

## Executive Summary

### AP-05 Executive Summary - 91.200(c), 91.220(b)

#### 1. Introduction

The 2016 Annual Action Plan is the second year of the 2015-2019 Consolidated Plan for the City of Auburn. The City of Lewiston is a consortium member through approval of the Mutual Cooperation Agreement. Through a new integrated process, the Community Development Block Grant (CDBG) Program and HOME Investment Partnerships (HOME) Program of both cities are incorporated into this Annual Action Plan. The City of Auburn's plan includes the HOME Investment Partnerships Program for

both Auburn and Lewiston, and Community Development Block Grant for Auburn. The City of Lewiston's Annual Action Plan includes the Community Development Block Grant Program for Lewiston. Each City oversees its own Community Development Program, and Auburn administers the HOME Program for both cities.

HOME Consortium combined programs are Homebuyer Assistance, Home Owner Rehab, and Security Deposit Programs with shared guidelines adopted by the City Council of the City of Auburn. Programs are administered by each City with some staff sharing from time to time. Projects and special activities are authorized by each City Council through the budget process. Loans are approved by each city through their respective loan committees and/or City Councils.

The City of Auburn will receive \$511,800 dollars from the Community Development Block Grant Program, \$240,223 in anticipated program income, and \$429,745 in carryover funds for a total of \$1,181,768. The City will also receive \$168,137 from the HOME Investment Partnerships Program, \$131.985 in anticipated program income, and \$366,012 in carryover funds for a total of \$666,134.

The City of Lewiston will receive \$155,204 from the HOME Investment Partnerships Program, \$89,551 in anticipated program income, and \$515,830 in carry over funds for a total availability of \$760,585.

The national purpose of the CDBG program is "... the development of viable urban communities, by providing decent housing and a suitable living environment, and expanding economic opportunities principally for persons of low and moderate income." The national purpose of the HOME program is "to create affordable housing for low-income households."

Community Development funds are spent in a "target area" in the community in which there is a high proportion of low and moderate income people. The uses of the funds are limited to housing improvements, infrastructure improvements, job creation, and social services. So long as the national

test is met, there is considerable flexibility in the use of the funds, although social service spending has a cap of 15% of available program funds.

## **2. Summarize the objectives and outcomes identified in the Plan**

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The City of Auburn's strategic plan has 8 high priority and 1 low priority goals.

1. The High Priority goals are to:
  1. Support people in their efforts to transition out of poverty
  2. Prevent deterioration of housing stock
  3. Promote jobs and development
  4. Make neighborhood streets safer and more walkable
  5. Prevent homelessness
  6. Increase owner occupancy
  7. Improve parks and establish community gardens
  8. Support construction of new affordable housing

1. The low priority goal is:

1. Support fair housing

The City of Lewiston is a recipient of HOME Investment Partnerships Program funds through the City of Auburn. The City of Lewiston has 3 high priority goals for its HOME funds:

1. Prevent homelessness
2. Improve the safety and energy efficiency of the housing stock
3. Create more stable and diverse mixed-income neighborhoods

## **3. Evaluation of past performance**

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

Below is an assessment of the accomplishments against the goals identified in the City of Auburn 2015-2019 Consolidated Plan. Accomplishments at the end of the first year should be approximately 20% of the production goals.

**Auburn Goal/Year 1 Accomplishment/Percent of Goal Met**

Support People in their Efforts to Transition out of Poverty Persons/151/16%

Prevent Deterioration of Housing Stock Homeowner Housing Units/13/15%

Prevent Deterioration of Housing Stock Lead Safe Housing Units/17/17%

Prevent Deterioration of Housing Stock Code Enforcement Units/49/10%

Promote jobs and Development businesses/0/0%

Safer and Walkable Streets Linear Feet Sidewalks/0/0%

Prevent Homelessness persons/48/24%

Increase Owner Occupancy/1/4%

Improve Parks/1 park upgraded/100%

Support Community Gardens/1 garden/33%

Support Construction of Affordable Housing/0/0%

Support Fair Housing/1 poster contest/25%

**Lewiston Goal/Year 1 Accomplishment/Percent of Goal Met**

Prevent Homelessness/13/10%

Improve Safety and Energy Efficiency of Housing Stock rehabilitation/0/0%

Improve Safety and Energy Efficiency of Housing Stock/rental/0/0%

Create Stable and Diverse Mixed Income Neighborhood Owners/1/6%

**4. Summary of Citizen Participation Process and consultation process**

Summary from citizen participation section of plan.

A subcommittee of the Citizens Advisory Committee held several meetings to prepare for reviewing public service proposals. They met on December 14, 2015 to review the Request for Public Services Proposals format and made recommendations for changes to the document. Rating sheets and the submitted proposals were picked up by committee members on January 15, 2016. The Committee held agency interviews on February 9th, then made decisions about awards. A record of the meeting is summarized in Citizen Participation Comments under Attachments/AD-26 Administration, Meeting Record of Subcommittee for Public Services.

The Citizens Advisory met on March 30, 2016 where 11 of the 15 members were present. Staff provided an overview of the strategies and goals of the Consolidated Plan and the progress that was made in the first year of the plan. Two issues contributed to the lagging production, need to modify program guidelines, and the hold up of the sidewalk project where funding was being considered for a Neighborhood Reinvestment Unit proposal. The NRU proposal as presented was not supported by the City Council. In lieu of the sidewalk project, funding has been allocated to a street reconstruction project.

The record of the committee meeting is summarized in Citizen Participation Comments in Attachments/ AD-26 Administration, Meeting Record of Citizen Advisory Committee. There was no citizen input on the changes to the budget on May 2nd.

## **5. Summary of public comments**

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

Citizen Advisory Committee expressed concern that the funds for sidewalks/lighting will be reallocated to the New Auburn Park. There was concern about lighting in the area of the PAL Center. The committee would like to see a cost comparison of lighting options including solar lighting. The committee would like to see a master planning effort for Union Street Park. There was also concern about damage caused by plowing the sidewalks where curbs have been torn up. See Citizen Participation Comments in Appendix/ AD-26 Administration, Meeting Record of Citizen Advisory Committee.

## **6. Summary of comments or views not accepted and the reasons for not accepting them**

All views were accepted; however, the lighting project is not currently in the budget, nor is there funding for master planning of Union Street Park. These projects will need to be considered in another Action Plan.

## **7. Summary**

The Citizen Advisory Committee expressed concern about some projects not moving forward, but agreed to support the budget as presented.

In the development of the 2015-19 Consolidated Plan there was extensive participation by the Citizens Advisory Committee as well as extensive outreach in the community. Nine Bates College students circulated amongst the three target areas to survey residents. In all 75 people were surveyed. Results were delivered by students at an advisory committee meeting. Further two neighborhood meetings were held with good attendance. Information from these outreach efforts helped to inform the CAC on resident priorities and weighed heavily when CAC members set priorities. These priorities have primarily guided the 2016 Annual Action Plan.

At the May 16th meeting, prior to adoption of the 2016 Annual Action Plan, 4 program amendments were proposed by the City Council and adopted. The amendments are as follows:

1) Delete the HOME activity in the amount of \$225,000 for acquisition and rehabilitation of 2 single family homes to create homeownership opportunities. In lieu, use these funds to create a co-operative housing concept for home ownership.

2) Decrease the CDBG activity in the amount of \$25,000 for Rehabilitation Loan Program. In lieu, use these funds to create a challenge grant in one of the target areas to provide a financial incentive to residents, tenants, property owners or business owners to increase appeal, rally volunteer services, increase donations of materials and talents.

3) Eliminate the CDBG activity in the amount of \$312,050 for sidewalk project. In lieu, use these funds for reconstruction of Hampshire Street.

4) Eliminate the CDBG activity in the amount of \$200,000 for Commercial and Small Business Loan Program. In lieu, use these funds to create a Storefront Traffic Accelerates Revitalization Program in one of the three target areas providing 50% grants for storefront improvements.

**PR-05 Lead & Responsible Agencies - 91.200(b)**

**1. Agency/entity responsible for preparing/administering the Consolidated Plan**

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
Lead Agency	AUBURN	
CDBG Administrator	AUBURN	City of Auburn Community Development Department
HOPWA Administrator		
HOME Administrator	AUBURN	City of Auburn Community Development Department
HOPWA-C Administrator		

**Table 1 – Responsible Agencies**

**Narrative**

**Consolidated Plan Public Contact Information**

Michael Chamings, Economic and Community Development Director

City of Auburn, 60 Court Street, Auburn, ME 04210

(O) 207-333-6601 ext. 1218

(F) 207-333-6625

[mchammings@auburnmaine.gov](mailto:mchammings@auburnmaine.gov)



## **AP-10 Consultation - 91.100, 91.200(b), 91.215(l)**

### **1. Introduction**

**Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l)).**

The consultation with public service agencies was part of an extensive Consolidated Plan process that started in 2015. The Cities of Auburn and Lewiston surveyed local providers and agencies about the needs of the community as a whole and specifically the needs of youth, families, the elderly, and people transitioning to employment. Fifty agency representatives participated in the Social Service Consultation Survey. Survey results were presented at a joint meeting of the Lewiston and Auburn Citizens Advisory Committees. The surveys helped to inform CAC committee members about the needs of the community, and carried great weight in setting strategies and goals of the Consolidated Plan.

This consultation process helped to direct two approaches selected by the Citizens Advisory Committee:

- Support people in their efforts to transition out of poverty by 1) helping young people to get the personal and educational skills needed to live a healthy and productive life, and expand programming for at-risk teenagers that helps them learn work skills and graduate from high school, and 2) support adults to successfully provide for themselves and their families through education and development of employment skills including mentoring, work readiness, and job training programs .
- Prevent homelessness by supporting homeless people with housing first, then with improved access to services. All of the public service programs address these two high priorities.

**Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

The Maine State Housing Authority works with homeless service providers and other organizations towards a shared goal of preventing and eliminating homelessness in Maine. Collaborative efforts include the Continuum of Care (COC) a group of service providers serving a particular geographic area

who work together to develop programs that address homelessness. Lewiston and Auburn are part of the Maine Balance of State Continuum of Care.

Locally, providers who address the continuum of homeless services for the area collaborate through the Lewiston-Auburn Alliance for Services to the Homeless (LAASH). LAASH works to improve access to services and housing for persons who are homeless or at risk, shares information and strengthens cooperation among local agencies and homeless providers, identifies gaps in services, increases public awareness about homeless issues, and seeks funding to service the homeless and at-risk. The group meets monthly to focus on local homeless issues and provide a forum for educating its members. Auburn and Lewiston Community Development staff participate in LAASH, and a consultation meeting with LAASH informed the needs and priorities of the Consolidated Plan.

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

Not Applicable

**2. Agencies, groups, organizations and others who participated in the process and consultations**

**Table 2 – Agencies, groups, organizations who participated**

1	<b>Agency/Group/Organization</b>	ANDROSCOGGIN HEAD START AND CHILD CARE
	<b>Agency/Group/Organization Type</b>	Services-Children
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social Service Consultation survey
2	<b>Agency/Group/Organization</b>	COMMUNITY CONCEPTS, INC.
	<b>Agency/Group/Organization Type</b>	Services - Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social service consultation survey
3	<b>Agency/Group/Organization</b>	CATHOLIC CHARITIES MAINE
	<b>Agency/Group/Organization Type</b>	Faith Based social services
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social service consultation survey Homeless consultation
4	<b>Agency/Group/Organization</b>	Museum LA
	<b>Agency/Group/Organization Type</b>	Local history
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Anti-poverty Strategy

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social service consultation survey
5	<b>Agency/Group/Organization</b>	AMERICAN RED CROSS (UNITED VALLEY CHAPTER)
	<b>Agency/Group/Organization Type</b>	Services-Health
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social service consultation survey
6	<b>Agency/Group/Organization</b>	THE VISIBLE COMMUNITY
	<b>Agency/Group/Organization Type</b>	Downtown
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social service consultation survey
7	<b>Agency/Group/Organization</b>	Lewiston Adult Education
	<b>Agency/Group/Organization Type</b>	Services-Education
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social service consultation surveyHomeless consultation
8	<b>Agency/Group/Organization</b>	City of Lewiston - General Assistance
	<b>Agency/Group/Organization Type</b>	Local

	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social service consultation surveyHomeless consultation
9	<b>Agency/Group/Organization</b>	TREE STREET YOUTH
	<b>Agency/Group/Organization Type</b>	Services-Children
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social service consultation survey
10	<b>Agency/Group/Organization</b>	Restorative Justice Institute of Maine
	<b>Agency/Group/Organization Type</b>	Services-Children
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social service consultation survey
11	<b>Agency/Group/Organization</b>	Healthy Androscoggin
	<b>Agency/Group/Organization Type</b>	Health Agency
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social service consultation survey
12	<b>Agency/Group/Organization</b>	USm Lewiston Auburn College
	<b>Agency/Group/Organization Type</b>	Services-Education

