie, Hurricane Charlie, Black Rob, Super Coke, PV, Peeve, Meph, Drone, MCAT. This definition shall include any product to which any Synthetic Chemical or Synthetic Chemical Compound has been added which has no legitimate relation to the advertised use of the product whether or not the label meets the requirements herein.

DRUG - An article that is intended to affect the function of the body of humans.

MISBRANDED DRUG - Any drug for which the label is in any way false or misleading.

ILLICIT SYNTHETIC DRUGS - Spice, synthetic cannabinoids, synthetic marijuana, bath salts, synthetic cathinones, synthetic stimulants, and misbranded drugs as defined herein.

SYNTHETIC CHEMICAL OR SYNTHETIC CHEMICAL COMPOUND - Any chemical or chemical compound whose molecular make up is similar to those substances listed as controlled substances in 17-A M.R.S. § 1101(16-A) (including any isomers, esters, ethers, salts, and salts of isomers, esters, and ethers of such substances) or to those substances listed in 17-A M.R.S. § 1102(4) (F) and whose intended use when introduced into the human body is to mimic or simulate the effects of a controlled substance.

Sec 32.7-XX Prohibition of Illicit Synthetic Drugs.

- A. It is unlawful for any person to possess, use, provide, sell, produce, manufacture, or distribute, or to offer, display, market, or advertise for sale, any illicit synthetic drug.
- B. In determining whether a product is prohibited by this article, statements on package labeling such as "not for human consumption" may be disregarded when other relevant factors (viewed alone or in totality) indicate that the product is intended to be consumed or ingested by humans, or is a product regulated by this article. Other relevant factors that may be used to determine whether a product or sale is prohibited by this article include, but are not limited to: verbal or written representations at the point of sale regarding the purpose, methods, use, or effect of the product; aspects of the packaging or labeling suggesting that the user will achieve a "high," euphoria, relaxation, mood enhancement, or that the product has other effects on the body; the cost of the product is disproportionately higher than other products marketed for the same use; the product contains a warning label stating or suggesting that the product is in compliance with state laws regulating controlled substances; the product's name or packaging uses images or slang referencing an illicit street drug; illicit or underground methods of sale or delivery are employed by the seller or provider; the product resembles an illicit street drug such as cocaine, methamphetamine, or marijuana.
- C. Defense. It shall be a defense to the prosecution of a violation of this article that a product is specifically excepted by, or regulated within and in compliance with, state or federal law. For the purposes of this section, it shall not be a defense that a product is not subject to regulation unless the product is

specifically excepted from regulation; mere "non-regulation" by these acts without a specific regulatory exemption does not render a product exempt under this section.

### Sec. 32.7-XX Sale of Certain Products for Human Consumption Prohibited

It is unlawful for any person to provide, sell, or offer for sale a product for human consumption when the product is labeled "not for human consumption" or contains similar warnings.

Sec 32.7-XX Violation and Seizure

- A. Each package shall be a separate violation. The fine for each violation shall be \$500.
- B. Any products found in violation of this section may be seized and held as evidence to be used in any future proceeding and may be disposed of as appropriate after their use for evidentiary purposes is no longer required.



# **City Council Information Sheet**

**City of Auburn** 

Council Meeting Date: August 19, 2013

**Subject:** Executive Session

**Information:** Discussion on Labor Negotiations, pursuant to 1 M.R.S.A. §405(6)(D)

**Executive Session**: On occasion, the City Council discusses matters which are required or allowed by State law to be considered in executive session. Executive sessions are not open to the public. The matters that are discussed in executive session are required to be kept confidential until they become a matter of public discussion. In order to go into executive session, a Councilor must make a motion in public. The motion must be recorded, and 3/5 of the members of the Council must vote to go into executive session. An executive session is not required to be scheduled in advance as an agenda item, although when it is known at the time that the agenda is finalized, it will be listed on the agenda. The only topics which may be discussed in executive session are those that fall within one of the categories set forth in Title 1 M.R.S.A. Section 405(6). Those applicable to municipal government are:

- A. Discussion of personnel issues
- B. Discussion or consideration by a school board of suspension of expulsion
- C. Discussion or consideration of the condition, acquisition or the use of real or personal property permanently attached to real property or interests therein or disposition of publicly held property or economic development only if premature disclosures of the information would prejudice the competitive or bargaining position of the body or agency
- D. Labor contracts
- E. Contemplated litigation
- F. Discussions of information contained in records made, maintained or received by a body or agency when access by the general public to those records is prohibited by statute;
- G. Discussion or approval of the content of examinations administered by a body or agency for licensing, permitting or employment purposes; consultation between a body or agency and any entity that provides examination services to that body or agency regarding the content of an examination; and review of examinations with the person examined; and
- H. Consultations between municipal officers and a code enforcement officer representing the municipality pursuant to Title 30-A, section 4452, subsection 1, paragraph in the prosecution of an enforcement matter pending in District Court when the consultation relates to that pending enforcement matter.