	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social service consultation survey
13	<b>Agency/Group/Organization</b>	NEW BEGINNINGS, INC.
	<b>Agency/Group/Organization Type</b>	Services-homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Needs - Unaccompanied youth Homelessness Strategy Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social service consultation surveyHomeless consultation
14	<b>Agency/Group/Organization</b>	Maine People's Alliance
	<b>Agency/Group/Organization Type</b>	grass roots organizing
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social service consultation survey
15	<b>Agency/Group/Organization</b>	City of Auburn Recreation Dept
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social service consultation survey
16	<b>Agency/Group/Organization</b>	Lewiston Career Center
	<b>Agency/Group/Organization Type</b>	Services-Employment

	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social Service consultation survey
17	<b>Agency/Group/Organization</b>	CMMC
	<b>Agency/Group/Organization Type</b>	Services-Health
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social Service consultation survey
18	<b>Agency/Group/Organization</b>	Center for Women's Wisdom
	<b>Agency/Group/Organization Type</b>	Services-Victims of Domestic Violence
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social Service consultation survey
19	<b>Agency/Group/Organization</b>	TEDFORD HOUSING
	<b>Agency/Group/Organization Type</b>	Services-homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social Service consultation surveyHomeless consultation

20	<b>Agency/Group/Organization</b>	TRI-COUNTY MENTAL HEALTH SERVICES
	<b>Agency/Group/Organization Type</b>	Services-Health
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social Service consultation survey Homeless consultation
21	<b>Agency/Group/Organization</b>	Seniors Plus
	<b>Agency/Group/Organization Type</b>	Services-Elderly Persons
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social Service consultation survey
22	<b>Agency/Group/Organization</b>	Learning Works
	<b>Agency/Group/Organization Type</b>	Services-Children
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social Service consultation survey
23	<b>Agency/Group/Organization</b>	SAFE VOICES
	<b>Agency/Group/Organization Type</b>	Services-Victims of Domestic Violence



	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Families with children Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social Service consultation survey Homeless consultation
24	<b>Agency/Group/Organization</b>	Western Maine Community Action
	<b>Agency/Group/Organization Type</b>	Services - Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social Service consultation survey
25	<b>Agency/Group/Organization</b>	Horn of Africa Aid and Rehabilitation Action Network
	<b>Agency/Group/Organization Type</b>	Immigrant
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social Service consultation survey
26	<b>Agency/Group/Organization</b>	ADVOCATES FOR CHILDREN
	<b>Agency/Group/Organization Type</b>	Services-Children
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social Service consultation survey

27	<b>Agency/Group/Organization</b>	YWCA OF CENTRAL MAINE
	<b>Agency/Group/Organization Type</b>	Women
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Social Service consultation survey
28	<b>Agency/Group/Organization</b>	United Way of Androscoggin County
	<b>Agency/Group/Organization Type</b>	Services-homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Homeless consultationj
29	<b>Agency/Group/Organization</b>	Sexual Assault Prevention and Response Services
	<b>Agency/Group/Organization Type</b>	Services - Victims Homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Families with children
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Homeless consultation
30	<b>Agency/Group/Organization</b>	Preble Street Veterans Housing Services
	<b>Agency/Group/Organization Type</b>	Services-homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Needs - Veterans

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Homeless consultation
31	<b>Agency/Group/Organization</b>	City of Auburn Social Services
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Homeless consultation

**Identify any Agency Types not consulted and provide rationale for not consulting**

**Other local/regional/state/federal planning efforts considered when preparing the Plan**

<b>Name of Plan</b>	<b>Lead Organization</b>	<b>How do the goals of your Strategic Plan overlap with the goals of each plan?</b>
Continuum of Care	n/a	City has adopted 10-Year Plan to End Homelessness
Lewiston-Auburn 10-Year Plan to End Homelessness	Cities of Auburn and Lewiston	The strategic plan incorporates the goals articulated in the plan where appropriate and relevant
Analysis of Impediments to Fair Housing Choice	Cities of Auburn and Lewiston	The strategic plan incorporates the goals articulated in the plan where appropriate and relevant
New Auburn Master Plan	City of Auburn	The strategic plan incorporates the goals articulated in the plan where appropriate and relevant
Auburn Comprehensive Plan	City of Auburn	The strategic plan incorporates the goals articulated in the plan where appropriate and relevant

**Table 3 – Other local / regional / federal planning efforts**

**Narrative**

The Cities of Auburn and Lewiston formed a consortium to qualify for HOME Investment Partnerships Program funds. The 3-year HOME Consortium Plan includes a consultation process with Maine State Housing Authority.

Lewiston and Auburn Community Development Department staff both participate in the Lewiston-Auburn Alliance for Services to the Homeless (LAASH) which meets monthly.

As part of the process to develop the 2015-19 Consolidated Plan, the Auburn and Lewiston Citizens Advisory Committees held a joint meeting to discuss the results of the social service consultation survey and to consider common goals and opportunities to coordinate programs as appropriate. Auburn and Lewiston Community Development staff work closely on both the development and implementation of the plan.

**AP-12 Participation - 91.401, 91.105, 91.200(c)**

**1. Summary of citizen participation process/Efforts made to broaden citizen participation  
Summarize citizen participation process and how it impacted goal-setting**

Outreach for the 2016 Annual Action Plan was through the issuance of a Request for Proposals for Public Services, participation by the Citizens Advisory Committee, and a Public Hearing held by the Auburn City Council on May 2, 2016. The Citizens Advisory Committee meeting was open to the public; notices were published on the City’s website.

Notices were placed in the Lewiston Sun Journal announcing the availability of the Draft 2016 Annual Action Plan, the 30-day comment period, and the public hearing. Legal notices are attached in Grantee Unique Appendices of Attachments under AD-26 Administration.

**Citizen Participation Outreach**

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Website Notice	Non-targeted/broad community  Citizens Advisory Committee	Citizens Advisory Committee Meeting of March 30, 2016	No members of the public attended, only CAC members. Meeting record is attached.		

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Newspaper Ad	Non-targeted/broad community	Many people were in the audience, including three from supported agencies. Only one spoke.	Literacy Volunteers spoke of the value of providing tutoring services.		
3	Newspaper Ad	Non-targeted/broad community	No comments	No comments		

**Table 4 – Citizen Participation Outreach**

## Expected Resources

### AP-15 Expected Resources - 91.420(b), 91.220(c)(1,2)

#### Introduction

The City of Auburn is expecting \$1,181,768 in Community Development resources to carry out the 2016 Annual Action Plan, and \$1,426,719 from HOME Investment Partnerships Program funds that will be shared between Auburn and Lewiston. These resources plus leveraged resources will be used to carry out the strategies identified in the 2015-19 Consolidated Plan and 2016 Annual Action Plan.

#### Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	511,800	240,223	429,745	1,181,768	2,256,000	Community Development funds will support 7 of the goals. High priority goals are support people in their efforts to transition out of poverty; prevent deterioration of housing stock; promote jobs and development; make neighborhood streets safer and more walkable; prevent homelessness; improve parks and establish community gardens. The low priority goals is to support fair housing

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	323,341	221,536	881,842	1,426,719	1,650,000	HOME funds will support all 4 high priority goals: prevent deterioration of housing stock; prevent homelessness; increase owner occupancy, and support new housing construction for Auburn and Lewiston.

Table 1 - Expected Resources – Priority Table

**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

Community Development funds that leverage additional resources are primarily the public service activities. A limited amount of resources will be leveraged through the STAR Program where there is a 50% match. The weatherization program provides a 50% match from Department of Energy funds. The Lead Hazard Control Grant for which Auburn is a subrecipient will provide a 90% grant to the City’s 10% CDBG match.

Terms for rehabilitation loan programs vary. Investor programs to improve the housing stock require a 25% match. Several programs that target low income populations are deferred payment loans and have no match requirements.



Federal HOME funds will leverage private resources primarily through homebuyer activities. Lewiston has a rental development project in the cue for the upcoming LIHTC competition; however, if selected the project will not produce match in FY2016. The Consortium does not anticipate substantial match in the coming year. The match will come primarily from projects funded under the Homebuyer Loan Program.

**If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan**

15 Academy Street and 186 Main Street is available for construction of affordable rental housing.

**Discussion**

Both parcels are City owned and were purchased for the elimination of blight and for redevelopment. Either would be appropriate for housing. 15 Academy Street is a parcel adjacent to the Academy Street Townhouses which was developed with NSP-3 funds. Discussions are ongoing with a developer for 186 Main Street.

## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

#### Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Anti-poverty/Auburn	2015	2019	Non-Housing Community Development	DOWNTOWN TARGET AREA NEW AUBURN TARGET AREA UNION STREET TARGET AREA	Anti-poverty/Auburn	CDBG: \$83,635	Public service activities other than Low/Moderate Income Housing Benefit: 210 Persons Assisted
2	Prevent Deterioration of Housing Stock/Auburn	2015	2019	Affordable Housing	DOWNTOWN TARGET AREA NEW AUBURN TARGET AREA UNION STREET TARGET AREA	Prevent Deterioration of Housing Stock/Auburn	CDBG: \$418,033 HOME: \$119,634	Rental units rehabilitated: 28 Household Housing Unit Homeowner Housing Rehabilitated: 16 Household Housing Unit Housing Code Enforcement/Foreclosed Property Care: 100 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
3	Promote Jobs and Development/Auburn	2015	2019	Non-Housing Community Development	DOWNTOWN TARGET AREA NEW AUBURN TARGET AREA UNION STREET TARGET AREA	Promote Jobs and Development/Auburn	CDBG: \$200,000	Facade treatment/business building rehabilitation: 4 Business
4	Make Neighborhood Streets Safe and Walkable/Auburn	2015	2019	Non-Housing Community Development	UNION STREET TARGET AREA	Make Neighborhood Streets Safe and Walkable/Auburn	CDBG: \$312,050	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 2305 Persons Assisted
5	Prevent Homelessness/Auburn	2015	2019	Homeless	DOWNTOWN TARGET AREA NEW AUBURN TARGET AREA UNION STREET TARGET AREA	Prevent Homelessness/Auburn	CDBG: \$18,000 HOME: \$90,000	Public service activities other than Low/Moderate Income Housing Benefit: 71 Persons Assisted Homelessness Prevention: 41 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
6	Increase Owner Occupancy/Auburn	2015	2019	Affordable Housing	DOWNTOWN TARGET AREA NEW AUBURN TARGET AREA UNION STREET TARGET AREA	Increase Owner Occupancy/Auburn	HOME: \$75,000	Direct Financial Assistance to Homebuyers: 5 Households Assisted
7	Improve Parks and Community Gardens/Auburn	2015	2019	Non-Housing Community Development	DOWNTOWN TARGET AREA NEW AUBURN TARGET AREA UNION STREET TARGET AREA	Improve Parks and Community Gardens/Auburn	CDBG: \$5,950	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 24 Persons Assisted
8	Support Construction of New Affordable Housing/Aub	2015	2019	Affordable Housing	DOWNTOWN TARGET AREA NEW AUBURN TARGET AREA UNION STREET TARGET AREA	Support Construction of New Affordable Housing/Aub	HOME: \$325,000	Rental units rehabilitated: 4 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
9	Fair Housing/Aub	2015	2019	Fair Housing and Housing Choice	DOWNTOWN TARGET AREA NEW AUBURN TARGET AREA UNION STREET TARGET AREA	Support Fair Housing/Auburn	CDBG: \$2,000	Other: 2 Other
10	Prevent Homelessness/Lewiston	2015	2019	Affordable Housing	Lewiston Target Areas	Prevent Homelessness/Lewiston	HOME: \$14,871	Housing for Homeless added: 21 Household Housing Unit
11	Improve Safety & Efficiency of Housing/Lewiston	2015	2019	Affordable Housing	Lewiston Target Areas	Improve the Safety & Energy Efficiency/Lewiston	HOME: \$190,120	Homeowner Housing Rehabilitated: 5 Household Housing Unit
12	Create Mixed Income Neighborhoods/Lewiston	2015	2019	Affordable Housing	Lewiston Target Areas	Create Mixed-Income Neighborhoods/Lewiston	HOME: \$540,960	Rental units constructed: 19 Household Housing Unit Rental units rehabilitated: 2 Household Housing Unit Direct Financial Assistance to Homebuyers: 2 Households Assisted

**Table 2 – Goals Summary**

## Goal Descriptions

1	<b>Goal Name</b>	Anti-poverty/Auburn
	<b>Goal Description</b>	Support People in their Efforts to Transition Out of Poverty
2	<b>Goal Name</b>	Prevent Deterioration of Housing Stock/Auburn
	<b>Goal Description</b>	
3	<b>Goal Name</b>	Promote Jobs and Development/Auburn
	<b>Goal Description</b>	Promote Jobs and Development
4	<b>Goal Name</b>	Make Neighborhood Streets Safe and Walkable/Auburn
	<b>Goal Description</b>	Make Neighborhood Streets Safer and More Walkable
5	<b>Goal Name</b>	Prevent Homelessness/Auburn
	<b>Goal Description</b>	
6	<b>Goal Name</b>	Increase Owner Occupancy/Auburn
	<b>Goal Description</b>	
7	<b>Goal Name</b>	Improve Parks and Community Gardens/Auburn
	<b>Goal Description</b>	

8	<b>Goal Name</b>	Support Construction of New Affordable Housing/Aub
	<b>Goal Description</b>	
9	<b>Goal Name</b>	Fair Housing/Aub
	<b>Goal Description</b>	Support Fair Housing and Increase Housing Choice
10	<b>Goal Name</b>	Prevent Homelessness/Lewiston
	<b>Goal Description</b>	As a first step towards helping the homeless or at-risk households re-integrate into the community provide a City-sponsored Security Deposit Program as well as other "housing first" strategies.
11	<b>Goal Name</b>	Improve Safety & Efficiency of Housing/Lewiston
	<b>Goal Description</b>	Provide grants and low-interest loans for the rehabilitation of owner and rental housing that is occupied by low and moderate income households, including energy efficiency, weatherization and emergency repair for buildings. Focus on buildings with 1-4 units, and consider expanding to include larger multi-family buildings. Continue to work to combine multiple funding sources to make the most impact on individual buildings.
12	<b>Goal Name</b>	Create Mixed Income Neighborhoods/Lewiston
	<b>Goal Description</b>	elp renters become owners with income qualified down payment and closing cost assistance and continue to require first-time homeowner and landlord classes.