### City Council Information Sheet

**City of Auburn** 

Council Meeting Date: August 19, 2013

**Subject:** Executive Session

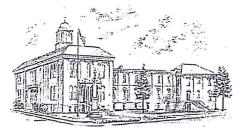
**Information:** Discussion on a personnel matter (County Budget Committee Nominations), pursuant to 1 M.R.S.A. §405(6)(A)

Executive Session: On occasion, the City Council discusses matters which are required or allowed by State law to be considered in executive session. Executive sessions are not open to the public. The matters that are discussed in executive session are required to be kept confidential until they become a matter of public discussion. In order to go into executive session, a Councilor must make a motion in public. The motion must be recorded, and 3/5 of the members of the Council must vote to go into executive session. An executive session is not required to be scheduled in advance as an agenda item, although when it is known at the time that the agenda is finalized, it will be listed on the agenda. The only topics which may be discussed in executive session are those that fall within one of the categories set forth in Title 1 M.R.S.A. Section 405(6). Those applicable to municipal government are:

- A. Discussion of personnel issues
- B. Discussion or consideration by a school board of suspension of expulsion
- C. Discussion or consideration of the condition, acquisition or the use of real or personal property permanently attached to real property or interests therein or disposition of publicly held property or economic development only if premature disclosures of the information would prejudice the competitive or bargaining position of the body or agency
- D. Labor contracts
- E. Contemplated litigation
- F. Discussions of information contained in records made, maintained or received by a body or agency when access by the general public to those records is prohibited by statute;
- G. Discussion or approval of the content of examinations administered by a body or agency for licensing, permitting or employment purposes; consultation between a body or agency and any entity that provides examination services to that body or agency regarding the content of an examination; and review of examinations with the person examined; and
- H. Consultations between municipal officers and a code enforcement officer representing the municipality pursuant to Title 30-A, section 4452, subsection 1, paragraph in the prosecution of an enforcement matter pending in District Court when the consultation relates to that pending enforcement matter.

# ANDROSCOGGN COUNTY

COMMISSIONERS Randall.A. Greenwood, Chairperson Elaine Makas, Commissioner Beth C. Bell, Commissioner



CLERK Patricia Fournier

To: Auburn City Council

FROM: County Commissioners

DATE: August 9, 2013

SUBJECT: Nominations for Androscoggin Budget Committee – District 5

The Commissioners have scheduled a meeting to caucus for the purpose of accepting nominations for the Androscoggin County Budget Committee.

The caucus is being held in accordance with the County Charter and the new Commissioner Districts. I'm enclosing Page 11 of the County Charter, 30-A MRSA §722(2) which governs the nominating process and the maps outlining the new seven Commissioner Districts.

The meeting is scheduled for Wednesday, August 21, 2013 at 7:00 p.m. for District 5. The meeting will be held in the Law Library of Androscoggin County Building. Ballots must be returned to the County Commissioners no later than 5:00 pm. on September 10, 2013.

paf



COMMISSIONERS Randall.A. Greenwood, Chairperson Elaine Makas, Commissioner Beth C. Bell, Commissioner



CLERK Patricia Fournier

To: Auburn City Council

Board of Selectmen Town of Mechanic Falls

Board of Selectmen Town of Poland

FROM: County Commissioners

DATE: August 9, 2013

SUBJECT: Nominations for Androscoggin Budget Committee - District 6

The Commissioners have scheduled a meeting to caucus for the purpose of accepting nominations for the Androscoggin County Budget Committee.

The caucus is being held in accordance with the County Charter and the new Commissioner Districts. I'm enclosing Page 11 of the County Charter, 30-A MRSA §722(2) which governs the nominating process and the maps outlining the new seven Commissioner Districts.

The meeting is scheduled for Wednesday, August 21, 2013 at 7:15 p.m. for District 6. The meeting will be held in the Law Library of Androscoggin County Building. Ballots must be returned to the County Commissioners no later than 5:00 pm. on September 10, 2013.

paf

- **5.5.5 Membership:** There shall be two Budget Committee members from each district whose terms will begin 120 days prior to the beginning of the fiscal year. A Budget Committee vacancy will occur when a representative no longer qualifies for membership.
  - 5.5.5.1 Nominating Caucus: The Board shall notify all municipal officers in the County to caucus by County Commissioner District at a specified date, time, and place for the purpose of nominating two (2) residents of the district of voting age as candidates for the County Budget Committee. At least one (1) of the persons nominated must be a municipal official as defined in 30-A M.R.S.A. § 722(2). A County Commissioner shall serve as the nonvoting moderator for his or her district caucus. Nominations shall be received from the floor and require a majority vote of those present to be approved. The names of those duly nominated shall be recorded and forwarded to the Board to be placed on a written ballot.
  - 5.5.2 The Board shall have written ballots printed with the names of those candidates selected in each County Commissioner District. Each Commissioner District shall require a separate ballot and each ballot shall specify each candidate's full name and municipality. The Board shall distribute the appropriate ballots to each municipality within a Commissioner District. The municipal officers shall vote, as a board, for two (2) Budget Committee members from the candidates on the ballot. The municipal officers must vote for at least one (1) candidate who is a municipal official. After voting, the municipal officers shall return the ballot to the Board by a certain date.
  - 5.5.5.3 The ballots shall be counted at a regular meeting of the Board. Each municipality's vote shall be weighted according to the formula set out in appendix B to this Charter to ensure that each municipality's vote reflects its proportion of the Commissioner District's total population. The candidate with the highest vote total and who is a municipal official and the candidate with the otherwise highest vote total are elected to membership on the County Budget Committee for each district. The Board shall:
    - Notify each municipality, in writing, of the election results;
    - Certify the results to the Secretary of State.
  - **5.5.5.4 Appendices:** The appendices are not part of the Charter itself and shall be amended after each decennial census to reflect any changes in the Commissioner District boundary descriptions or apportionment figures made necessary by changes in population. The multipliers shall be adjusted after each decennial census.
  - **5.5.5.5 Term of Office:** The term of office for Budget Committee members is three (3) years.

### 30-A §722. DEFINITIONS

#### 30-A §722. DEFINITIONS

As used in this article, unless the context otherwise indicates, the following terms have the following meanings. [1987, c. 737, Pt. A, §2 (NEW); 1987, c. 737, Pt. C, §106 (NEW); 1989, c. 6, (AMD); 1989, c. 9, §2 (AMD); 1989, c. 104, Pt. C, §§8, 10 (AMD).]

 County commissioners. "County commissioners" means the county commissioners of Androscoggin County.

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[ 1987, c. 737, Pt. A, §2 (NEW); 1987, c. 737, Pt. C, §106 (NEW); 1989, c. 6, (AMD); 1989, c. 9, §2 (AMD); 1989, c. 104, Pt. C, §§8, 10 (AMD)
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2. Municipal officials. "Municipal officials" means the mayor, aldermen, councillors or manager of a city and the selectmen, councillors or manager of a town located in Androscoggin County.

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[ 1987, c. 737, Pt. A, §2 (NEW); 1987, c. 737, Pt. C, §106 (NEW); 1989, c. 6, (AMD); 1989, c. 9, §2 (AMD); 1989, c. 104, Pt. C, §§8, 10 (AMD) .]
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#### SECTION HISTORY

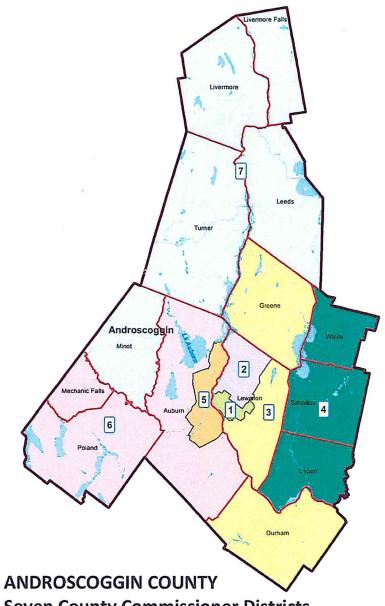
1987, c. 737, §§A2,C106 (NEW). 1989, c. 6, (AMD). 1989, c. 9, §2 (AMD). 1989, c. 104, §§C8,10 (AMD).

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### **Seven County Commissioner Districts**

Population:	107,702
Ideal District:	15,386

District 1:	15,257	-0.84%
District 2:	14,840	-3.55%
District 3:	14,693	-4.50%
District 4:	15,501	+0.75%
District 5:	15,666	+1.82%
District 6:	15,796	+2.66%
District 7:	15.949	+3.66%