**Table 3 – Goal Descriptions**

## AP-35 Projects - 91.420, 91.220(d)

### Introduction

Projects/Programs were identified by the Citizens Advisory Committee through the planning process for the Consolidated Plan.

#	Project Name
1	Anti-Poverty/Auburn
2	Prevent Deterioration of Housing Stock/Auburn
3	Promote Jobs and Development/Auburn
4	Safe and Walkable Streets/Auburn
5	Prevent Homelessness/Auburn
6	Increase Owner Occupancy/Auburn
7	Improve Parks and Support Community Gardens/Auburn
8	Construction of New Affordable Housing/Auburn
9	Fair housing and Increase Housing Choice/Auburn
10	Home Administration/Auburn
11	HOME Project Delivery Costs/Auburn
12	Administration of Community Development Program/Auburn
13	Prevent Homelessness/Lewiston
14	Improve Safety & Efficiency/Lewiston
15	Create Mixed Income Neighborhoods/Lewiston
16	HOME Administration/Lewiston

**Table 3 – Project Information**

### **Describe the reasons for allocation priorities and any obstacles to addressing underserved needs**

Priorities have been determined by a Citizens Advisory Committee appointed by the Auburn City Council in 2014. This is a 5-year standing committee.



# AP-38 Project Summary

## Project Summary Information

Table 4 – Project Summary

<b>1</b>	<b>Project Name</b>	Anti-Poverty/Auburn
	<b>Target Area</b>	DOWNTOWN TARGET AREA NEW AUBURN TARGET AREA UNION STREET TARGET AREA
	<b>Goals Supported</b>	Anti-poverty/Auburn
	<b>Needs Addressed</b>	Anti-poverty/Auburn
	<b>Funding</b>	CDBG: \$83,635
	<b>Description</b>	1. Focus on helping young people growing up in poverty to get the personal and educational skills needed to live a healthy and productive adult life. a. Expand programming for at-risk teenagers that helps them learn work skills, graduate from high school. b. Create youth apprenticeship opportunities with local businesses.2. Support low-income adults to successfully provide for themselves and their families through education and development of employment skills including mentoring, work readiness, and job training programs.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	210 persons
	<b>Location Description</b>	n/a

	<b>Planned Activities</b>	<p>The Community Development Department released an application for public service funding on February 14, 2015. The RFP was revised to include the new anti-poverety strategy along with the scoring criteria. Applications were received from 10 social service agencies. A subcommittee of the Citizens Advisory Committee ranked the applications and made their selections. Four applications were selected under the anti-poverty category. Budget \$83,635</p> <p>Androscoggin Head Start and Child Care: Provide social services for families enrolled in head start at Webster School. Budget \$9,000</p> <p>Literacy Volunteers of America/Androscoggin: Recruit, train and support volunteers to provide tutoring to illeterate adults and families. Budget \$8,635</p> <p>Auburn Police Department: Implement Work with ME Program. Work with Auburn School Department, Career Center, and local business owners/tradesmen to provide soft skills and job specific skills to at risk and homeless youth. Budget 40,000</p> <p>Community Concepts, Inc.: Implement Bridges out of Poverty Program. Introducde concepts by teaching "Getting Ahead in a Just Getting by World", provide 8 weeks of case managaement and support to ensure success, recruit and train community memebers to mentor the graduating individuals. Budget \$11,000</p> <p>Recreation Scholarships: Scholarships for children to participate in summer recreation programming. Budget \$15,000</p>
<b>2</b>	<b>Project Name</b>	Prevent Deterioration of Housing Stock/Auburn
	<b>Target Area</b>	DOWNTOWN TARGET AREA NEW AUBURN TARGET AREA UNION STREET TARGET AREA
	<b>Goals Supported</b>	Prevent Deterioration of Housing Stock/Auburn

<b>Needs Addressed</b>	Prevent Deterioration of Housing Stock/Auburn
<b>Funding</b>	CDBG: \$418,033 HOME: \$119,634
<b>Description</b>	1) Provide grants, deferred loans, and low-interest loans for the rehabilitation of owner and rental housing that is occupied by low and moderate income households; 2) Provide matching funds to implement the 3-year federal grant to reduce childhood lead poisoning; 3) Financially support code enforcement activities that result in improvements to the housing stock; 4) Provide financial assistance to encourage exterior, as well as health and safety improvements; and 5) Provide Community Development staff support to the Lewiston-Auburn Lead Subcommittee to assist with implementation of the 5-year Strategic Action Plan for the Reduction of Childhood Lead Poisoning in the Cities of Auburn and Lewiston.
<b>Target Date</b>	6/30/2017
<b>Estimate the number and type of families that will benefit from the proposed activities</b>	28 renters; 16 owners; families and elderly
<b>Location Description</b>	Primarily Downtown, New Auburn and Union Street Target Areas

	<b>Planned Activities</b>	<ul style="list-style-type: none"> <li>• CDBG Salary and fringe benefits for administration of housing programs, Budget \$93,000</li> <li>• CDBG Rehabilitation Program including lead testing, Budget \$225,743</li> <li>• CDBG Weatherization improvements through subrecipient Community Concepts, Inc., Budget \$16,500</li> <li>• CDBG Code Enforcement activities, Budget \$50,000</li> <li>• HOME Homeowner Rehabilitation, Budget \$119,634</li> <li>• Lead Testing, Budget \$7,790Challenge Grant, Budget \$25,000</li> </ul>
<b>12343</b>	<b>Project Name</b>	Promote Jobs and Development/Auburn
	<b>Target Area</b>	DOWNTOWN TARGET AREA NEW AUBURN TARGET AREA UNION STREET TARGET AREA
	<b>Goals Supported</b>	Promote Jobs and Development/Auburn
	<b>Needs Addressed</b>	Promote Jobs and Development/Auburn
	<b>Funding</b>	CDBG: \$200,000
	<b>Description</b>	1. Assist small businesses to startup, succeed, and grow through: a) Loans and grants to upgrade and adapt buildings in the target areas; and b) Loans to support job creation for low-income people anywhere in the City.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	n/a

	<b>Location Description</b>	Primarily Downtown Target Area
	<b>Planned Activities</b>	Area benefit 2,305 persons
<b>4</b>	<b>Project Name</b>	Safe and Walkable Streets/Auburn
	<b>Target Area</b>	UNION STREET TARGET AREA
	<b>Goals Supported</b>	Make Neighborhood Streets Safe and Walkable/Auburn
	<b>Needs Addressed</b>	Make Neighborhood Streets Safe and Walkable/Auburn
	<b>Funding</b>	CDBG: \$312,050
	<b>Description</b>	1. Provide infrastructure funds on a 50% match of City capital improvement funds for lighting, sidewalks, landscaping improvements in target areas
	<b>Target Date</b>	11/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Area benefit 2,305 persons
	<b>Location Description</b>	Hampshire Street from Turner Street to Goff Street, 2,300 lf. Street improvements include drainage, full depth reconstruction of gravel and pavement layers, granite curbing, roadside parking with shared bike lanes, striping, new sidewalks, at-grade railroad crossing, pedestrian improvements at Union Street, street landscaping and lighting, and grade improvements at Turner Street.
	<b>Planned Activities</b>	The project is currently being designed. The bidding will occur next winter with construction starting in late spring, 2017.
<b>5</b>	<b>Project Name</b>	Prevent Homelessness/Auburn
	<b>Target Area</b>	DOWNTOWN TARGET AREA NEW AUBURN TARGET AREA UNION STREET TARGET AREA

<b>Goals Supported</b>	Prevent Homelessness/Auburn
<b>Needs Addressed</b>	Prevent Homelessness/Auburn
<b>Funding</b>	CDBG: \$18,000 HOME: \$90,000
<b>Description</b>	1) Support homeless people first with housing, then with services to help them provide for themselves and their families through work readiness training and job skill development; 2) Provide staff support to Lewiston-Auburn Alliance for Services to the Homeless (LAASH) to improve access to services and housing for persons who are homeless or at risk; and 3) As a first step towards helping the homeless or at-risk households re-integrate into the community provide a City-sponsored Security Deposit Program as well as other housing first approaches. In FY2016 the City will initiate a tenant-based rental subsidy program that will target families whose children are at risk of having to relocate schools because of evictions.
<b>Target Date</b>	6/30/2017
<b>Estimate the number and type of families that will benefit from the proposed activities</b>	71 persons assisted with case management 25 households assisted with security deposits 16 households assisted with rental assistance
<b>Location Description</b>	n/a
<b>Planned Activities</b>	The Community Development Department released an application for public service funding in December 2015. The RFP was revised to include the homeless strategy along with the scoring criteria. A subcommittee of the Citizens Advisory Committee ranked the applications and made their selections. Two applications were selected under the homeless category. Contracts will be effective July 1. CDBG Budget \$18,000.  Provide security deposit loans for people who are homeless or at risk of homelessness and rental assistance to at-risk of homelessness. HOME Budget \$90,000

<b>6</b>	<b>Project Name</b>	Increase Owner Occupancy/Auburn
	<b>Target Area</b>	DOWNTOWN TARGET AREA NEW AUBURN TARGET AREA UNION STREET TARGET AREA
	<b>Goals Supported</b>	Increase Owner Occupancy/Auburn
	<b>Needs Addressed</b>	Increase Owner Occupancy/Auburn
	<b>Funding</b>	HOME: \$75,000
	<b>Description</b>	1. Make it easier for renters to become homeowners by providing financial help for down payments and financial counseling for low-moderate income renter households seeking to purchase a first home in Auburn b.Find alternative mortgage financing for New Americans and other residents to be able to move up to homeownership, and work with Auburn Housing Authority to identify potential owners. Purchase two homes, rehabilitate to meet Housing Standards, and resell to income qualified homebuyers.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	5 households
	<b>Location Description</b>	n/a

	<b>Planned Activities</b>	<p>Home will be purchased in July 2016. Renovations on the property will commence in September. Once improvements are complete the building will be placed back on the market for sale to a qualified buyer. Expected completion date is March 2016. HOME Budget is \$225,000.</p> <p>Provide assistance to persons to purchase a home. The Homebuyer Program makes homeownership affordable to low-income households, opens access to other homebuyer programs, and increases owner occupancy in target areas where properties are most affordable. The program is designed to help families purchase market rate homes. Assistance includes a HOME match of \$2/1 of dollars saved to pay for down payment and closing costs and a \$15,000 interest-free loan to assist with greater affordability, when necessary. Participants also receive credit counseling and homebuyer education to make good decisions. This program is generally coupled with the City's Homeowner Rehabilitation Program. HOME Budget \$75,000</p>
7	<b>Project Name</b>	Improve Parks and Support Community Gardens/Auburn
	<b>Target Area</b>	UNION STREET TARGET AREA
	<b>Goals Supported</b>	Improve Parks and Community Gardens/Auburn
	<b>Needs Addressed</b>	Improve Parks and Community Gardens/Auburn
	<b>Funding</b>	CDBG: \$5,950
	<b>Description</b>	1. Enhance physical infrastructure of key in-town parks by installing playground equipment at Union Street; extend the Riverwalk into New Auburn; Improve walkways to and from parks; and provide opportunities for growing fresh healthy foods by establishing community gardens in the Union Street target area.
	<b>Target Date</b>	6/30/2017



	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	24 households
	<b>Location Description</b>	61 Webster Street, Auburn, ME
	<b>Planned Activities</b>	The Community Development Department is partnering with St. Mary's Nutrition Center in planning for this project. Community gardens provide an opportunity for people to come together to grow their own food and celebrate their community. This will encourage people to improve their health, stretch their food dollars, learn about growing vegetables, and have a place where neighbors can meet to engage in a positive way. A contract will be signed with St. Mary's Nutrition Center to provide oversight for the garden in the 2017 growing season. Budget \$5,950
<b>8</b>	<b>Project Name</b>	Construction of New Affordable Housing/Auburn
	<b>Target Area</b>	DOWNTOWN TARGET AREA NEW AUBURN TARGET AREA UNION STREET TARGET AREA
	<b>Goals Supported</b>	Support Construction of New Affordable Housing/Aub
	<b>Needs Addressed</b>	Support Construction of New Affordable Housing/Aub
	<b>Funding</b>	HOME: \$325,000
	<b>Description</b>	Provide financial assistance to developers of high-quality affordable mixed income housing in the target areas of Auburn.
	<b>Target Date</b>	6/30/2017

	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	4 households
	<b>Location Description</b>	unknown
	<b>Planned Activities</b>	Last year's funds were dedicated to a rental development. Some of those funds are being reprogrammed to a new project, development of co-operative housing. The remainder of the funds, \$100,000, will remain as a rental housing set-aside until reprogrammed to a new activity or to a rental development.  The City will be working on securing a partner to develop the co-operative housing project.
9	<b>Project Name</b>	Fair housing and Increase Housing Choice/Auburn
	<b>Target Area</b>	
	<b>Goals Supported</b>	Fair Housing/Aub
	<b>Needs Addressed</b>	Support Fair Housing/Auburn
	<b>Funding</b>	CDBG: \$2,000
	<b>Description</b>	Conduct workshops for both landlords and tenants about the right and responsibilities of each party, and the avenues for redress in the event of a problem; sponsor fair housing poster contest in schools.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	15 households 15 landlords
	<b>Location Description</b>	n/a

	<b>Planned Activities</b>	The Cities of Auburn and Lewiston will be undertaking fair housing activities to raise awareness of fair housing laws. Based on the Analysis of Impediments to Fair Housing Choice Report completed in 2013 the Cities have identified the following activities to be undertaken on an annual basis: 1) a series of landlord and tenant workshops. This year's topic will focus on disability rights and policies; 2) school outreach - poster contest for 8th graders with winners being selected for a fair housing calendar; 3) distribution of basic information on tenant housing rights; 4) identify obstacles to Sharia lending and inform New Mainers of housing opportunities. All activities will be done in collaboration with the Cities of Auburn and Lewiston's Community Development Departments and the Auburn and Lewiston Housing Authorities. Budget \$2,000
<b>10</b>	<b>Project Name</b>	Home Administration/Auburn
	<b>Target Area</b>	
	<b>Goals Supported</b>	
	<b>Needs Addressed</b>	
	<b>Funding</b>	HOME: \$26,500
	<b>Description</b>	Administration of HOME Program including sub-recipient monitoring.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	
	<b>Location Description</b>	
	<b>Planned Activities</b>	Salary and fringe benefit costs to administer HOME Program, Budget \$24,000 Goods and services, Budget \$2,500
<b>11</b>	<b>Project Name</b>	HOME Project Delivery Costs/Auburn

	<b>Target Area</b>	
	<b>Goals Supported</b>	
	<b>Needs Addressed</b>	
	<b>Funding</b>	HOME: \$30,000
	<b>Description</b>	Salary and fringe benefits to deliver housing projects. Costs will be charged to specific projects by address.
	<b>Target Date</b>	
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	
	<b>Location Description</b>	
	<b>Planned Activities</b>	
<b>12</b>	<b>Project Name</b>	Administration of Community Development Program/Auburn
	<b>Target Area</b>	
	<b>Goals Supported</b>	
	<b>Needs Addressed</b>	
	<b>Funding</b>	CDBG: \$142,100
	<b>Description</b>	Salary and fringe benefits to deliver housing projects.
	<b>Target Date</b>	6/30/2017

	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	
	<b>Location Description</b>	
	<b>Planned Activities</b>	Salary and fringe benefit costs for Economic Development Director, Program Manager, Coordinator and Administrative Assistant, Budget \$130,000 Goods and services, Budget \$12,100
<b>13</b>	<b>Project Name</b>	Prevent Homelessness/Lewiston
	<b>Target Area</b>	Lewiston Target Areas
	<b>Goals Supported</b>	Prevent Homelessness/Lewiston
	<b>Needs Addressed</b>	Prevent Homelessness/Lewiston
	<b>Funding</b>	HOME: \$14,871
	<b>Description</b>	As a first step towards helping the homeless or at-risk households re-integrate into the community provide a City-sponsored Security Deposit Program as well as other "housing first" strategies.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	21 households
	<b>Location Description</b>	n/a
	<b>Planned Activities</b>	
<b>14</b>	<b>Project Name</b>	Improve Safety & Efficiency/Lewiston
	<b>Target Area</b>	Lewiston Target Areas

	<b>Goals Supported</b>	Improve Safety & Efficiency of Housing/Lewiston
	<b>Needs Addressed</b>	Improve the Safety & Energy Efficiency/Lewiston
	<b>Funding</b>	HOME: \$190,120
	<b>Description</b>	Provide grants and low-interest loans for the rehabilitation of owner housing that is occupied by low and moderate income households, including energy efficiency, weatherization and emergency repair for buildings. Continue to work to combine multiple funding sources to make the most impact on individual buildings.
	<b>Target Date</b>	6/30/2017
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	5 households
	<b>Location Description</b>	Lewiston Target Areas
	<b>Planned Activities</b>	Provide loans and grants to income qualified owners.
15	<b>Project Name</b>	Create Mixed Income Neighborhoods/Lewiston
	<b>Target Area</b>	Lewiston Target Areas
	<b>Goals Supported</b>	Create Mixed Income Neighborhoods/Lewiston
	<b>Needs Addressed</b>	Create Mixed-Income Neighborhoods/Lewiston
	<b>Funding</b>	HOME: \$540,960
	<b>Description</b>	Provide loans and grants to income qualified home buyers. Rehabilitate existing housing, and create new affordable housing units.
	<b>Target Date</b>	6/30/2017

	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	4 households co-operative housing 19 multi-family units
	<b>Location Description</b>	Lewiston Target Areas
	<b>Planned Activities</b>	<p>Help renters become owners with income qualified payment and closing cost assistance and continue to require first-time homeowner and landlord classes. HOME Budget \$42,370</p> <p>Completion of 11 Walker Street homebuyer project. Budget \$5,000</p> <p>CHDO Capacity Building for Lewiston-Auburn Community Housing. Increase the capacity of the existing CHDO staff to create a pipeline of CHDO activities. It is anticipated the City will commit funding to CHDO project in 2016. HOME Budget \$30,000</p> <p>Develop affordable rental housing. HOME Budget \$363,590</p> <p>Co-operative Housing. Budget \$100,000</p>
16	<b>Project Name</b>	HOME Administration/Lewiston
	<b>Target Area</b>	
	<b>Goals Supported</b>	
	<b>Needs Addressed</b>	
	<b>Funding</b>	HOME: \$14,634
	<b>Description</b>	Salary and fringe benefit costs for administration of HOME Program.
	<b>Target Date</b>	6/30/2017

	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	
	<b>Location Description</b>	
	<b>Planned Activities</b>	



## **AP-50 Geographic Distribution - 91.420, 91.220(f)**

### **Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed**

City of Auburn: In January 2010 the Auburn City Council adopted the Update to Urban Conditions Study of 1994. The study surveyed the conditions of buildings which indicated 75% of buildings in the target areas were in need of some repair. Blighted areas were established by neighborhoods in which the average score was less than 3.5. These are the locations where Auburn will invest the majority of its Community Development and HOME Investment Partnerships funds, especially for public improvements, housing rehabilitation, homebuyer and commercial assistance. These target areas are where there is the greatest need for public investment. This Action Plan includes public improvement projects in the Downtown Target Area (Shoe-maker Alley), New Auburn Target Area (New Auburn River Trail), and Union Street Target Area (Union Street Park and community gardens).

City of Lewiston: The target area encompasses the four Census Tracts listed above. It is a residentially dense area with poverty rates 3-4 times higher than those of the city, county and state rates. The unemployment rate is 17.7% as compared to the City's as a whole at 9.7%. The individual poverty rate is 41.4% as compared to the City's at 16% the County 16%, Maine's at 8.4% and the Nation at 15.1%. The Median Household Income in the target area is \$22,611, again, one-third as much as the City as a whole. The target area has almost double (45.2%) the city's rate of people receiving food stamps; 30% of households are single parent households; and 42% of children living in the target community are living in poverty. The high school graduates only 68% of students, with the state's highest dropout rate. All of the City's HOME Programs are available throughout the City.

There are no minority concentrations in either city.

### **Geographic Distribution**

<b>Target Area</b>	<b>Percentage of Funds</b>
DOWNTOWN TARGET AREA	20
NEW AUBURN TARGET AREA	20
UNION STREET TARGET AREA	60

**Table 5 - Geographic Distribution**

**Rationale for the priorities for allocating investments geographically**

This year the staff and Citizen’s Advisory Committee chose to focus on the three in-town neighborhoods. These neighborhoods have very high proportions of old and substandard housing and of poverty and social problems (see Figure 1). They are also part of the historic core of Auburn, with significant employment, and their success is important to the City’s overall success in years ahead.

For these reasons, the target area for Auburn’s CDBG program in the coming 2015-2019 period will be Downtown, New Auburn, and Union Street.

**Discussion**

## Affordable Housing

### AP-55 Affordable Housing - 91.420, 91.220(g)

#### Introduction

The City of Auburn's 2015-19 Consolidated Plan identified preservation of housing as one of its goals along with the following objectives:

1. Provide grants, deferred loans, and low-interest loans for the rehabilitation of owner and rental housing that is occupied by low and moderate income households.
2. Provide matching funds to implement the 3-year federal grant to reduce childhood lead poisoning.
3. Provide Community Development staff support to the Lewiston-Auburn Lead Subcommittee to assist with implementation of the *5-year Strategic Action Plan for the Reduction of Childhood Lead Poisoning in the Cities of Auburn and Lewiston*.
4. Financially support code enforcement activities that result in improvements to the housing stock.
5. Provide financial assistance to encourage exterior, as well as health and safety improvements.

A number of programs make funds available to its residents for affordable housing. These include:

**Community Development:** Residential Rehabilitation, and Spot Rehabilitation. Weatherization improvements are available for low- and moderate income households through a partnership with Community Concepts, Inc. The City of Auburn is a subrecipient to a Lead Hazard Control Grant where the focus is to eliminate lead hazards in rental housing. In FY2016 the City will create a challenge grant that neighborhoods will compete for. This is an effort to increase curb appeal in three neighborhoods, Downtown, New Auburn and Union Street. CDBG funds will cover the grant cost for one of the neighborhoods, and the other two will be covered by Tax Increment Financing.

**HOME Investment Partnerships Program:** Homebuyer, Homeowner Rehabilitation, Security Deposit, and Co-operative Housing

The HOME Consortium members are the Cities of Auburn and Lewiston, with Auburn as the lead agency. The Cities have renewed its Mutual Cooperation Agreement to extend the consortium for another 3 years. The resources from HOME funds are shared between the two cities. Each community operates its own programs; however, program guidelines are approved by the Auburn City Council. The City of Auburn provides oversight. Project monitoring will be done by each respective City once projects are complete.

<b>One Year Goals for the Number of Households to be Supported</b>	
Homeless	46
Non-Homeless	16
Special-Needs	16
Total	78

**Table 6 - One Year Goals for Affordable Housing by Support Requirement**

<b>One Year Goals for the Number of Households Supported Through</b>	
Rental Assistance	62
The Production of New Units	0
Rehab of Existing Units	16
Acquisition of Existing Units	0
Total	78

**Table 7 - One Year Goals for Affordable Housing by Support Type**

## **Discussion**

Auburn

Rental Assistance: 41 security deposit assistance (25) rental assistance (16)

Homeowner Rehabilitation: 5 loans rehabilitation assistance

Rental: 4 co-operative housing units

Lewiston

Rental Assistance: 21 security deposit assistance

Rental: 2 co-operative housing units

Homeowner Rehabilitation: 5 rehabilitation loan assistance



## **AP-60 Public Housing - 91.420, 91.220(h)**

### **Introduction**

Auburn Housing Authority manages 523 public and multi-family housing units within 11 developments. The occupancy rate is currently over 98% in all developments. All PHA units are in good condition and continue to provide decent, safe, and affordable housing to low income individuals and families.

Auburn Housing Authority contributed both financially and embraced the efforts to complete the Analysis of Impediments to Fair Housing Choice. Further, Auburn Housing Authority has committed to supporting the plan by allowing staff to assist with the implementation of the plan.

### **Actions planned during the next year to address the needs to public housing**

Auburn Housing Authority currently has two resident commissioners who effectively represent the interests of all residents. Another commissioner is a former resident who is an immigrant, owns a home, and has earned a master's degree while working and raising a large family. In addition, Auburn Housing Authority consults regularly with tenant organizations and holds board meetings in different housing developments throughout the year. Auburn Housing's affiliate, Auburn Housing Development Corporation, has worked closely with the City of Auburn in a number of different endeavors to assist lower income households with their housing needs.

Some of the planned actions to address needs of Auburn's public housing inventory and its residents include:

- 1) waterproof basement at Lincoln School Apartments;
- 2) repair walkways at Lake Auburn Town House and Family Development;
- 3) replace electric meter enclosures at Family Development;
- 4) replace stoves and refrigerators at Lake Auburn Town House; and
- 5) procure security cameras/monitoring equipment/agency wide.

### **Actions to encourage public housing residents to become more involved in management and participate in homeownership**

Auburn Housing Authority continues to work closely with the City of Auburn to promote homeownership. Auburn Housing works with Community Development staff and a local non-profit in an effort to foster development of a viable loan product that would enable Muslim households to achieve homeownership.

Auburn Housing Authority continues to offer a savings match to tenants and program participants for home purchase down payments. Auburn Housing Development Corporation, a community housing development organization, has worked with the City for several years to promote homeownership by low income households, and high quality rental housing.

**If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance**

Auburn Housing Authority is designated a “high performer” in both Section 8 and public housing programs by HUD rating systems.

**Discussion**

**Public Housing:** As of April 2016 the waiting list for public housing was 472 families and individuals. The majority of need is for apartments with one and two bedrooms. Auburn Housing Authority receives approximately 50 new applications per month. During the last 12 months 40 public housing units were vacated and re-rented.

**Section 8 Housing Choice Voucher Program:** Auburn Housing Authority's Housing Choice Voucher program provided 590 vouchers; however, due to sequestration, that number dropped to 560 and has remained near that level for about five years.

Auburn Housing Authority has joined a consortium of local housing authorities operating a centralized waiting list which is more convenient for applicants and promotes better choice and mobility. There are 412 applicants meeting all of the preferences and many more applicants who do not.

**Resident Services:** All public and multi-family housing projects owned by Auburn Housing Authority receive resident services in an effort to help residents achieve stability and success in their lives.

## **AP-65 Homeless and Other Special Needs Activities - 91.420, 91.220(i)**

### **Introduction**

Two supportive housing resources are available for chronically homeless persons. The Cities of Auburn and Lewiston provided HOME funds to assist in creating 6 units for individuals in Auburn and 10 units for homeless families in Lewiston.

Auburn and Lewiston's homeless needs have been addressed through the Maine Balance of State Continuum of Care. Both Cities, however, participate and sponsor the Lewiston-Auburn Alliance for Services to the Homeless (LAASH), a group of local homeless providers who gather monthly to address the gaps in services to the area's homeless. In 2009, through a LAASH collaboration, the Cities paid for consulting services to develop a 10-Year Plan to Eliminate Homelessness.

### **Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including**

#### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

The City of Auburn supports two agencies in its efforts in reducing and ending homelessness, support services for Tedford Housing and Safe Voices. Tedford Housing is permanent housing for chronically homeless individuals, and Safe Voices is a homeless shelter for victims of domestic violence.

The City of Auburn also assists homeless or at-risk of homelessness gain access to housing through security deposit assistance.

A new program will be provided for families to maintain their housing unit in lieu of facing eviction. The target population for this program is families whose children attend Auburn schools and who may have to re-establish in a new school system if the family is evicted.

Further, anyone who presents to the City who is at risk of homelessness or is actually homeless can apply for financial assistance through the City's Social Services office. The Director completes an income evaluation and determines if they are eligible. In a 12-month period, the City assisted 63 unduplicated households by paying for all or a portion of their rent, a total of \$86,682 expenditure. The average household rental assistance is \$1,376.

The homeless strategy is to support people first with housing, then with services to help them provide for themselves and their families through work readiness training and job skill development. This is a new effort targeting resources to reduce the incidence of homelessness in this area.



## **Addressing the emergency shelter and transitional housing needs of homeless persons**

The City assists Safe Voices by providing social service funding for shelter staff. The City does not provide resources for transitional housing.

In addition to assistance provided by the City's Social Service office, beds are available at the following shelters: Hope Haven Gospel Mission in Lewiston (families), St. Martin DesPorres in Lewiston (single persons), New Beginnings in Lewiston (youth), and Safe Voices in Auburn (victims of domestic violence). The City is supported by the balance of state's continuum of care.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

The City funds a non-profit agency who provides services to families with children who are victims of domestic violence.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.**

The City has a security deposit program that assists households who are at risk of homelessness or are already homeless. The program is a joint effort with Auburn Housing Authority, the City's Social Services Department and Community Development. The City will also create a new program to provide subsidies to families with children who are at risk of homelessness due to eviction.

Auburn Housing Authority has established a preference on their Section 8 waiting list for victims of domestic violence.

Two new programs in this Action Plan that will help families to avoid become homeless are the pilot project *Bridges out of Poverty* that will target adults, and *Work with Me* that will target youth. Both of

these programs are part of Auburn's Anti-poverty strategy to help provide personal and educational skills needed for people to live a healthy and productive life.

## **Discussion**

The 10-Year Plan to End Homelessness in Lewiston and Auburn has strategies designed to break the pattern of homelessness and prevent homelessness for those at risk due to unforeseen circumstances. It offers a path for the homeless themselves to accept responsibility and move forward. At the same time, it provides a path for the community to create the opportunities that the homeless need to succeed. It includes overall strategies addressing prevention, early intervention, crisis response, transition, and permanent affordable housing. Each strategy has specifications. Each action has a lead agency that is responsible for coordinating implementation, partners that will contribute to implementation, and benchmarks that describe specific steps necessary over time for successful implementation. The City's role will be to provide support for the development of permanent affordable housing.

<b>One year goals for the number of households to be provided housing through the use of HOPWA for:</b>
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family
Tenant-based rental assistance
Units provided in housing facilities (transitional or permanent) that are being developed, leased, or operated
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds
Total

## **AP-75 Barriers to affordable housing - 91.420, 91.220(j)**

### **Introduction**

Poverty and the City's aged housing stock support the need for safe, affordable housing. The City's primary response to the need for safe affordable housing in the City is funding for preservation and rehabilitation of existing housing stock. The City dedicates both CDBG and HOME funds for housing preservation, namely the Residential Rehab, and Homeowner Rehabilitation. In 2010 the City re-evaluated the conditions which contribute to blight in the City. Every other property was surveyed in the existing target areas and the area expanded to include immediately adjacent blocks. New boundaries were established based on a scoring factor that was marked as the blight threshold. The following indicates the percentage of blight by target areas: New Auburn 63%; Union Street 81%; Downtown 88%; Sandy Beach 59%; and Hotel Road 70%.

A second initiative to promote affordable housing is to support affordable homeownership opportunities. The City provides pre- and post-homebuyer counseling for participants who want to purchase a home, and credit counseling to existing clients who experience credit problems.

Tenants who are at-risk of homelessness can obtain access to rental housing through our Security Deposit Program, rent payment through the City's Social Services Department, and support through the new Staying Home Rental Assistance Program.

For the past two years the City has supportive of new construction of rental housing with a rental housing set-aside.

The actions that will be taken to remove barriers to affordable housing include offering programs that increase home ownership opportunities, improve the quality of owner-occupied and rental housing, increase the supply of affordable rental housing, and providing access to rental housing by those at risk of homelessness.

### **Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

Several years ago, the City adopted a new Existing Buildings Code that makes it more feasible to renovate older buildings that would otherwise have to comply with new building standards. The Community Development Department follows Housing Standards, a preservation standard

for residential units.

The City of Auburn's Citizens Advisory Committee has recommended that the City Council consider creating a task force to review current codes and inspection practices with the goal of having more tools to require landlords to maintain their properties and manage their tenants' behavior.

The Citizens Advisory Committee has also recommended that the City of Auburn consider the code processes to make it easier to navigate for people who want to invest in and rehabilitate housing.

Last, The Citizens Advisory Committee recommended that the Lewiston-Auburn Economic Growth Council work with area banks to develop ready financing for investors seeking to purchase and/or upgrade small multi-family buildings in Auburn.

## **Discussion**

The actions that will be taken to remove barriers to affordable housing include offering programs that will increase homeownership opportunities, improve the quality of owner-occupied and rental housing, increase the supply of affordable rental housing, and provide access to rental housing by those at risk of homelessness.

Community Development staff also provides pre- and post-homebuyer counseling to people who want to participate in our Homebuyer Program, and credit counseling to program clients who have cash flow problems.

The City has adopted a plan to encourage fair housing through tenant and landlord education.

The Community Development Department is also working with a local non-profit agency to produce a loan product that is acceptable to the Muslim community. This will open doors to home ownership for a large number of households.

## **AP-85 Other Actions - 91.420, 91.220(k)**

### **Introduction**

The City of Auburn will continue to carry out its Community Development Program in partnership with the other management and delivery entities for housing and community development initiatives. These organizations include the City's Social Services Department, Auburn Housing Authority, and a network of individual non-profit and social service providers.

### **Actions planned to address obstacles to meeting underserved needs**

The City of Auburn's Community Development and HOME budgets address underserved needs of the City. The proposed funding projects will meet underserved needs such as food (Community Gardens), shelter (Safe Voices) home safety (housing rehabilitation and home weatherization) and support for families living in poverty (Androscoggin Head Start & Child Care, Literacy Volunteers, Auburn Police Department Work with ME, and Community Concepts Bridges out of Poverty).

### **Actions planned to foster and maintain affordable housing**

Actions will come primarily from efforts of the Community Development Department through administration of rehab, homebuyer, and security deposit programs. Rental development actions will be initiated by area developers. The City offers the Residential Rehab and Homeowner Rehab Program to undertake whole house improvements that will meet the City's Housing Standards. The City also offers deferred payment loans to low income homeowners who are facing a health and safety housing issue through its Spot Rehab Program--this program assists residents with severe housing issues such as leaking roofs, condemned heating systems, unsafe electrical, and malfunctioning sewerage disposal systems. An amendment to the Spot Rehab Program will be considered this year to offer assistance to owners of rental properties who have received a citation because of code violations, to make the Homeowner Rehab Program a better resource for extremely low and low income homeowners, and to provide an increased level of financial support for families at risk of evictions.

### **Actions planned to reduce lead-based paint hazards**

Actions will be through a joint 3-year Lead Hazard Control Grant and participation in implementing the

5-Year Strategic Action Plan for the Reduction of Childhood Lead Poisoning in the Cities of Auburn and Lewiston. The City also offers several programs that reduce the hazards of lead based paint. Both the Residential Rehab and Homeowner Rehab Programs are whole house improvements programs where lead paint problems are eliminated. A clearance is done at the conclusion of each covered project. The City also provides brochures to tenants of buildings that are being improved on how to remain safe when there is lead paint in a building.

The City of Auburn is a partner in Lead Hazard Control Grant where the lead agency is City of Lewiston. This should result in improvements to 10+ units in FY2016.

### **Actions planned to reduce the number of poverty-level families**

The 2015-19 Consolidated Plan includes an Anti-Poverty Strategy to help people successfully provide for themselves and their families through education and development of employment skills. The City will carry this out by awarding social service grants with partners who will carry out initiatives.

### **Actions planned to develop institutional structure**

Community Development staff is committed to establishing and maintaining relationships with organizations and institutions in an attempt to broaden and strengthen the institutional structure. Auburn will continue to look for opportunities to collaborate with local government, non-profit organizations, and private sector including:

- private lenders, Coastal Enterprise, Inc. and Community Concepts, Inc. – to improve financing resources for home ownership and rehabilitation;
- Auburn Housing Development Corporation, a CHDO -- partner in Auburn's Homebuyer Program;
- private lenders, Androscoggin Valley Council of Governments and Lewiston-Auburn Economic Growth Council – to improve financing resources for commercial and industrial clients;
- non-profit developers and CHDO's – to provide and/or develop affordable housing and support

services needed by Auburn residents;

- Auburn Housing Authority and Maine State Housing Authority – to address the housing needs of Auburn’s low income renter households and to increase home ownership opportunities for low-income tenants;
- Lewiston-Auburn Alliance for Services to the Homeless and Maine State Housing Authority – for better coordination and advocacy for services needed by the homeless, and implementation of rental assistance to the homeless;
- Healthy Androscoggin, Department of Environmental Protection, Maine Childhood Lead Poisoning Prevention Program – to deliver a comprehensive lead awareness education and screening program; and
- Citizen’s Advisory Committee – to establish priorities and review progress on achieving Consolidated Plan goals.

### **Actions planned to enhance coordination between public and private housing and social service agencies**

The strength of the delivery system is that it is well-coordinated. The Auburn Community Development Department, the Auburn Housing Authority, the Lewiston-Auburn Alliance for Services to the Homeless, the Lewiston Community Development Department, the Lewiston Housing Authority, Community Concepts, Healthy Androscoggin, and other city departments, and local and regional nonprofit organizations, coordinate closely in the planning and delivery of housing services. However, there are not enough resources among all of the partners to meet the identified needs. Waiting lists for services at the housing authorities are long.

The Community Development Department has been involved in a local Green and Healthy Homes initiative in order to better coordinate housing improvements and bring new resources to the community. That effort led to a successful application for a Lead Hazard Control Grant.



## Discussion

The 2015-19 Consolidated Plan identifies prevent deterioration of housing stock as a goal. To ensure there is an adequate supply to meet the needs of Auburn households, the City will focus primarily on maintaining and improving the existing housing stock as safe.

**5 Year Goals Auburn:** 90 owner units and 185 renter units rehabilitated; 500 units inspected; 25 homebuyers assisted; 60 new affordable housing units; 125 tenants assisted with rental assistance

**FY2016 Goals Auburn:** 28 renter and 16 owner units rehabilitated; 5 buyers assisted to purchase a home; 25 homeless or at-risk of homelessness assisted with security deposits; and 16 renters assisted with rental assistance.

**5 Year Goals Lewiston:** 10 owner units rehabilitated; 17 buyers assisted to purchase a home; 50 new affordable rental housing units; 125 homeless or at-risk of homelessness assisted with security deposits

**FY2016 Annual Goals Lewiston:** 5 owner units rehabilitated; 21 homeless or at-risk of homelessness assisted with security deposits; and 21 rental units created.

## Program Specific Requirements

### AP-90 Program Specific Requirements - 91.420, 91.220(I)(1,2,4)

#### Introduction

#### Community Development Block Grant Program (CDBG)

##### Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
<b>Total Program Income:</b>	<b>0</b>

#### Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	72.00%

**HOME Investment Partnership Program (HOME)**  
**Reference 24 CFR 91.220(l)(2)**

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

none

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

Homebuyer and Homeowner Rehabilitation projects will be subject to recapture provisions of the HOME on combined HOME assistance, the property must be occupied as a principal residence for the minimum periods of 5 years if HOME assistance is less than \$15,000, 10 years if HOME assistance is between Investment Partnerships Program regulations. Based on combined HOME assistance, the property must be occupied as a principal residence for the minimum periods of 5 years if HOME assistance is less than \$15,000, 10 years if HOME assistance is between \$15,000 and \$40,000, and 15 years if HOME assistance is over \$40,000. The recapture period shall commence when the building acquisition and/or rehabilitation are complete and a project completion certification has been issued for rehabilitation.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Recapture provisions are as follows:

**Transfer of Title.** The City shall collect the net proceeds from the sale of the property up to the outstanding balance of the HOME assistance when the HOME Borrower relinquishes the property voluntarily or due to a foreclosure, bankruptcy, appointment of a receiver or liquidation, or assignment for the benefit of the HOME Borrower's creditors, or a financial hardship resulting in a short sale. In the event that the net proceeds are insufficient to repay the HOME loan(s), the City will then forgive part or all of the HOME loans(s). Prior to accepting net proceeds for a financial hardship the City shall assure that the owner has marketed the property for a sufficient period of time, a minimum of 6 months, and there has been no offer that is adequate to satisfy the debt. When there has been adequate effort to market the property and upon receiving an offer that is insufficient to cover the outstanding debt, the City shall accept whatever amount is remaining from the sale and forgive the remaining unpaid balance.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

none

## Discussion

The Consortium offers the Homebuyer Program to assist people with their home purchase. In the past this program had been used in tandem with the Homeowner Rehab Program to assure that the property purchased meets Auburn's Housing Standards. However, the Homebuyer Program is on standby until

there has been clarification with respect to the 2013 HOME rule that a property must meet the property standard prior to the commitment of HOME funds.

All programs are available on a first-come first-served basis to applicants whose income is under 80% of area median income. The Homebuyer Program matches an applicant's savings two dollars for each dollar saved. Half of the match is given as a forgivable loan and the other half is an outright grant. Further, an additional \$15,000 interest-free loan is available, if needed to meet the debt-to-income ratios of the program. The Homeowner Rehab Program provides up to \$35,000 as an interest-free loan with no specific match required. Both loan maximum terms are 30 years unless affordability is not achieved, then the term can be 40 years. These loans are approved by the City's respective loan committees.

The Consortium also offers a Security Deposit Program to cover the security deposit cost for tenants. This program is administered in partnership with the Auburn and Lewiston Housing Authorities.

Both Auburn and Lewiston's Action Plan calls for HOME funds to be used for development of co-operative housing. Lewiston's project will be a CHDO-sponsored project with partner Lewiston-Auburn Community Housing. Buildings will be acquired, renovated, then provided housing to qualified low-income households as shareholders. Since Co-op occupants are able to vacate their unit without a formal sale and refinancing, people come and go more freely than with typical ownership. Therefore, this model is more closely aligned with rental type developments and will be monitored and reported as rental.

The Consortium requires that a HOME Agreement be signed by the borrower at the closing. The agreement describes the recapture provision for homebuyer, and homeowner rehab. Rental projects are deferred payment loans which require no payment unless there is a default during the affordability period, although the subsidy amount has not yet been determined for co-operative housing projects. The HOME Agreement also specifies other requirements such as affordability restrictions with respect to low-income requirements, rent calculations, specifies HOME units with high and low home rents, income determinations, resident protections, record keeping, monitoring, reporting, affirmative marketing, and outreach. The Agreement also specifies how it will be enforced.

## Attachments

## Citizen Participation Comments

### MEETING RECORD Citizen Advisory Committee Meeting March 30, 2016

**CAC in Attendance:** Lisa Cote, Grady Burns, Kelli Flynn Aiken, Belinda Gerry, Ernestine Gilbert, Joe Gray, Larry Pelletier, Doris Russell, Theresa Smith, Mitch Thomas and Rick Whiting  
**Staff:** Reine Mynahan, Lori Lewis, and Michael Chamings

**Welcome:**

Committee Chair Grady Burns welcomed everyone to today's meeting.

**Introductions:**

CAC member introduced themselves to the new members of Committee.

**Consolidated Plan Overview and Status of First Year Programs/Projects:**

Reine Mynahan gave a review of the accomplishments towards the 2015-2019 Consolidated Plan projected outcomes over the past nine months.

**Discussion about lagging progress :**

**Homebuyer Program**—program guidelines need modifications in order to create greater attraction for people to select Auburn as a place to live. We hear at homebuyer workshops that potential buyers' first choice is to live out of town.

**Sidewalk Project**—planning process for this project held up by funding being considered for the Neighborhood Reinvestment Unit (NRU) proposal. This concept did not receive support by the City Council and was abandoned by the Police Department. The next step in moving this project forward is to decide in which neighborhood the sidewalk will be improved, then schedule a neighborhood meeting to see which sidewalk is most important to the residents. The project will then be ready for design and construction.

**Discussion:**

There was a concern expressed that the money for sidewalks/lighting will be grabbed and used for the New Auburn Park. Can the City Council change what the CAC wants done with the monies?  
Response: The City Council has the final say on the budget provided it is within the parameters of the adopted Consolidated Plan. Otherwise, the Consolidated Plan must be amendment with involvement of the Citizens Advisory Committee, a public notice with 30-day comment period, and City Council action.

At the latest New Auburn Neighborhood meeting there was a concern about damage caused by plowing the sidewalks. The curbs have been torn up.

**5-Year Budget Review:**

Reine Mynahan reviewed the proposed budget for CDBG funds and HOME funds for the fiscal year 2016. She also reviewed the tentative schedule for the adoption of the 2016 Budget/Plan and encouraged CAC committee members to attend the scheduled meetings.

**Comments:**

Why is the Police Department doing the training for soft skills and other related training? *They are doing this because they care enough to develop a program and write a proposal. The Police Department has an interest in helping at-risk kids receive job skills. This gives them an opportunity for employment and may prevent involvement in criminal activity. Through their work, they recognized a need and know how to help.*

Are we tracking the success stories from the "support people in their efforts to transition out of poverty"? *It is very hard to track individual successes because 1) it takes many years to finally achieve that success, and 2) success is different for everyone. For one person, success may be to increase their reading level, but it may take a many years for them to be ready for a job.*

One third of the budget is for the sidewalk/lighting project which requires bond funds from the City's Capitol Improvement Program funds. Are those funds budgeted? *There is not a lot of money in the improvement funds for this year and hopefully we can do something for the next budget year (Chairperson Burns). The project requires planning, design, and will be ready for construction in the 2017 construction season. By that time, the City should have matching funds budgeted for this project.*

There is a need for lighting the park area near the Community Theater. Also, there is a concern for lighting in the area of the PAL Center and towards the gully that crosses over to Pettengill Park. This is a high crime area and could use more community activities to revitalize the area and make it more community friendly. The committee would like to see some master planning for Union Street Park.

Would like to see a cost comparison of lighting options including solar lighting for this park.

Although expressing concerned about some projects not moving forward, the committee agreed to support the budget as presented and have Chairperson Burns recommend it to the City Council.

**Adjournment**

Respectfully submitted,



Lori Lewis

Community Development Administrative Assistant



MEETING RECORD  
CITIZENS ADVISORY COMMITTEE  
SUBCOMMITTEE FOR PUBLIC SERVICES

FEBRUARY 10, 2016

CAC Attendance: Belinda Gerry, Rick Whiting, Larry Pelletier, Mitch Thomas

Staff: Reine Mynahan

After conducting interviews, the committee made decisions, by concensus, to recommend the following grant levels for agencies who responded to the Request for Proposals dated December 17, 2015.

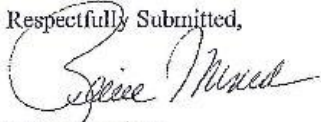
**ANTI-POVERTY STRATEGY**

AGENCY	CATEGORY	AMOUNT
City of Auburn Recreation Scholarships	Scholarships	\$15,000
City of Auburn Work with Me	Salaries	\$25,836
	Clothing	3,000
	Cab Fares	300
	Stipends	10,864
	Total	\$40,000
Androscoggin Head Start and Child Care	Salaries	\$9,000
Community Concepts, Inc. Bridges out of Poverty	Salaries	\$2,000
	Training	300
	Supplies	500
	Printing/Postage	100
	Food	240
	Stipends	2,000
	Child Care	2,400
	Consultant	3,200
	Printing	260
	Total	\$11,000
Literacy Volunteers of	Salaries	\$8,635

**HOMELESS STRATEGY**

<b>AGENCY</b>	<b>CATEGORY</b>	<b>AMOUNT</b>
Tedford Housing Support Services	Salaries	\$7,000
Safe Voices Support Services for Victims of Domestic Violence	Salaries	\$11,000

Respectfully Submitted,



Reine Mynahan  
Community Development Director

**IN COUNCIL REGULAR MEETING MAY 16, 2016 VOL. 34 PAGE 242**

Mayor LaBonté called the meeting to order at 7:09 P.M. in the Council Chambers of Auburn Hall and led the assembly in the salute to the flag. All Councilors were present.

**I. Consent Items**

**1. Order 28-05162016\***

Amending Order 14-03072016 which was previously adopted at the 3/7/2016 City Council meeting to reflect the corrected term expiration dates for the appointment of Tizz Crowley (Auburn Water District) and Heidi McCarthy (Auburn Sewerage District) to 3/1/2020 (previously adopted as 3/1/2019 in error).

Motion was made by Councilor Walker and seconded by Councilor Burns amending Order 14-03072016 which was previously adopted at the 3/7/2016 City Council meeting to reflect the corrected term expiration dates for the appointment of Tizz Crowley (Auburn Water District) and Heidi McCarthy (Auburn Sewerage District) to 3/1/2020 (previously adopted as 3/1/2019 in error). Passage 7-0.

**II. Minutes**

- May 2, 2016 Regular Council Meeting

Motion was made by Councilor Burns and seconded by Councilor Walker to accept the minutes of the May 2, 2016 Regular Council Meeting as presented. Passage 7-0.

**III. Communications, Presentations and Recognitions**

- Proclamation – Maine Arbor Week
- Proclamation – Women’s Lung Health Week
- Proclamation – National Kids in the Park Day
- Proclamation – Lucia Cowles
- Edward Little Student Briefing on Recent Washington DC trip

**IV. Open Session** – Members of the public are invited to speak to the Council about any issue directly related to City business which is *not on this agenda*.

Sue Patneau, President of the First Auburn Senior Citizens Group city of Auburn provided an overview of the history of that group and how they came to be. She said they would like Auburn to continue to be an aging friendly community providing social and recreational activities for them. She recommended that the City make a formal commitment to the aging population. She said they need a special place that belongs to them - a senior center, a place where seniors can go for programs and services that will become more and more necessary as our population ages.

Belinda Gerry, 143 Mill Street respectfully requested that the rules be suspended so that they could continue the discussion on the CIP and the \$90,000 funding for the Senior Center so the seniors could speak during open session or make a motion to take the

**IN COUNCIL REGULAR MEETING MAY 16, 2016 VOL. 34 PAGE 243**

agenda items out of order because many of the seniors will not want to stay late while waiting until the CIP item comes up on the agenda.

Larry Pelletier, 129 Second Street gave praise to the high school students who were out doing community service in the City.

**V. Unfinished Business**

**1. Ordinance 04-03072016**

Adopting amendments for a Form Based Code and Zoning Map for portions of Downtown Auburn and New Auburn. The Form Based Code Text Amendment will replace Article IV, Division 14, Central Business District, Sec. 60-546, amend related sections of the Zoning Ordinance and create 5 new Form Based Code Districts (T-4.1, T-4.2, T-5.1, T-5.2 and T-6). Second reading.

Motion was made by Councilor Stone and seconded by Councilor Walker adopting amendments for a Form Based Code and Zoning Map for portions of Downtown Auburn and New Auburn. The Form Based Code Text Amendment will replace Article IV, Division 14, Central Business District, Sec. 60-546, amend related sections of the Zoning Ordinance and create 5 new Form Based Code Districts (T-4.1, T-4.2, T-5.1, T-5.2 and T-6).

Public comment – no one from the public spoke.

Passage 7-0. A roll call vote was taken.

**VI. New Business**

**2. Order 29-05162016**

Appointing the Board and Committee Members as nominated by the Appointment Committee.

Motion was made by Councilor Titus and seconded by Councilor Gilbert to appoint the Board and Committee members as nominated by the Appointment Committee.

Passage 7-0.

**3. Order 30-05162016**

Authorizing the City Manager to enter into a Supplemental Lease Agreement for Cellular Micro-site locations on city poles or structures with Portland cellular Partnership, a Maine general partnership, d/b/a Verizon Wireless.

Motion was made by Councilor Titus and seconded by Councilor Walker authorizing the City Manager to enter into a Supplemental Lease Agreement for Cellular Micro-site locations on city poles or structures with Portland cellular Partnership, a Maine general partnership, d/b/a Verizon Wireless, Order 30-05162016 (B).

**IN COUNCIL REGULAR MEETING MAY 16, 2016 VOL. 34 PAGE 244**

Public comment – no one from the public spoke. Passage 7-0.

**4. Resolve 05-05162016**

Clarifying that the resolve passed under the previous City Council (Resolve 04-06012015) does not authorize city employees to perform support activities for the Joint Charter Commission.

Motion was made by Councilor Stone and seconded by Councilor Pross.

Public comment:

Gene Geiger, Lewiston resident and Chair of the Joint Charter Commission, Dave Burke, 38 Harmons Corner Road, Bruce Rioux, 85 Mary Carroll Street, Charles Morrison, 46 Lake Street, Eliot Epstein, 8 Cushman Place, Mary LaFontaine, 113 Western Avenue, Leonard Kimble, 63 Olive Street, and Donna Steckino, Maple Hill Road all spoke in opposition of the resolve.

Ron Potvin, Auburn property owner and resident of Lewiston spoke in favor of the resolve.

Passage 4-3 (Councilors Burns, Titus, and Young opposed).

**5. Order 31-05162016**

Adopting the CDBG (Community Development Block Grant) Consolidated Plan and Budget.

Motion was made by Councilor Stone and seconded by Councilor Gilbert to adopt the CDBG consolidated plan and budget.

Motion was made by Councilor Stone and seconded by Councilor Titus to amend the order to ensure that the Hampshire Street reconstruction meets the needs of the neighborhood by re-allocating \$312,050 from the Sidewalk Project to the Hampshire Street Project.

Passage of amendment 7-0.

Motion was made by Councilor Stone and seconded by Councilor Walker to amend the order to establish the Storefront Traffic Accelerates Revitalization (STAR) Program using \$200,000 under Economic Development Programs out of the CDBG Budget which would be re-allocated to the STAR Program.

This CDBG Program would establish forgivable loans for up to \$50,000 based on several criteria:

1. Location in a storefront location within one of the three target areas
2. Loan must be matched dollar for dollar

IN COUNCIL REGULAR MEETING MAY 16, 2016 VOL. 34 PAGE 245

3. Loan terms would be established following those used in the Gardiner Growth Initiative

Passage of amendment 7-0.

Motion was made by Councilor Pross and seconded by Councilor Walker to amend the order by establishing the Neighborhood Challenge Grant Program under the Rehabilitation Loan Program designating \$25,000 out of the \$250,743 of the Rehab Loan Program to be earmarked for a Neighborhood Challenge Grant with staff to work out the program details which would then be presented to Council for approval.

Passage of amendment 7-0.

Motion was made by Councilor Pross and seconded by Councilor Stone to amend by establishing an Auburn Cooperative Housing Program by re-allocating \$225,000 from the proposed purchase of two single family dwellings under the HOME budget to provide purchase/rehab/technical support for cooperative housing in the Target Area.

Passage of amendment 7-0.

Public comment – no one from the public spoke.

Passage of Order 31-05162016 as amended 7-0.

**6. Order 32-05162016**

Adopting the School Budget for Fiscal Year 2017.

Motion was made by Councilor Titus and seconded by Councilor Stone to adopt the School Budget for FY17 as presented.

Public comment – no one from the public spoke. Passage 4-3 (Councilors Walker, Titus, and Gilbert opposed).

**7. Order 33-05162016**

Authorizing the Finance Director to execute issuance of general obligation bonds and a tax levy therefore. Public hearing and first reading.

Motion was made by Councilor Titus and seconded by Councilor Walker to authorize the Finance Director to execute issuance of general obligation bonds and a tax levy therefore.

Public comment - Belinda Gerry spoke in regards to the funding for the Senior Center stating that she does not support moving the Senior Center to the old St. Louis Church building which was discussed during the workshop.

**IN COUNCIL REGULAR MEETING MAY 16, 2016 VOL. 34 PAGE 246**

Motion was made by Councilor Burns and seconded by Councilor Pross to amend by increasing the reclamation and resurfacing line item from \$900,000 to \$3,000,000. Passage of the amendment 5-2 (Councilors Titus and Young opposed).

Passage of order 33-05162016 as amended 6-1 (Councilor Titus opposed). A roll vote was taken.

8. **Order 34-05162016**

Authorizing the Finance Director to reallocate \$353,845 of unspent proceeds from various City General Obligation Bonds to a portion of the Capital Improvement Projects for FY 17.

Motion was made by Councilor Stone and seconded by Councilor Burns authorizing the Finance Director to reallocate \$353,845 of unspent proceeds from various City General Obligation Bonds to a portion of the Capital Improvement Projects for FY 17.

Public comment-no one from the public spoke. Passage 7-0. A roll call vote was taken.

9. **Resolve 06-05162016**

Adopting the Appropriations Resolve for Fiscal Year 2017. Public Hearing and first reading.

Motion was made by Councilor Burns and seconded by Councilor Walker adopting the Appropriations Resolve for Fiscal Year 2017.

Public comment – John Holden, 19 Second Street and President of the Lewiston Auburn Economic Growth Council, spoke on the Growth Council and Economic Development noting that the LAEGC staff are committed and dedicated to their work for this Community – Lewiston and Auburn, and the work of the new Growth Council is just getting started.

John Turner, 46 Rubellite Lane spoke about the Growth Council and Economic Development in Lewiston and Auburn. He said he feels it would be a mistake for Auburn to withdraw from the Growth Council.

Gary Simard, Cook Street stated that the consequences of the cuts that are possibly being made in this budget are still unknown, specifically the Fire Department and Code Enforcement. He commented that with a city this size, specialized people should be dealing with specialized codes. He also commented on the proposal to merge the Planning and Economic Development Departments stating that he did not think it was a good idea to do that. He also added that he would like to hear more from the City Manager on City Programs.

Ron Potvin spoke about Consumer Price Index and what the intent was when the ordinance was adopted. He went on to note that he thought that a reasonable override

**IN COUNCIL REGULAR MEETING MAY 16, 2016 VOL. 34 PAGE 247**

for this budget year would be appropriate given the circumstances and to restore some of the reductions that have been made.

Belinda Gerry had questions regarding how the proposed budget would impact the mil rate.

Charles Morrison spoke in support of the Growth Council stating that today's Growth Council is better it would be a disaster for this Community to lose them.

Passage 6-0 (Councilor Young was not present for the vote). A roll call vote was taken.

**10. Order 35-05162016**

Adopting the 5 year Capital Improvement Plan.

Motion was made by Councilor Titus and seconded by Councilor Burns to adopt the 5 year Capital Improvement Plan as presented.

Public comment – no one from the public spoke. Passage 6-0 (Councilor Young was not present for the vote). A roll call vote was taken.

**VII. Executive Session - Discussion regarding a personnel matter, pursuant to 1 M.R.S.A. Section 405(6)(A).**

Motion was made by Councilor Walker and seconded by Councilor Stone to enter into executive session pursuant to 1 M.R.S.A. Section 405(6)(A).

Due to the late hour, the Mayor asked if Council would forego reports tonight. Councilor Walker and Stone withdrew their motion to enter into executive session in order to take the last open session out of order, before entering into the executive session. All were in favor.

**VIII. Open Session - Members of the public are invited to speak to the Council about any issue directly related to City business which is *not on this agenda*.**

Larry Pelletier, Second Street talked about the upcoming School Budget Validation Referendum Election and what can be done to get voters out to vote. He encouraged Council to get the word out to voters in order to have a better turnout.

**Executive Session**

Motion was made by Councilor Pross and seconded by Councilor Walker to enter into executive session pursuant to 1 M.R.S.A. Section 405(6)(A). Passage 6-0 (Councilor Young was not present for the vote), time 10:30 P.M.

Council was declared out of executive session at 10:50 P.M.

**IX. Adjournment**



**IN COUNCIL REGULAR MEETING MAY 16, 2016 VOL. 34 PAGE 248**

The meeting adjourned at 10:50 PM.

A True Copy.

ATTEST \_\_\_\_\_  
Susan Clements-Dallaire, City Clerk

Draft

## Grantee Unique Appendices

### Lewiston Sun-Journal December 17, 2015

**CITY OF AUBURN  
NOTICE OF AVAILABILITY  
PUBLIC SERVICE APPLICATIONS  
DECEMBER 17, 2015**

The City of Auburn is preparing its Annual Action Plan for the Community Development Program. The City is now accepting applications for public service funding requests. Applications will be completed on a fillable form. You may be obtained the application by sending an email request to [emynahan@auburnmaine.gov](mailto:emynahan@auburnmaine.gov). Please include text on the subject line "Application for Public Service Funding". Applications are due January 14, 2016 and are to be returned by email. The form may also be viewed at [www.auburnmaine.gov](http://www.auburnmaine.gov) (Department of the City/Community Development/Programs & Services/Social Services). Proposals must address the priorities established in the City's Consolidated Plan. These are 1) support people in their efforts to transition out of poverty and 2) prevent homelessness. The Community Development Program does not discriminate on the basis of race, color, national origin, sex, age, religion or disability.

Reine Mynahan  
Community Development Director

April 8, 2016

Lewiston Sun-Journal  
and posted on Website

**CITY OF AUBURN  
NOTICE OF PLAN AVAILABILITY AND  
PUBLIC HEARING NOTICE**

The Auburn City Council will hold a public hearing for the 2016 Action Plan of the Community Development Program at their May 2nd meeting. The meeting begins at 7:00 pm in the Council Chambers at the Auburn Hall, 60 Court Street, Auburn, Maine. Persons wishing to comment on the 2016 Action Plan activities and budgeted funds may do so during the public hearing portion of the meeting.

An elevator is in service making this location accessible for persons with disabilities. A translator can be present to meet the needs of non-English speaking residents. A sign language interpreter can be present to meet the needs of persons who are speech impaired. Persons with special needs for accessibility or communication assistance should contact the Community Development Block Grant office to make arrangements for communication assistance.

The Draft 2016 Action Plan is available for review and public comment. The plan can be viewed at the Community Development Department, first floor of Auburn Hall. The plan is also available on the City's <http://www.auburnmaine.gov/Pages/Government/CDBG-Planning> or by email request at [cmynahan@auburnmaine.gov](mailto:cmynahan@auburnmaine.gov). Written comments may be submitted via email.

Listed below are the activities and corresponding budget amounts that the City Council will consider:

COMMUNITY DEVELOPMENT BLOCK GRANT/AUBURN	
ACTIVITY	AMOUNT
Support Fair Housing and Increase Housing Choice	2,000
Small Business/Commercial Loan Program	200,000
Housing Rehabilitation	250,743
Lead Testing	7,790
Community Concepts/Weatherization	16,500
Code Enforcement	50,000
Community Gardens	5,950
Sidewalk Improvements	312,050
Androscaughin Head Start	9,000
Auburn Recreation Department Scholarships	15,000
Literacy Volunteers	8,635
Auburn Police Department/Work with Me	40,000
Community Concepts/Bridges out of Poverty	11,600
Tedford Housing	7,000
Safe Voices	11,000
Program Administration	142,100
Housing Administration	93,000
<b>Total</b>	<b>\$1,181,268</b>

HOME INVESTMENT PARTNERSHIPS PROGRAM/AUBURN	
ACTIVITY	AMOUNT
Homebuyer Assistance	\$75,000
Homebuyer Projects/Acquisition & Rehab	225,000
Homeowner Rehabilitation	118,749
Security Deposits	15,000
Staying Home Rental Assistance	75,000
Rental Development/62 Spring Street	250,000
Program Administration	24,000
Housing Projects Administration	30,000
Goods and Services	2,500
<b>Total</b>	<b>\$815,249</b>

HOME INVESTMENT PARTNERSHIPS PROGRAM/LEWISTON	
ACTIVITY	AMOUNT
Homebuyer Assistance	195,100
Homeowner Rehabilitation	75,662
Security Deposits	31,344
Rental Development	429,739
Program Administration	9,648
<b>Total</b>	<b>\$641,493</b>

The Community Development Program does not discriminate on the basis of race, color, sex, national origin, sexual orientation, age, religion, familial status, recipient of public assistance, or disability.

Reine Mynahan  
Community Development Director

**Lewiston Sun-Journal**  
**April 25, 2016**

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**CITY OF AUBURN**  
**PUBLIC HEARING NOTICE AND**  
**NOTICE OF PLAN AVAILABILITY**

The Auburn City Council will hold a public hearing on the FY2016 Action Plan at the Community Development Program at their May 2nd, 2016 meeting. The meeting begins at 7:00 pm in the Council Chambers of the Auburn Hall, 60 Court Street, Auburn, Maine. Persons wishing to comment on the 2016 Action Plan activities and budgeted funds may do so during the public hearing portion of the meeting.

An elevator is in service making this location accessible for persons with disabilities. A translator can be present to meet the needs of non-English speaking residents. A sign language interpreter can be present to meet the needs of persons who are speech impaired. Persons with special needs for accessibility or communication assistance should contact the Community Development Block Grant office to make arrangements for communication assistance.

A draft of the plan is available for review and public comment. The plan can be viewed at the Community Development Department, 1st Floor of Auburn Hall. The plan is also available on the City's home page at <http://www.auburnmaine.gov/pages/government/edbg-planaling> or by email request at [emynahan@auburnmaine.gov](mailto:emynahan@auburnmaine.gov). Written comments may also be submitted via email.

The Community Development Program does not discriminate on the basis of race, color, sex, national origin, sexual orientation, age, religion, familial status, recipient of public assistance, or disability.

Reine Mynahan  
Community Development Director

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**Grantee SF-424's and Certification(s)**

OMB Number: 4040-0004  
Expiration Date: 8/31/2016

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: <input type="text"/>	4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input type="text" value="City of Auburn"/>	5b. Federal Award Identifier: <input type="text" value="B-16-NC-23-0001"/>	
<b>State Use Only:</b>		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
<b>8. APPLICANT INFORMATION:</b>		
* a. Legal Name: <input type="text" value="City of Auburn"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="01-6000018"/>	* c. Organizational DUNS: <input type="text" value="0868861730C00"/>	
<b>d. Address:</b>		
* Street1: <input type="text" value="60 Court Street"/>	Street2: <input type="text"/>	
* City: <input type="text" value="Auburn"/>	County/Parish: <input type="text"/>	
* State: <input type="text" value="ME: Maine"/>	Province: <input type="text"/>	
* Country: <input type="text" value="USA: UNITED STATES"/>	* Zip / Postal Code: <input type="text" value="04210-6907"/>	
<b>e. Organizational Unit:</b>		
Department Name: <input type="text" value="Economic and Community Develop"/>	Division Name: <input type="text" value="Community Development"/>	
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>		
Prefix: <input type="text"/>	* First Name: <input type="text" value="Michael"/>	
Middle Name: <input type="text"/>	* Last Name: <input type="text" value="Channings"/>	
Suffix: <input type="text"/>	Title: <input type="text" value="Economic and Community Development Director"/>	
Organizational Affiliation: <input type="text"/>		
* Telephone Number: <input type="text" value="207-333-6601 x 1218"/>	Fax Number: <input type="text" value="207-333-6625"/>	
* Email: <input type="text" value="mchannings@auburnmaine.gov"/>		

Application for Federal Assistance SF-424		
* 9. Type of Applicant 1: Select Applicant Type:		
<input type="text" value="C: City or Township Government"/>		
Type of Applicant 2: Select Applicant Type:		
<input type="text"/>		
Type of Applicant 3: Select Applicant Type:		
<input type="text"/>		
* Other (specify):		
<input type="text"/>		
* 10. Name of Federal Agency:		
<input type="text" value="U. S. Department of Housing and Urban Development"/>		
11. Catalog of Federal Domestic Assistance Number:		
<input type="text" value="24-570"/>		
CFDA Title:		
<input type="text" value="Community Development Block Grant"/>		
* 12. Funding Opportunity Number:		
<input type="text" value="B-16-MC-23-0001"/>		
* Title:		
<input type="text" value="Community Development Block Grant"/>		
13. Competition Identification Number:		
<input type="text"/>		
Title:		
<input type="text"/>		
14. Areas Affected by Project (Cities, Counties, States, etc.):		
<input type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>
<input type="button" value="View Attachment"/>		
* 15. Descriptive Title of Applicant's Project:		
<input type="text" value="Small business and commercial loan programs, residential rehabilitation, weatherization, community garden, code enforcement, public services"/>		
Attach supporting documents as specified in agency instructions.		
<input type="button" value="Add Attachments"/>	<input type="button" value="Delete Attachments"/>	<input type="button" value="View Attachments"/>

Application for Federal Assistance SF-424	
<b>16. Congressional Districts Of:</b>	
* a. Applicant: <input type="text" value="second"/>	* b. Program/Project: <input type="text" value=""/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
<b>17. Proposed Project:</b>	
* a. Start Date: <input type="text" value="07/01/2016"/>	* b. End Date: <input type="text" value="05/30/2017"/>
<b>18. Estimated Funding (\$):</b>	
* a. Federal	<input type="text" value="511,800.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value="429,745.00"/>
* f. Program Income	<input type="text" value="240,223.00"/>
* g. TOTAL	<input type="text" value="1,181,768.00"/>
<b>* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?</b>	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> .	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input type="checkbox"/> c. Program is not covered by E.O. 12372.	
<b>* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)</b>	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
<p>21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)</p> <input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
<b>Authorized Representative:</b>	
Prefix: <input type="text"/>	* First Name: <input type="text" value="Edward"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Kroll"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="City Manager"/>	
* Telephone Number: <input type="text" value="207-333-6601 x 1221"/>	Fax Number: <input type="text" value="207-333-6621"/>
* Email: <input type="text" value="hkroll@auburnmaire.gov"/>	
* Signature of Authorized Representative: <input type="text" value=""/>	* Date Signed: <input type="text" value="05/17/2016"/>



Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): _____ * Other (Specify): _____
* 3. Date Received: _____	4. Applicant Identifier: _____	
5a. Federal Entity Identifier: City of Auburn	5b. Federal Award Identifier: B-16-DC-23201	
<b>State Use Only:</b>		
6. Date Received by State: _____	7. State Application Identifier: _____	
<b>8. APPLICANT INFORMATION:</b>		
* a. Legal Name: City of Auburn		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 01-6000018	* c. Organizational DUNS: 0868861730000	
<b>d. Address:</b>		
* Street1: 60 Court Street	Street2: _____	
* City: Auburn	County/Parish: _____	
* State: ME: Maine	Province: _____	
* Country: USA: UNITED STATES	* Zip / Postal Code: 04210-6907	
<b>e. Organizational Unit:</b>		
Department Name: Economic and Community Develop	Division Name: Community Development	
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>		
Prefix: _____	* First Name: Michael	
Middle Name: _____	* Last Name: Channings	
Suffix: _____	Title: Economic and Community Development Director	
Organizational Affiliation: _____		
* Telephone Number: 207-333-6601 x 1218	Fax Number: 207-333-6625	
* Email: mchannings@auburnmaine.gov		



Application for Federal Assistance SF-424		
<b>* 9. Type of Applicant 1: Select Applicant Type:</b> <input type="text" value="C: City or Township Government"/>		
Type of Applicant 2: Select Applicant Type: <input type="text"/>		
Type of Applicant 3: Select Applicant Type: <input type="text"/>		
* Other (specify): <input type="text"/>		
<b>* 10. Name of Federal Agency:</b> <input type="text" value="U. S. Department of Housing and Urban Development"/>		
<b>11. Catalog of Federal Domestic Assistance Number:</b> <input type="text" value="14-239"/> CFDA Title: <input type="text" value="HOME Investment Partnerships Program"/>		
<b>* 12. Funding Opportunity Number:</b> <input type="text" value="H-16-DC-23201"/> * Title: <input type="text" value="HOME Investment Partnerships Program"/>		
<b>13. Competition Identification Number:</b> <input type="text"/> Title: <input type="text"/>		
<b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b> <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>		
<b>* 15. Descriptive Title of Applicant's Project:</b> <input type="text" value="Homebuyer assistance, home owner rehabilitation, rental development, security deposit loans, and tenant based rental assistance"/>		
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>		

Application for Federal Assistance SF-424	
<b>16. Congressional Districts Of:</b>	
* a. Applicant: <input type="text" value="second"/>	* b. Program/Project: <input type="text" value=""/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
<b>17. Proposed Project:</b>	
* a. Start Date: <input type="text" value="07/01/2016"/>	* b. End Date: <input type="text" value="06/30/2017"/>
<b>18. Estimated Funding (\$):</b>	
* a. Federal	<input type="text" value="323,341.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value="681,842.00"/>
* f. Program Income	<input type="text" value="221,536.00"/>
* g. TOTAL	<input type="text" value="1,426,719.00"/>
<b>* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?</b>	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> .	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input type="checkbox"/> c. Program is not covered by E.O. 12372.	
<b>* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)</b>	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
<b>21. "By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)</b>	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
<b>Authorized Representative:</b>	
Prefix: <input type="text"/>	* First Name: <input type="text" value="Howard"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Kroll"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="City Manager"/>	
* Telephone Number: <input type="text" value="207-333-6601 x 1221"/>	Fax Number: <input type="text" value="207-333-6621"/>
* Email: <input type="text" value="hkroll@suburrainc.gov"/>	
* Signature of Authorized Representative: <input type="text" value=""/>	* Date Signed: <input type="text" value="05/17/2016"/>



## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Anti-Lobbying** -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.



Signature/Authorized Official

Date 5-17-2016

### Specific CDBG Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

**Following a Plan** -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2014, 2016 (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

**Compliance with Laws** -- It will comply with applicable laws.

  
\_\_\_\_\_  
Signature/Authorized Official

5-17-2016  
Date

City Manager  
Title

**Specific HOME Certifications**

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** – If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

**Eligible Activities and Costs** -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

**Appropriate Financial Assistance** -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;



\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

5-17-2016

\_\_\_\_\_  
City Manager

\_\_\_\_\_  
Title

**APPENDIX TO CERTIFICATIONS**

**INSTRUCTIONS CONCERNING LOBBYING:**

**A. Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.